

This is an Accepted Manuscript of the following article:

Susanne C. Schneider, Magdalena Cara, Tor Erik Eriksen, Biljana Budzakoska Goreska, Alma Imeri, Lirika Kupe, Tatjana Lokoska, Suzana Patceva, Sonja Trajanovska, Sasho Trajanovski, Marina Talevska, Elizabeta Veljanoska Sarafiloska. Eutrophication impacts littoral biota in Lake Ohrid while water phosphorus concentrations are low. *Limnologica*, Volume 44, 2014, pages 90-97, ISSN 0075-9511.

The article has been published in final form by Elsevier at

<http://dx.doi.org/10.1016/j.limno.2013.09.002>

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1 **Eutrophication impacts littoral biota in Lake Ohrid while water phosphorus**  
2 **concentrations are low**

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14

15 **Running title**

16 Eutrophication in Lake Ohrid

17

18 **Keywords**

19 Functional group, biomass, macrophytes, diatoms, macroinvertebrates, benthic algae,  
20 Cladophora, feeding type, Water Framework Directive, metric

21 **Abstract**

22 Eutrophication has traditionally been measured as increased phosphorus concentrations. In  
23 some lakes, however, such as transboundary Lake Ohrid situated between Macedonia and  
24 Albania, pelagic phosphorus concentrations are low, in spite of known sources of nutrient  
25 input. We assumed that littoral biota may be more responsive to phosphorus load than water  
26 chemistry, and studied nearshore water chemistry, macrophytes, diatoms and  
27 macroinvertebrates at 30 sites around the lake, analyzing functional groups as well as standard  
28 eutrophication metrics. We hypothesized that the incorporation of nutrients into benthic  
29 biomass will conceal correlations between water phosphorus concentrations and biological  
30 eutrophication metrics, but that analysis of functional groups in addition to eutrophication  
31 metrics may help draw a plausible picture of how phosphorus is transferred through the food  
32 web.

33 Water total phosphorus concentrations in the Lake Ohrid littoral were generally low, while all  
34 three analyzed organism groups indicated at least some degree of eutrophication. This shows  
35 that littoral biota are more sensitive indicators of nutrient input than hydrochemistry. The  
36 abundance of the benthic alga *Cladophora* sp. correlated positively with water total  
37 phosphorus concentrations, indicating that P-loading at local scales may be an important  
38 driver of *Cladophora* biomass. In contrast, none of the biotic metrics (macrophyte index,  
39 diatom index, and macroinvertebrate ICM) correlated with ambient water P-concentrations.  
40 We argue that this is not a sign of poorly working biological metrics, but a consequence of  
41 ecosystem processes in the lake littoral. Analysis of macrophyte and benthic algae abundance,  
42 and macroinvertebrate feeding types together with the biotic metrics suggests a meso- to  
43 slightly eutrophic littoral ecosystem where nutrient supply is incorporated into macrophyte  
44 and benthic algae biomass, and transferred through the food web from benthic algae to  
45 grazers, and from macrophytes to shredders and gatherers. Macroinvertebrate filter feeders

46 correlate negatively with water total phosphorus concentrations, suggesting they remove  
47 phosphorus from the water. Our results indicate that the combined use of classical biological  
48 eutrophication metrics and functional groups may be a way to not only distinguish between  
49 oligotrophic and eutrophic ecosystems, but in addition give information as to whether or not  
50 nutrient input and nutrient removal in an ecosystem are balanced. This may eventually also  
51 give information about ecosystem functioning and ecosystem stability, and thus provide a  
52 basis for the development of “second generation” metrics for ecosystem assessment.

53

## 54 **1. Introduction**

55 Eutrophication has traditionally been measured as increased phosphorus concentrations  
56 (OECD, 1982). However, in large deep lakes with long residence times, pelagic phosphorus  
57 concentrations are often low, in spite of known sources of nutrient input (Matzinger et al.  
58 2007). To detect eutrophication in spite of low pelagic phosphorus concentrations, nutrient  
59 inputs can be monitored. However, accurate assessment of these is costly and may still be  
60 unreliable (Moosmann et al., 2005).

61 The European Water Framework Directive (WFD), which was adopted in 2000, changed  
62 water management in member states of the European Union fundamentally by putting aquatic  
63 ecology rather than hydrochemistry at the base of management decisions (Moss, 2007; Hering  
64 et al., 2010). The objective of the WFD is for all surface water bodies to achieve “good  
65 ecological status”, which is defined not by chemical values, but by having a biota showing  
66 only slight alterations from that expected in the absence of human impacts. The use of biota  
67 for ecological status assessment requires standardized procedures for field work, sample  
68 processing and species identification. The collected biotic information on species composition  
69 and abundance occurring at a site is usually summarized in one or several biological metrics.

70 Literally hundreds of such metrics have been developed in response to the WFD, all over  
71 Europe (Birk et al., 2012). The development of these metrics typically involved their  
72 correlation with a certain stressor or stressor combination, typically nutrient enrichment or  
73 organic pollution, via a dose-response curve (Birk et al., 2012). In many cases, total  
74 phosphorus concentration was the only pressure against which a metric was tested (e.g.  
75 Penning et al., 2008; Donohue et al., 2009; Schneider and Lindstrøm, 2011). While  
76 establishing a dose-response curve is an important part of metric quality assurance, the focus  
77 on strong correlations between stressors and metrics clearly also creates a conundrum: if  
78 ecological metrics are expected to closely correlate with a chemical stressor such as

79 phosphorus concentration, what has been gained by putting ecology rather than chemistry at  
80 the base of management decisions? In practice, nutrient chemistry will continue to be  
81 monitored in ecosystems, so it is important to know what added value biological metrics give  
82 to water managers. Despite the achievements of the WFD, practical experience often shows  
83 that it still is difficult to convince water managers that altered biota might be a serious  
84 warning signal even if water phosphorus concentrations are low.

85 Transboundary Lake Ohrid, situated at the Balkan peninsula between Macedonia and Albania,  
86 is an example of a large, deep lake which despite known sources of nutrient input still has low  
87 pelagic phosphorus concentrations (Matzinger et al., 2007). In large lakes, however, the  
88 nearshore zone is often chemically and biologically different from the offshore zone  
89 (Makarewicz et al., 2012). In our study, we tried to detect if the phosphorus input to Lake  
90 Ohrid, in spite of low pelagic phosphorus concentrations, has led to altered biota and  
91 hydrochemistry in the lake littoral. We hypothesized that littoral biota may be more  
92 responsive to phosphorus load than water chemistry measurements, but also that the  
93 incorporation of nutrients into benthic biomass will conceal correlations between water  
94 phosphorus concentrations and biological eutrophication metrics. We analyzed the  
95 interrelationships between primary producers and macroinvertebrates based on functional  
96 groups as well as standard eutrophication metrics because we hypothesized that this may help  
97 us to draw a plausible picture of how phosphorus is transferred through the food web.

98 In principle, allochthonous phosphorus input to a lake may either be deposited on the  
99 sediment, or remains in the water where it is measured as water total phosphorus (TP)  
100 concentration (Hecky et al., 2004). Phosphorus may be taken up or ingested by different  
101 organism groups, and transferred through the food web. In our study, we focused on the  
102 current standard quality elements used for assessment in a lake littoral, and analyzed water

103 chemistry, benthic diatoms, submerged macrophytes, and benthic invertebrates at 30 sites in  
104 Lake Ohrid.

105 A priori, the following hypotheses for how phosphorus is transferred through the benthic food  
106 web in a lake littoral, apply (Fig. 1): from the water, phosphorus may be taken up by  
107 macrophytes or benthic algae (we here disregard planktonic organisms because we have no  
108 such data in our study). Dying macrophyte biomass may be ingested by shredders (which  
109 degrade coarse organic material), and the resulting smaller particles may in turn be used by  
110 gatherers. Living benthic algae may be ingested by grazers, whose activity at the same time  
111 reduces benthic algae biomass. We hypothesize that increased phosphorus supply will  
112 primarily cause increased macrophyte and benthic algae growth. Species specific differences  
113 in plant growth may then lead to altered macrophyte and benthic algae assemblages. This  
114 means that we expect no direct relation between water phosphorus concentrations and  
115 macrophyte or benthic algae assemblages, since this relation goes via plant growth (Fig. 1).  
116 Macrophyte and benthic algae growth reduce water nutrient concentrations due to  
117 incorporation of nutrients into benthic biomass, but macrophytes can also take up sediment  
118 nutrients. Filter feeding invertebrates may profit from nutrient input but at the same time  
119 reduce water nutrient concentrations, while excretion of faeces by invertebrates may increase  
120 nutrient concentrations.

121 These hypotheses, summarized in Fig. 1, are a simplification. For example, only part of water  
122 TP is available to macrophytes and benthic algae. Likewise, we neglect planktonic organisms,  
123 since we have not analyzed these groups in our study. Thus, we do not attempt to construct  
124 budgets of P-turnover in Lake Ohrid. Our aim was for the first time to consistently analyze  
125 ecological and chemical status for the whole lake across political borders, and to detect  
126 interrelationships among chemical and biological parameters, the later including functional  
127 groups as well as standard eutrophication metrics, in an attempt to understand how

128 phosphorus is transferred through the food web of the Lake Ohrid littoral, and how this is  
129 reflected in ecological status assessment.

130

## 131 **2. Material and Methods**

132 Lake Ohrid is situated in the Balkan peninsula at the Macedonian/Albanian border and is one  
133 of few ancient (2-5 million years), long-lived lakes in the world (Albrecht and Wilke, 2008).

134 The lake is about 30 km long, 15 km wide, and covers an area of 360 km<sup>2</sup>. Its maximum depth  
135 is 286 m. Although the general status of the lake is still assumed to be oligotrophic because  
136 average pelagic total phosphorus concentrations are around 4.6 µg/l, Lake Ohrid has been  
137 found to be impacted by eutrophication, with global warming expected to amplify the effects  
138 of increased nutrient input (Matzinger et al., 2007).

139 A total of 30 sampling sites were established in Lake Ohrid (Fig. 2), 10 of which lie in  
140 Albania and 20 in Macedonia. Half of the sites were sampled in 2009/2010, the other half in  
141 2010/2011. Care was taken that the 15 sites investigated in each year were distributed evenly  
142 around the whole lake, in order to avoid any bias which might result from an uneven  
143 exposition of sites between sampling years. Overall values for the investigated chemical and  
144 biological parameters did not differ between the two sampling years, with the single exception  
145 of NO<sub>3</sub>-N (average log(NO<sub>3</sub>-N) = 1.63 for the sites investigated in 2009/2010, compared to  
146 1.43 for the sites investigated in 2010/2011; p = 0.009). Water chemistry, diatoms,  
147 macrophytes and macroinvertebrates from each site were analyzed within one year.

148

### 149 *Water chemistry*

150 At each site, a water sample was collected at a few meters distance from the shoreline at  
151 approximately 0.5 m depth in January, May, July and October. Chemical parameters were



152 measured according to the following standard procedures: dissolved oxygen (DO): ISO  
153 5813:1983; nitrate (NO<sub>3</sub>): Standard Methods for the Examination of Water and Wastewater -  
154 4500-NO<sub>3</sub>- B; total phosphorus (TP): ISO 6878:2004; biochemical oxygen demand after 5  
155 days (bod): EN 1899-2:1998; pH: ISO 10523:1994; electrical conductivity (cond): ISO  
156 7888:1985. Site specific averages of water chemical parameters were calculated for the  
157 samples taken in January, May, July, and October and were used for further analysis.

158

### 159 *Diatoms*

160 At each site, diatoms were collected in July (half of the sites in 2010, the other half in 2011) at  
161 a water depth of approximately 0.5 m from ten cobbles with diameters of roughly 10 cm. The  
162 upper side of each stone was brushed with a toothbrush, and the algae were transferred into a  
163 beaker. All samples were preserved with a few drops of formaldehyde to a final concentration  
164 of approximately 3.5%.

165 The samples were treated with concentrated HCl, concentrated H<sub>2</sub>SO<sub>4</sub>, and KNO<sub>3</sub> (Krammer  
166 and Lange-Bertalot, 1986-91). Permanent slides were prepared from the cleaned suspensions  
167 using Naphrax as a mountant, and approximately 400 undamaged valves of non-planktonic  
168 taxa were identified and counted using 1000 × magnification. The primary floras and  
169 identification guides used were Krammer and Lange-Bertalot (1986-91).

170 The Trophieindex (TI) was calculated according to Rott et al. (1999). The TI was chosen as a  
171 metric because it reflects eutrophication, and has been shown to be generally applicable in  
172 Europe both in rivers (Kelly et al., 2009a) and lakes (Poikane, 2013). The TI ranges from 1 to  
173 4, with high values indicating nutrient-rich conditions.

174

### 175 *Macrophytes*

176 Submerged macrophytes, that is monocotyledonous and dicotyledonous plants, and  
177 charophytes were surveyed in July (half of the sites in 2010, the other half in 2011) in belt  
178 transects of approximately 10 m width - perpendicularly to the shoreline - from the upper  
179 littoral to the lower vegetation limit. In addition, the abundance of the macroscopic  
180 filamentous alga *Cladophora* sp. Kützing was noted, because it is the by far most conspicuous  
181 benthic algal taxon in Lake Ohrid. The taxon was tentatively identified as *Cladophora*  
182 *glomerata* (L.) Kütz., but since we did not check species identity at each site we will use the  
183 genus name hereafter. Primary floras and identification guides were Casper and Krausch  
184 (1980, 1981) and Krause (1997). Each transect was divided into depth zones: 0-2 m, 2-4 m, 4-  
185 10 m, and >10 m depth. Species occurrence was registered in each transect and each depth  
186 zone, and the abundance of each species was estimated according to a five degree scale (1 =  
187 very rare, 2 = infrequent, 3 = common, 4 = frequent, 5 = abundant, predominant). In order to  
188 ensure comparability with the hydrochemistry, diatom and macroinvertebrate results, only the  
189 macrophyte data from shallow water, i.e. depth zone 0-2 m, was used for further analysis.

190 As an approximation for the readily degradable biomass of macrophytes, we calculated the  
191 sum of the cubed abundances for non-charophyte macrophytes. We did so because non-  
192 charophytes are annual plants in Lake Ohrid, while most charophytes are perennial (own  
193 observations). In addition, are charophytes more slowly decomposed as e.g. *Potamogeton*  
194 species (Lan et al., 2012). The cubed abundance estimates were used as an approximation for  
195 the biomass of non-charophyte macrophytes since they better reflect relative values than the  
196 five-degree scale used for estimation in the field (Melzer, 1999). The macrophyte index (MI)  
197 was calculated as described in Melzer (1999), but with updated indicator values and class  
198 boundaries as described in Melzer and Schneider (2001). The macrophyte index was chosen  
199 as a metric because it reflects phosphorus supply, is applicable to calcareous lakes, and most

200 macrophyte species observed in Lake Ohrid are included in the list of indicators. The MI  
201 ranges from 1 to 5, with high values indicating nutrient pollution.

202

### 203 *Macroinvertebrates*

204 At each site, macroinvertebrates were sampled in approximately 0.5 m water depth in late  
205 April/early May, thus covering the late-stage larval forms from the past year. We used the  
206 kick-and-sweep method with a standard D-shaped net with a metal frame holding a mesh bag  
207 of 400- $\mu$ m size and sampled for 5 minutes (ISO:EN 27828:1994). Samples were preserved in  
208 70% ethanol, and species were later identified using the following primary identification  
209 guides: Snegarova (1954), Sapkare (1966), Hubendick (1970), Brinkhurst and Jamieson  
210 (1978), Radoman (1983), Kerovec (1986), Sket and Šapkarev (1992), Bodon et al. (2001).

211 Data on relative abundance of feeding types were calculated by means of the computer  
212 programme ASTERICS (version 3.1.1), developed in the EU projects AQEM ([www.aqem.de](http://www.aqem.de))  
213 and STAR ([www.eu-star.at](http://www.eu-star.at)). We differentiated shredders (organisms that feed on coarse  
214 particulate organic material such as small sections of leaves), grazers (organisms that feed on  
215 periphyton that accumulates on larger structures such as stones), filter feeders (organisms that  
216 consume organic matter suspended in the water column), and gatherers (organisms that  
217 consume fine particulate organic matter found on the sediment). Since we intend to focus on  
218 the interrelationships between primary producers and macroinvertebrates we chose to not  
219 analyze invertebrate predators in this study.

220 The lake macroinvertebrate intercalibration metric for the Central-Baltic ecoregion (ICM) was  
221 calculated according to Pilotto et al. (2011). The ICM is a multimetric index including species  
222 composition and abundances as well as functional indicators. It was specifically developed for  
223 lakes, is applicable in large parts of Europe and is correlated to shoreline alterations and

224 landuse in the lake surroundings, as well as lake total phosphorus concentrations. The ICM  
225 ranges from 0 to 1, with high values indicating undisturbed conditions.

226

### 227 *Data treatment and statistics*

228 Diatom and water chemistry samples were analyzed at all 30 sites. However, no macrophytes  
229 were present at one of the sites such that no macrophyte index could be calculated, and no  
230 macroinvertebrate samples were taken at three other sites. Thus, the complete dataset  
231 comprising all quality elements included 26 sites.

232 After exploratory analysis, data were log- or (log+1)-transformed where necessary to improve  
233 normality and homoscedasticity before calculating average values. Nevertheless, Spearman  
234 correlation was used to test for correlations among indices, functional groups and water  
235 chemical parameters, because we expected the correlations to be monotonic, but not  
236 necessarily linear. Because each analysis represented a separate hypothesis, there was no need  
237 to adjust  $\alpha$  for multiple testing (Perneger, 1998). These tests were performed with  
238 STATISTICA 10.

239 To explore the structure in our data, we computed a NMDS on the square root transformed  
240 biological data (to reduce the contribution of the most abundant species to the dissimilarity).  
241 NMDS was used because it in contrast to other ordination methods also can handle non-linear  
242 responses. The NMDS was computed using the metaMDS function in R version 2.14.2 (R  
243 Development Core Team, 2012), extended with the “vegan” package 2.0-4 (Oksanen et al.,  
244 2012). Bray-Curtis was used as dissimilarity measure because it is less dominated by single  
245 large differences than many other dissimilarity measures, and it is generally assumed to be  
246 well suited for species abundance data (Quinn and Keough, 2002). Hydrochemistry vectors

247 were fitted using the “envfit” command in vegan, a function that fits environmental vectors  
248 onto an ordination (Oksanen et al., 2012).

249

### 250 **3. Results**

251 The shallow littoral of Lake Ohrid had on average a pH above 8, and a conductivity slightly  
252 above 200  $\mu\text{S}/\text{cm}$  (Table 1). Total phosphorus concentrations were mostly below 10  $\mu\text{g}/\text{l}$ .  
253 Maximum values, however, were measured close to the inflow of the river Grasnica (50  $\mu\text{g}/\text{l}$ ),  
254 and close to the city of Pogradec (23  $\mu\text{g}/\text{l}$ ).  $\text{NO}_3\text{-N}$ -concentrations were around 40  $\text{mg}/\text{l}$ , with  
255 a maximum of 124  $\text{mg}/\text{l}$  close to the inflow of the river Grasnica. BOD5 concentrations were  
256 on average below 2  $\text{mg}/\text{l O}_2$ , but with a maximum of 3.6  $\text{mg}/\text{l O}_2$  close to the city of  
257 Pogradec.

258 We registered a total of 28 macrophyte taxa in Lake Ohrid (in addition to *Cladophora* sp.),  
259 with an average number of 7 taxa per site (Table 1). In addition, we found 144 diatom taxa  
260 (on average 31 per site), and 65 macroinvertebrate taxa (on average 6 per site; see Appendix 1  
261 for complete taxa lists). The most conspicuous taxon across all studied organism groups was  
262 *Cladophora* sp., which was both more frequent and more abundant than any other macrophyte  
263 or macroscopic benthic algae taxon in shallow water of Lake Ohrid (data not shown). The  
264 species was generally common in the shallow littoral of the whole lake (Fig. 2), and was very  
265 abundant at five sites around the lake. These sites were all located close to inflows or villages,  
266 but not all inflows or villages at Lake Ohrid gave rise to high *Cladophora* biomasses (Fig. 2).  
267 The macrophyte index was on average 3.2, thus indicating mesotrophic to slightly eutrophic  
268 conditions in the lake littoral (see Melzer and Schneider (2001) for description of scale).  
269 Correspondingly, diatoms also indicated on average meso-eutrophic conditions (the average  
270 TI was 2.11; see Rott et al. (1999) for description of scale). The macroinvertebrate ICM was

271 on average 0.22 and thus indicated generally “poor” conditions in the lake littoral  
272 (corresponding to major alterations in macroinvertebrate communities compared to  
273 undisturbed conditions; see Pilotto et al., 2011 for boundaries between status classes). Thus,  
274 while TP concentrations were generally low, three biotic metrics from three different  
275 organism groups indicated at least some degree of eutrophication in the lake littoral.

276 None of these three biotic metrics, however, correlated with measured water TP  
277 concentrations (Tab. 2). Instead, TP was significantly positively correlated with the  
278 abundance of *Cladophora* sp., and significantly negatively correlated with the relative  
279 abundance of macroinvertebrate filter feeders (Tab. 2). The abundance of *Cladophora* sp. was  
280 in turn positively correlated with the biomass of easily biodegradable macrophytes (estimated  
281 as the quantity of non-charophyte macrophytes), and negatively with the macroinvertebrate  
282 ICM, indicating that the higher the biomass of *Cladophora*, the worse was ecological status.

283 Finally, the quantity of non-charophyte macrophytes was positively correlated with the  
284 relative abundance of macroinvertebrate shredders, and with the macrophyte index, indicating  
285 that an enhanced biomass of easily degradable macrophytes is a sign of enhanced trophic  
286 status (Tab. 2).

287 The results from the multivariate NMDS analysis generally supported the univariate  
288 Spearman rank correlations (Tab. 2), but refined the picture. The NMDS plot constructed  
289 from the biological data represented an acceptable solution (stress = 0.18). Nitrate,  
290 conductivity and TP co-varied, and the relative abundance of macroinvertebrate grazers was  
291 positively related to increased concentrations of these nutrients, while the abundance of filter  
292 feeders was negatively related to them (Fig. 3). The abundance of *Cladophora* sp. was  
293 positively related to both high nutrient and dissolved oxygen concentrations. The relative  
294 abundance of shredders was related to enhanced BOD concentrations. Macrophyte (MI) and

295 diatom (TI) trophic indices were close to the center of the NMDS plot, indicating no close  
296 correlation to any of the fitted chemistry gradients.

297

#### 298 **4. Discussion**

299 Total phosphorus concentrations in the Lake Ohrid littoral were on average 7.2  $\mu\text{g/l}$ , and thus  
300 somewhat higher than average offshore concentrations, which are around 4.6  $\mu\text{g/l}$  (Matzinger  
301 et al., 2007). Enhanced nearshore compared to offshore phosphorus concentrations are a  
302 common phenomenon in large lakes that are subject to enhanced nutrient loading  
303 (Makarewicz et al., 2012b). However, TP concentrations below 10  $\mu\text{g/l}$ , such as we measured  
304 at most sites in Lake Ohrid, are usually considered to be consistent with oligotrophic  
305 conditions (OECD, 1982). In contrast, both the macrophyte and the trophic diatom index  
306 denote mostly meso- to slightly eutrophic conditions in the lake littoral, and the  
307 macroinvertebrate ICM indicates “poor” status. Thus, it might seem that chemical and  
308 biological assessment systems disagree with each other. Such a “discrepancy” seems also to  
309 arise from the absence of any correlation between these indices and water TP-concentration.  
310 After all, each of these indices was indeed calibrated on TP-concentrations (Melzer, 1999;  
311 Rott et al., 1999; Pilotto et al., 2011). We argue that this is neither a discrepancy nor a sign of  
312 “poorly working biological indices”, but a consequence of ecosystem processes in the lake  
313 littoral.

314 One might argue that the absence of a correlation between any of the three indices and water  
315 chemistry was due to the “wrong” indices being used, and that we should have tested others,  
316 which might work “better” in Lake Ohrid. However, notwithstanding existing minor  
317 differences, macrophyte indicator values of different eutrophication assessment systems  
318 across Europe correlate with each other (Schneider, 2007). Likewise, although there are  
319 differences in trophic scores of diatom taxa for different indices (Besse-Lototskaya et al.,

2011), different diatom indices in Europe generally correlate with each other (Schneider et al., 2013a). Also, the macroinvertebrate ICM has been shown to correlate with most national assessment methods in Central Europe (Pilotto et al., 2011). Thus, there is no reason to expect a major difference in outcome if other indices had been used. Apart from that, we calculated indices which a priori were likely to be applicable in Lake Ohrid (see Material and Methods).

A large number of studies have been published in recent years, testing different metrics based on correlations with measured TP-concentrations (e.g. Timm and Moels, 2012; del Pozo et al., 2010; Penning et al., 2008). These studies are usually based on the underlying assumption that the metric having the closest correlation to measured TP-concentration is “best”, and consequently this is the one which is recommended for future monitoring of eutrophication. However, if it was crucial for ecological metrics to always correlate closely with a measured chemical variable such as phosphorus concentration, then little would have been gained by putting ecology rather than chemistry at the base of management decisions. The problem with the “correlation approach” is that it ignores the difference between “cause” and “effect”. On the one hand, enhanced P-concentrations cause enhanced plant growth leading to different plant assemblages which are expressed in a biological metric. But on the other hand, plant growth also reduces water P-concentrations to such an extent that correlations between plant assemblages and measured P-concentrations will be concealed.

Consequently, for a better understanding and assessment of eutrophication processes it is necessary to go beyond the simple search for metric-water chemistry correlations, and rather look into how nutrients might be taken up and turned over by different functional groups in the ecosystem. We do this by coding the strength of the pathways phosphorus might take in a lake littoral (that is, the a priori pathways which we graphically presented in Fig. 1) according to our results presented in Table 2: thin line weights represent pathways which are not supported by our data, intermediate line weights represent pathways which are supported but



345 not statistically significant, and bold lines represent significant correlations (Table 2, Fig. 4).  
346 We use the macrophyte index as an approximation for the macrophyte assemblage (because  
347 the index is based on species composition and abundance), and the diatom trophic index as an  
348 expression for the benthic algae assemblage. We further assume that macrophyte growth is  
349 approximated by the quantity of non-charophyte macrophytes (because they are annual),  
350 while benthic algae growth is approximated by *Cladophora* abundance (because this species  
351 is annual and the by far most abundant benthic algae taxon in Lake Ohrid).

352 Altogether, the following picture of phosphorus turnover in the littoral of Lake Ohrid arises  
353 (Fig. 4): enhanced water TP caused enhanced *Cladophora* biomass, and partly also enhanced  
354 non-charophyte macrophyte biomass. This is consistent with data from Lake Ontario, where  
355 *Cladophora* growth rates were strongly P-limited (Higgins et al., 2012). At the same time, TP  
356 was reduced by filter feeders. Again, this is consistent with data from the North American  
357 Great Lakes, where filtering activity of benthic invertebrates diverted nutrients from the water  
358 column to the nearshore benthos (Hecky et al., 2004). Shredders and gatherers rather  
359 enhanced water TP concentrations, likely due to the excretion of faeces. Grazers were  
360 unrelated to water TP, probably because they generally were present in lower abundances  
361 than shredders and gatherers (Table 1). *Cladophora* abundance, and not TP, was related to the  
362 diatom index. While the absence of a correlation between diatom index and TP may be  
363 explained by the incorporation of phosphorus into plant and algal biomass and the according  
364 removal of P from the water column, is the relation between *Cladophora* abundance and the  
365 diatom index consistent with an earlier suggestion that *Cladophora* may exhibit a cascading  
366 effect on other benthic algae (Kelly et al., 2009b). *Cladophora* is a firmly attached taxon  
367 which forms a canopy, and thus may favor more mobile or facultative heterotrophic taxa  
368 instead of taxa which attach directly to the substratum (Kelly et al., 2009b), an effect which in  
369 turn may convey to the diatom index. Likewise, plant quantity of non-charophyte

370 macrophytes (as an approximation for the biomass of annual macrophytes), and not TP, was  
371 related to the macrophyte index. Again, the absence of a correlation between indices and TP is  
372 not surprising, since water TP interacts with many functional groups, while both macrophyte  
373 and diatom index are more directly related to macrophyte and benthic algae growth than to TP  
374 (Fig. 4). Enhanced macrophyte and benthic algae growth usually is a first consequence of  
375 enhanced nutrient supply. Plant growth, together with macroinvertebrate filter feeders,  
376 reduces water TP-concentration by incorporation of nutrients into benthic biomass. This  
377 feedback can explain the seeming “paradox” of high plant and algal biomasses and meso-  
378 eutrophic biological metrics observed in the nutrient poor water of Lake Ohrid. Such a  
379 phenomenon, that is enhanced plant biomass in nutrient poor sites, has been described before  
380 (Schneider et al., 2013b) and may be a rather common first signal of eutrophication in  
381 ecosystems which still can buffer nutrient input.

382 *Cladophora* sp. was the most conspicuous taxon in the shallow littoral of Lake Ohrid, and  
383 enhanced biomass was associated with enhanced phosphorus concentrations. Similar results  
384 have been obtained by Higgins et al. (2012) in Lake Ontario, and they indicate that P-loading  
385 at local scales may be an important driver of *Cladophora* biomass. This biomass was,  
386 however, consumed by grazers, while macrophyte biomass was consumed by shredders,  
387 whose excretion products again were consumed by gatherers (Fig. 4). This indicates that the  
388 ecosystem turned over enhanced phosphorus input. Although not all of these relations were  
389 significant in a unidirectional analysis (Table 2), there was not a single disagreement to our  
390 hypothetical pathways outlined in Fig. 1. The absence of the “significance” criterion for some  
391 unidirectional analyses is not surprising, since there are several interactions among most of  
392 the biological and chemical parameters we analyzed in the lake littoral (Figs. 1 and 4).

393 In addition to the pathways outlined in Fig. 4, there was a positive correlation between  
394 *Cladophora* biomass, and the quantity of non-charophyte macrophytes. This is likely due to

395 both being influenced by water TP. While the pathway from TP to *Cladophora* was  
396 significant, the pathway from TP to macrophytes was not. This is likely due to P-deposition  
397 on the sediment in the trophogenic zone of Lake Ohrid (Matzinger et al., 2007), from where it  
398 is available to macrophytes (Carignan and Kalff, 1980), such that macrophyte growth, in  
399 contrast to *Cladophora* growth, is influenced not only by water but also by sediment P. This  
400 further blurs the correlation between water TP and macrophyte growth. In addition, was  
401 *Cladophora* biomass significantly related to the macroinvertebrate ICM, likely because  
402 *Cladophora* is annual and builds large biomasses (Fig. 2), and decomposition of this biomass  
403 interacts with benthic macroinvertebrates and thus the ICM. A similar relationship emerged  
404 between non-charophyte macrophytes and ICM, only it was not significant in a unidirectional  
405 correlation analysis (Table 2).

406 In total, our results draw the picture of a meso- to slightly eutrophic littoral ecosystem where  
407 nutrient supply is incorporated into plant and algal biomass and transferred through the food  
408 web, from benthic algae to grazers, and from macrophytes to shredders and gatherers. Species  
409 composition and abundance of all investigated organism groups was impacted, but water  
410 nutrient concentrations were low, likely as a result of ecosystem processes. We wish to point  
411 out that the results summarized in Fig. 4 are based on correlations between chemistry, metrics  
412 and functional groups. Whether or not they represent causal relationships needs to be  
413 ascertained using an experimental approach. They do, however, represent a plausible  
414 hypothesis for how the littoral ecosystem of Lake Ohrid functions, and they provide an  
415 explanation for why biological metrics can indicate eutrophication while water phosphorus  
416 concentrations are low.

417 All three biological metrics indicate on average impacted, meso- to slightly eutrophic  
418 conditions in the Lake Ohrid littoral. However, indicator values of these metrics were not  
419 correlated with each other (Table 2). Yet this is not surprising, since diatoms exclusively take

420 up nutrients from the water, while macrophytes additionally can use sediment nutrients  
421 (Carignan and Kalff, 1980). Differences between macrophyte and diatom indices can  
422 therefore be interpreted as being indicative for the bioavailable pool of nutrients in the  
423 sediment. Macroinvertebrates are indicative of both the nutrients present in the water (via  
424 filter feeders), as well as the nutrients incorporated into benthic algae (via grazers) and  
425 macrophyte biomass (via shredders and gatherers)(Fig. 4). This likely explains the absence of  
426 unidirectional correlations between macrophyte or diatom index and the macroinvertebrate  
427 ICM, although we cannot exclude that other stressors which we have not analyzed in our  
428 study might also have played a role. Again, this must not be interpreted as a sign of poorly  
429 designed indices, but as a consequence of different pathways of nutrient turnover in the  
430 ecosystem.

431 Nevertheless, have these indices been designed and tested based on correlations with water  
432 TP-concentrations (Melzer, 1999; Rott et al., 1999; Pilotto et al., 2011), so how can it be that  
433 a biological metric correlates with TP in some instances (like e.g. the datasets used for  
434 developing the indices), but not in others (like e.g. Lake Ohrid)? Water nutrient  
435 concentrations will correlate with biological metrics when the part of total P-input which  
436 remains in dissolved or particulate form in the water is higher than what is incorporated into  
437 benthic biomass and deposited on the sediment. In large datasets, such as are commonly used  
438 for developing biological metrics, it is likely that at least part of the sites will receive more  
439 nutrients than are absorbed by the ecosystem, and therefore a correlation between metric and  
440 water TP will emerge. In other words: in ecosystems where the cause (nutrient input) is higher  
441 than the effect (nutrient removal by the ecosystem), a correlation between metric and nutrient  
442 concentration will emerge. In contrast, in ecosystems where the effect balances the cause,  
443 there will be no correlation, and biological metrics will indicate eutrophication while water  
444 nutrient concentrations are still low.

445 The objective of the WFD is not to double-check chemistry with biology or vice versa, but to  
446 detect the degree to which the biota of an ecosystem is altered from that expected in the  
447 absence of human impact. Thus, for a meaningful use of biological metrics in ecosystem  
448 management, we need to take better account of the difference between causation and  
449 correlation: a biological metric can be considered useful when there is a causal relation  
450 between stressor and metric. However, this does not necessarily mean that this metric always  
451 must correlate with measured chemical field data, because measured chemical field data are  
452 the result of both cause and effect. Thus, given that the causal relationship between metric and  
453 stressor is well-established, differences between chemical and biological assessments at any  
454 one site should be indicative for ecosystem processes such as nutrient removal. This may be  
455 further analyzed by studying plant and animal functional groups. For our data on Lake Ohrid,  
456 this also means that the biological assessment of the littoral as meso- to slightly eutrophic may  
457 be correct, in spite of low water phosphorus concentrations and in spite of the absence of  
458 correlations between indices and hydrochemistry.

459 In conclusion, our results indicate that the combined use of classical biological metrics and  
460 different functional groups gives a meaningful picture of ecosystem processes in a lake  
461 littoral. Nutrients in Lake Ohrid seem to be removed by filter feeding benthic invertebrates, as  
462 well as incorporated into plant and algal biomass from where they are transferred through the  
463 food web. These ecosystem processes may explain the removal of TP from the water in the  
464 nearshore zone, and why correlations between biological metrics and water TP concentrations  
465 are absent. Our study was not designed to quantify local nutrient loading or the nutrient  
466 turnover in the different trophic levels of the ecosystem. However, the results indicate that the  
467 combined use of chemistry, classical metrics and functional groups may be a way to not only  
468 distinguish between oligotrophic and eutrophic ecosystems, but also to give information on  
469 whether or not nutrient input and nutrient removal in an ecosystem are balanced. This may

470 eventually also give information about ecosystem functioning and ecosystem stability, and  
471 thus provide a basis for the development of “second generation” metrics for ecosystem  
472 assessment.

473

#### 474 **Acknowledgements**

475 Andreas Ballot, Jan Vermaat, Dick Wright and Martyn Kelly are gratefully acknowledged for  
476 helpful discussions on an earlier version of the manuscript. The project was financially  
477 supported by the Norwegian Ministry of Foreign Affairs.

478

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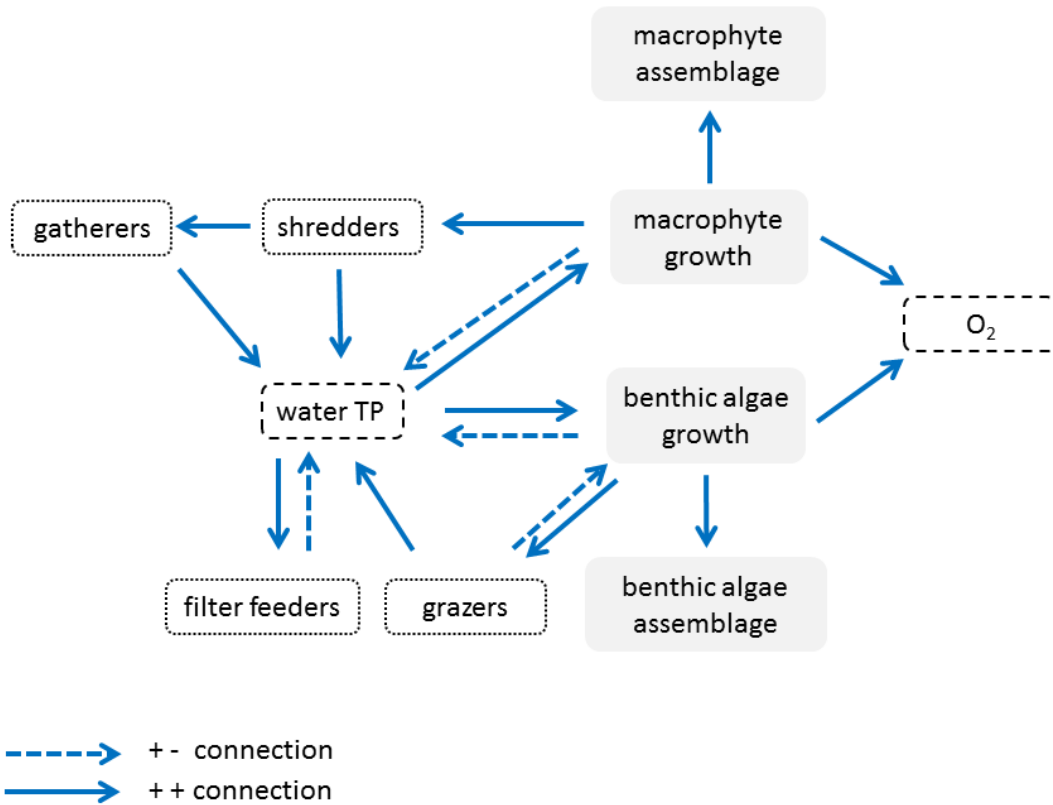
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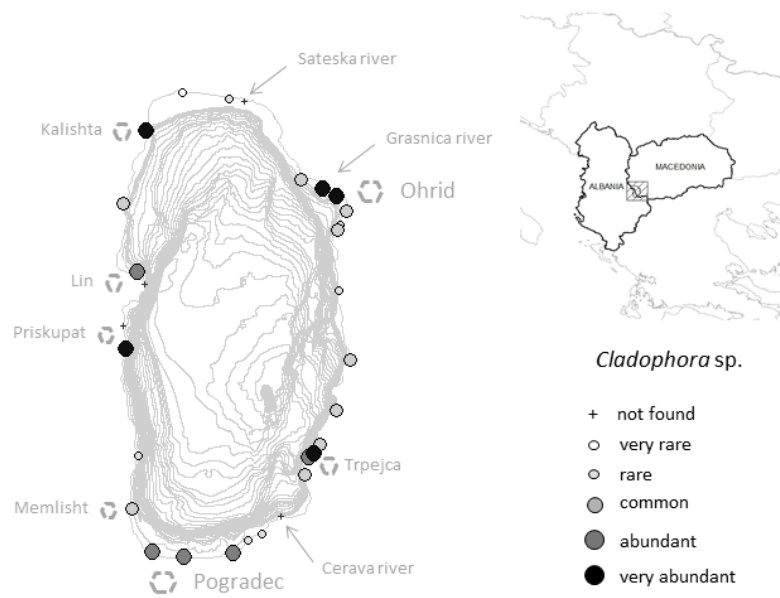
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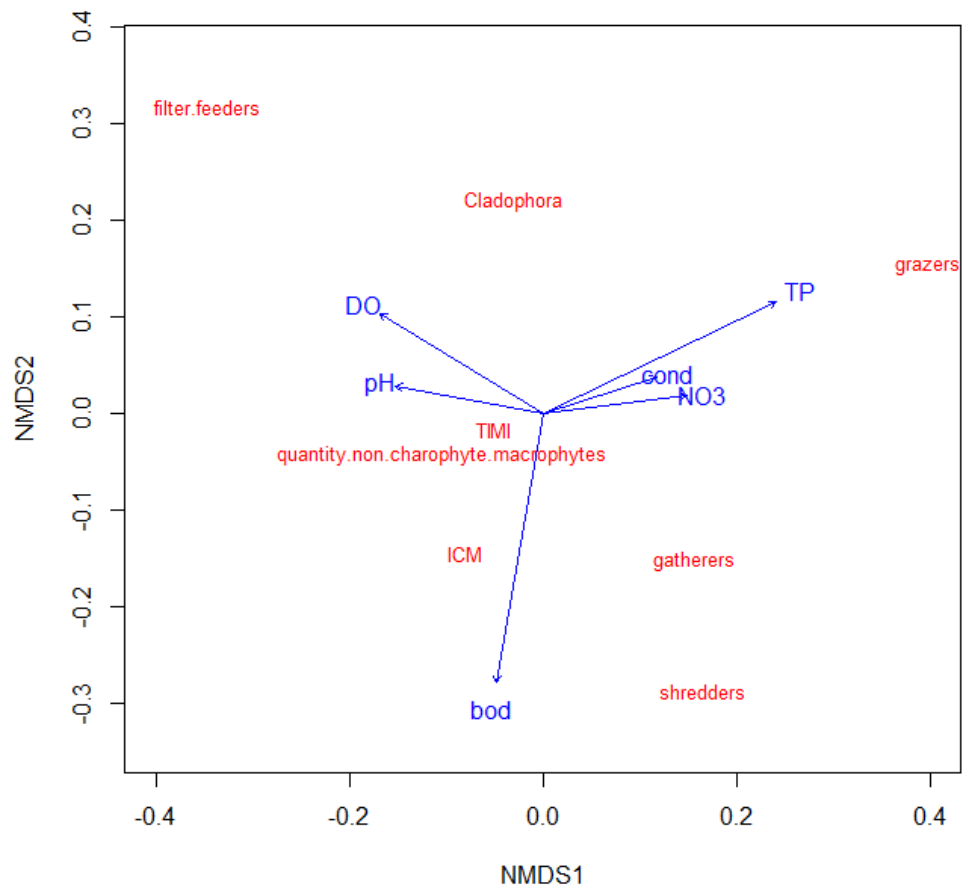
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610 Fig. 1. Simplified hypothetical relations between chemistry, benthic algae, macrophytes and  
 611 benthic invertebrates in a lake littoral. Note that we understand this figure as a graphical  
 612 representation of a simplified hypothesis for the interrelations between elements we studied in  
 613 Lake Ohrid. For example, we neglect planktonic organisms, since we have not analyzed  
 614 plankton in our study.



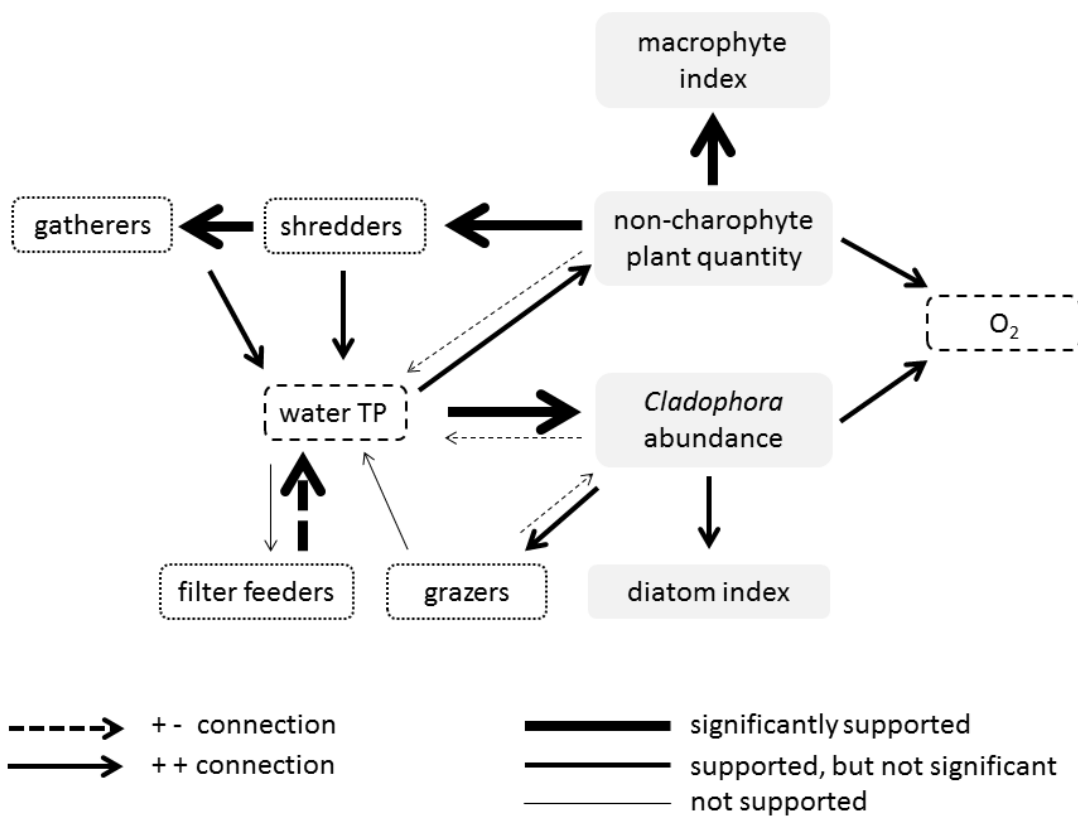
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616 Fig. 2 Abundance of *Cladophora* sp. at 30 sampling sites between 0 and 2 m water depth in  
 617 Lake Ohrid in 2009/2010.



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619 Fig. 3. Non-metric multidimensional scaling (NMDS) ordination plot of macrophyte, diatom  
 620 and macroinvertebrate metrics and functional groups at 26 sites in Lake Ohrid. Centroids of  
 621 biological metrics and functional groups are shown. Hydrochemistry vectors were fitted after  
 622 NMDS ordination. TI = diatom trophic index, MI = macrophyte index, ICM =  
 623 macroinvertebrate intercalibration metric.



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Fig. 4. Nutrient turnover in the shallow Lake Ohrid littoral (i.e. around 0.5 m depth); pathways are based on the hypotheses outlined in Fig. 1, with line weight of arrows coding the strength of the relationships according to Table 2: thin line weights represent pathways which are not supported by our data, intermediate line weights represent pathways which are supported but not statistically significant, and bold lines represent significant correlations.

631 **Table headings**

632

633 Table 1: summary statistics of abiotic variables and macrophyte, diatom and  
 634 macroinvertebrate metrics at 26 sites in Lake Ohrid measured in 2009 and 2010. “Quantity”  
 635 equals the sum of the cubed abundances (see Materials and Methods); values for NO<sub>3</sub>-N, TP,  
 636 conductivity and bod5 were back-transformed after averaging logarithmic values.

	average	min	max
NO <sub>3</sub> -N [mg/l]	36.2	10.5	124
TP [µg/l]	7.16	3.68	50
pH	8.48	7.75	8.70
conductivity [µS/cm]	208	195	239
dissolved oxygen [mg/l]	9.96	8.23	11.64
bod5 [mg/l]	1.58	0.87	3.59
macrophyte index	3.21	1.95	4.78
number of taxa macrophytes	7	2	13
abundance <i>Cladophora glomerata</i>	2.92	0	5
quantity Charales	28.19	0	107
quantity non-charophyte macrophytes	65.50	1	153
diatom trophic index	2.11	1.50	2.70
number of taxa diatoms	30.96	18	41
macroinvertebrate ICM	0.22	0.06	0.52
number of taxa macroinvertebrates	5.85	2	13
% grazers	0.62	0	1.43
% shredders	21.33	0	58.15
% gatherers	23.66	0	91.77
% filter feeders	0.51	0	1.57

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640 Tab. 2. Spearman correlation coefficients between water chemistry and the biological metrics  
 641 measured in Lake Ohrid; bold numbers are significant at  $p < 0.05$ .

	log(bod5+1)	log(NO <sub>3</sub> )	log(TP)	pH	log(cond.)	dissolved oxygen	macrophyte index	abundance C. glomerata	quantity non-charophyte macrophytes	diatom trophic index	% grazers	% shredders	% gatherers	% filter feeders
log(NO <sub>3</sub> ) [mg/l]	-0.03													
log(TP) [µg/l]	0.24	<b>0.40</b>												
pH	-0.02	-0.01	0.27											
log(conductivity)	-0.11	<b>0.40</b>	0.02	0.08										
dissolved oxygen [mg/l]	-0.11	-0.11	-0.19	-0.05	0.03									
macrophyte index	0.11	0.20	0.31	0.10	0.32	0.13								
abundance <i>Cladophora glomerata</i>	0.26	-0.02	<b>0.54</b>	0.08	-0.11	0.28	0.37							
quantity non-charophyte macrophytes	0.33	-0.10	0.11	-0.11	-0.05	0.20	<b>0.50</b>	<b>0.44</b>						
diatom trophic index	0.00	-0.04	0.10	-0.12	-0.21	0.20	-0.03	0.27	0.18					
% grazers	-0.19	-0.07	-0.01	-0.16	0.05	-0.08	0.27	0.13	0.18	0.02				
% shredders	<b>0.51</b>	0.14	0.14	-0.17	0.01	-0.20	0.31	-0.13	<b>0.38</b>	-0.26	0.21			
% gatherers	0.27	0.30	0.26	-0.13	0.29	-0.30	0.15	-0.11	0.00	0.19	0.10	<b>0.39</b>		
% filter feeders	-0.17	-0.05	<b>-0.39</b>	-0.03	0.06	0.01	0.03	-0.01	-0.03	-0.10	-0.11	-0.22	-0.25	
macroinvertebrate ICM	-0.26	-0.20	-0.35	0.01	-0.17	0.08	0.14	<b>-0.46</b>	0.22	-0.22	0.20	0.21	-0.19	-0.06

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