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1 **Health and ecological risk assessment to emerging**  
2 **contaminants (pharmaceuticals, personal care products, and**  
3 **artificial sweeteners) in surface and groundwater (drinking**  
4 **water) in the Ganges River Basin, India**

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23

## 24 **1. Introduction**

25 Several pharmaceuticals and personal care Products (PPCPs) as well as artificial sweeteners  
26 (ASWs) are known as contaminants of emerging concerns due to their frequent detection in  
27 environmental samples (Brack et al., 2012; Petrie et al., 2014). Often, residues of these  
28 contaminants are collected in wastewater effluents after their consumption and due to their low  
29 removal in wastewater treatment plants (WWTPs), these compounds enter freshwater  
30 ecosystems. In several cases where WWTPs are not existing, these substances enter the  
31 aqueous environment directly following human and veterinary excretion and municipal  
32 wastewater effluents. Their environmental (i.e. in soils and aquatic environment) occurrence has  
33 been pointed as a direct indicator of wastewater-derived pollution (Kümmerer and Henninger,  
34 2003), especially some ASWs such as sucralose and acesulfame K that are highly soluble and  
35 stable in the environment. During the last two decades, numerous studies have documented the  
36 occurrence of PPCPs and ASWs in wastewater and recipient water from many regions (Boxall et  
37 al., 2012; Sui et al., 2015; Y. Yang et al., 2017). Presence of the pharmaceuticals in groundwater  
38 could foster the dissemination of antibiotic resistance genes, which may interact with human  
39 intestinal flora and spread the resistance determinants, potentially impacting the human health  
40 (Szekeres et al., 2018). On the other hand, irrigation using water containing pharmaceuticals  
41 could imply that crops may take up these compounds, being another route of human exposure  
42 (Miller et al., 2016).

43 As some of the PPCPs are biologically active at low concentrations and have potential to  
44 accumulate in aquatic organisms (Brausch and Rand, 2011; Lillicrap et al., 2011; Tanoue et al.,  
45 2015), occurrence of these contaminants in drinking and irrigation water may pose health  
46 concerns and a water management challenge in many regions. Areas suffering drinking water  
47 scarcity and poor wastewater management are particularly sensitive to emerging contaminants.  
48 This is typically the case of developing countries with transitional economies, growing urban  
49 populations, insufficient pollution control infrastructures, and subject to frequent draught  
50 periods.

51 The pharmaceutical sector in India has seen a large growth in the last few decades and now  
52 ranks globally 3<sup>rd</sup> by volume, accounting 10% of the global pharmaceutical production  
53 (Department of Pharmaceuticals. Government of India, 2018). Increasing access to therapeutic  
54 drugs, use of veterinary drugs in intensive animal farming and industrially-processed food, and  
55 feeds represent drivers of high emission of PPCPs and ASWs to recipient waters as well as,  
56 potentially, in drinking water resources. Water resources scarcity, poor or absent wastewater  
57 management and high demand for irrigation water can also result in transferring a significant  
58 contaminant load to farms and possibly in the food production chain. Data supporting this  
59 hypothesis in developing countries including India are rare as monitoring of contaminants of  
60 emerging concern is not implemented on a routine base due to high cost and needs of in-place  
61 capacity.

62 In India, increasing demand for drinking water, poor management of available water resources,  
63 and unreliable water supply due to both anthropogenic and climate factors cause a virtually  
64 chronic water crisis (Natarajan et al., 2016; Thatte, 2018). While the protection of available  
65 drinking water resources from pollution is crucial, the process of building resilience in safe  
66 drinking water supply still requires essential base-line information. Only eight studies have  
67 monitored PPCPs in river water and two in groundwater in India, while no study monitored ASWs  
68 (Balakrishna et al., 2017; Fick et al., 2009; Philip et al., 2018). Available studies from India  
69 describe the results of local surveys, while works with a larger spatial breadth are still missing.

70 In this study, we examined the presence of selected PPCPs and ASWs in the surface and  
71 groundwater in the largest river basin in India, the Ganges River Basin (GRB). It is home of  
72 about 7% of the global human population and several industries are located within the  
73 watershed. For the last few decades, several mega-cities and semi-urban areas in the GRB have  
74 been experiencing serious water pollution issues and drinking water supply scarcity (Chakraborti  
75 et al., 2018; Natarajan et al., 2016). More than 60% of the irrigated agriculture and 85% of the  
76 drinking water supplies depend on the groundwater resources in India (World Bank, 2010) and  
77 contamination of groundwater aquifers may turn into a potential threat to the health of millions  
78 of people in India. The specific objectives in this study are to: (1) assess the contamination  
79 profile of selected PPCPs and ASWs in the Ganges River water acting as recipient of municipal  
80 wastewater (partially or mostly untreated) and groundwater resources in its proximity, and (2)  
81 evaluate the human health and ecological risks associated with existence of PPCPs and ASWs in  
82 drinking water and river water, respectively.

## 83 **2. Materials and methods**

### 84 **2.1. Description of study area and sampling**

85 The Ganges River is the largest river in India and along with the Brahmaputra and Meghna Rivers  
86 the third largest in the world in terms of water discharge. Along the 2 525 km long course in  
87 India, the Ganges crosses a steep environmental and socioeconomic gradient. Its average annual  
88 discharge is 12 400 m<sup>3</sup>/s and the hydrological basin covers 861 452 km<sup>2</sup> (MoWR, 2014; UNESCO,  
89 1971). Surface water and groundwater resources of the GRB are extensively used to support  
90 the livelihood of 43% of the Indian population through irrigation, provision of drinking water and  
91 of water for industrial purposes, ultimately contributing to 40% of India's gross domestic  
92 product. There are around 764 industries and 36 class I cities (population > 100 000) situated  
93 along the Ganges River (Narain, 2014). An estimated 1.4 x 10<sup>6</sup> m<sup>3</sup>/day of mostly untreated  
94 domestic wastewater and 0.26 x 10<sup>6</sup> m<sup>3</sup>/day of industrial sewage are discharged into the Ganges  
95 River and its tributaries (Natarajan et al., 2016). Along the Ganges River channel, there are  
96 about 27 chemical plants including production of fertilizers, pesticides, and pharmaceuticals  
97 which generate about 98 x 10<sup>3</sup> m<sup>3</sup>/day of wastewater. Chemical industries along with sugar and  
98 pulp industries generate 79% of the total industrial wastewater along the Ganges River (CPCB  
99 (Central Pollution Control Board), 2013).

100 The GRB can be divided into three reaches representing different ecological and socioeconomic  
 101 conditions: the Himalayan Reach (HR, mostly rural and semiurban population), Middle Reach  
 102 (MR, mostly urban, growing cities and industries), and Lower Reach (LR, mostly semirural and  
 103 rural, and industries). River water and groundwater samples were collected from 9 locations (4  
 104 in the HR, 2 in MR, and 3 in LR) (figure 1). To capture local contamination patterns, samples  
 105 upstream and downstream of major cities were collected from 5 of the 9 locations (namely UK,  
 106 KP, VS, PT, and FK, see figure 1 caption for location legend). In total, 14 sampling sites were  
 107 included. Groundwater was collected from handpumps (used as drinking water sources) by local  
 108 communities. Sampling locations for groundwater were positioned within 5 km from the Ganges  
 109 River. Sample collection took place during February–April 2014 in dry weather conditions  
 110 (defined as no rain in the previous 24 h and less than 2 mm in the previous of 48 h (Tran et al.,  
 111 2014). Collected samples were kept in an icebox and transported to The Energy and Resources  
 112 Institute (TERI) laboratory where they were temporarily stored frozen until they were shipped  
 113 (cooled at 4 °C) to the Research Centre for Toxic Compounds in the Environment (RECETOX)  
 114 laboratory for chemical analysis. Further details about the sampling campaign are provided in  
 115 supplementary data (Table S1) and in a previous work (Sharma et al., 2016).



116

117 **Figure 1.** Sampling locations in the GRB. Sampling locations are: UKU/D = Uttarkashi  
 118 upstream/downstream, DAK = Devprayag along Alaknanda River, DBG = Devprayag along Bhagirathi  
 119 River, DGR = Devprayag along Ganges River, KPU/D = Kanpur upstream/downstream, VSU/D = Varanasi  
 120 upstream/downstream, PTU/D = Patna upstream/downstream, FKU/D = Farakka upstream/downstream,  
 121 GSR = Ganga Sagar.

## 122 **2.2. Sample preparation and extraction**

123 Collected water samples were stored in RECETOX laboratories at  $-25^{\circ}$  C until analysis and  
124 processed in agreement with previous work (Sharma et al., 2016). All samples and procedural  
125 blanks were filtered prior to the extraction (70 mm Whatman GF/C filter with pore size  $1.2\ \mu\text{m}$ )  
126 and spiked with  $20\ \mu\text{L}$  of surrogate standard (C13 caffeine;  $400\ \text{ng/mL}$ , used for recovery  
127 control). The extraction was performed using solid phase extraction column (Waters® Oasis HLB  
128 6cc/150mg). Cartridges were conditioned with 4 mL of 0.1% ammonium hydroxide in methanol,  
129 4 mL of methanol, and 4 mL of Milli-Q water. Water samples (pH adjusted to 2 – 3) were loaded  
130 into the pre-conditioned cartridge at a flow rate ranging between 3 and 6 mL/min under  
131 moderate vacuum. After extraction, cartridges were dried for 30 minutes under vacuum in a  
132 protected atmosphere and washed with acetate buffer (4 mL, 0.025M). Pharmaceuticals and  
133 artificial sweeteners were eluted with 4 mL methanol into the falcon tubes and concentrated to  
134 about  $250\ \mu\text{L}$  (exactly weighted) using a gentle stream of nitrogen in TurboVap II (Caliper  
135 LifeSciences, USA) concentrator. Prior to the analysis, two aliquots of each extract ( $10$  and  $50$   
136  $\mu\text{L}$ ) were diluted with ammonium acetate in water ( $5\text{mM}$ ) to get a final volume of  $100\ \mu\text{L}$  and  
137 the content of either 10% (for ASWs and PPCPs ionized with ESI+) or 50% (for PPCPs ionized  
138 with ESI-) of methanol in the sample to reach the initial mobile phase content use for different  
139 target method.

## 140 **2.3. Target compounds and chemical analysis**

141 In total, 12 pharmaceuticals (acetaminophen, atenolol, caffeine, carbamazepine, ciprofloxacin,  
142 clofibric acid, diclofenac, hydrochlorothiazide, ibuprofen, ketoprofen, naproxen, and  
143 sulfamethoxazole), three personal care products (diethyltoluamide (DEET), triclocarban,  
144 triclosan) and five artificial sweeteners (acetsulfamate K, aspartame, cyclamate, saccharine,  
145 sucralose) were analyzed in the collected river and groundwater samples. The selection of these  
146 PPCPs and ASWs in this study was based on their popularity in selected previous studies from  
147 India and in other countries, and their availability on the Indian market. In addition, selection of  
148 PPCPs and ASWs for analysis was also influenced by the availability of analytical methods used  
149 in the trace laboratories of RECETOX where the collected samples were processed and analyzed.

150 ASWs were separated using an ultra-performance liquid chromatograph (UPLC ACQUITY,  
151 Waters®, Milford, MA, USA) equipped with BEH C18 ( $100\times 2.1\ \text{mm}$ ,  $1.7\ \mu\text{m}$ ,  $130\ \text{Å}$ ) column  
152 (Waters, Milford, MA, USA). Both water and methanol used as mobile phases contained 0.1%  
153 formic acid. Gradient elution with an initial content of 10% of methanol was applied and the final  
154 content of methanol (90%) was reached in 5 minutes. The flow rate of the mobile phase was  
155  $0.4\ \text{mL/min}$ . The injection volume was  $10\ \mu\text{L}$  per individual sample. Before the next separation,  
156 the column was equilibrated using the initial composition of the mobile phase for 3 minutes. The  
157 mass spectrometer (Xevo TQS, Waters®, Milford, MA, USA) was operated in negative ion mode  
158 (ESI-). Quantification of analytes was based on an external calibration using freshly prepared

159 standards with a range of 0.05–500 ng/mL (10 points). The mass-labelled standard (sucralosse-  
160 d3) was used for matrix effects evaluation and sucralose quantification.

161 For the analysis of the first fraction of the PPCPs (acetaminophen, atenolol, caffeine,  
162 carbamazepine, ciprofloxacin, DEET, diclofenac, sulfamethoxazole), the same column as used  
163 for the ASWs was used with methanol and water as mobile phase, both containing 0.01% formic  
164 acid and 0.1M ammonium acetate. The separation gradient, flow rate of mobile phase and  
165 injected volume were as described above for ASWs. The mass spectrometer was operated in  
166 positive ion mode (ESI+). Quantification of analytes was based on an external calibration using  
167 freshly prepared standards (10 points) with a range of 0.02–100 ng/mL (0.002-10 ng/mL for  
168 carbamazepine). The mass-labelled standards (paracetamol-d4 and sulfamethoxazole-d4) were  
169 used for matrix effects evaluation.

170 The second fraction of PPCPs (clofibric acid, hydrochlorothiazide, ibuprofen, ketoprofen,  
171 naproxen, triclocarbon, triclosan) was separated using an Xterra C18 (100 x 2.1 mm, 3,5µm)  
172 column (Waters, Milford, MA, USA). Water containing 0.1% acetic acid and 0.1% ammonium  
173 acetate and a mixture of methanol and acetonitrile (50:50) were used as mobile phases. The  
174 initial gradient was set at 40:60 organic:water, in ten minutes the content of the organic mixture  
175 was increased up to 100 percent (hold for 2 min). The flow rate of the mobile phase was 0.2  
176 mL/min. The injection volume was 10 µL per individual sample. Before the next run, the column  
177 was equilibrated using the initial composition of the mobile phase for 4 minutes. The mass  
178 spectrometer was operated in negative mode (ESI-). Quantification of analytes was based on  
179 an external calibration using freshly prepared standards (10 points) with a range of  
180 0.1 – 500 ng/mL. The mass labelled standards (ibuprofen-d3 and 13C6-triclosan) were used for  
181 matrix effects evaluation. Mass-labelled standards were added to the extracts prior to the  
182 instrumental analyses and were used for matrix control. If the response of mass-labelled  
183 standards dropped below the threshold (60% of response in calibration) the samples were  
184 diluted and re-analyzed to minimize the matrix effects.

#### 185 **2.4. Quality assurance/quality control (QA/QC)**

186 To ensure no significant contamination occurred during transport of samples, travel blanks were  
187 analyzed during a pilot study prior to the campaign. Travel blanks were obtained from a previous  
188 sampling campaign (in which marine water samples originating from pristine open ocean areas  
189 in the South Atlantic were collected). These were transferred in the same type of bottles and  
190 travel conditions used for the field campaign in this study and transported sealed during several  
191 days using commercial courier-express services. Concentration of PPCPs and ASWs measured in  
192 the travel blanks (n=3) (reported in (Brumovský et al., 2017)) were similar to those measured  
193 in laboratory procedural blanks, suggesting no significant contamination occurred during  
194 transport. Travel blanks results are provided in Table S4.

195 Procedural blanks (n=3; SPE cartridges without any loaded samples) were processed under  
196 identical laboratory conditions as the field samples and used to control potential contamination  
197 during analysis. In addition, to control LC-MS instrument sensitivity, a QA/QC sample was  
198 analyzed after each batch of 10 samples.

199 Method detection limits (MDLs) were calculated as the average of the individual compounds  
200 signals in the procedural blanks plus 3 times their standard deviation (SD). These signals were  
201 considered significant if they exceeded a threshold of 3 in the signal-to-noise ratio. For analytes  
202 which were not detected in blanks, MDLs were calculated as the concentration in samples with  
203 a signal-to-noise ratio equal to 3. The MDL values calculated from the procedural blank  
204 contamination were then compared with those obtained from the signal to noise ratio in the  
205 samples and the highest were chosen. The average MDLs (Table S7) were found between 0.06–  
206 15.0 ng/L and 0.5–2.0 ng/L for PPCPs and ASWs, respectively. The final results were blank-  
207 corrected using the average concentration of the target compounds in the procedural blanks.  
208 Reported concentrations of PPCPs and ASWs were not corrected for recovery. Concentrations of  
209 target analytes in procedural and field blanks are provided in Table S4. Recovery tests (n=10)  
210 were performed using spiked Milli-Q water with addition of target analytes at levels 20–200 ng/L  
211 (Table S5). Recoveries of most compounds ranged from 54% to 125%. In contrast, low but  
212 consistent recoveries were observed for acetsulfamate K (28±6%) and cyclamate (20±4%).

213 Measures for quality assurance and control have been described in detail by Brumovský et al.  
214 (Brumovský et al., 2017) and are also reported in the SI.

## 215 **2.5. Estimation of human health and ecological risk**

216 We estimated the worst-case scenario of potential health risk of the detected PPCPs in the  
217 groundwater (i.e. drinking water) along the Ganges River. We calculated an age-dependent risk  
218 quotient (RQ) for each detected PPCP by dividing the maximum measured concentration in the  
219 groundwater ( $MC_{GW}$ ) by the corresponding age-dependent drinking water equivalent level  
220 (DWEL) (Eq. 1). Age-specific assessment of exposures has been previously used to reduce  
221 uncertainty in risk assessment (de Jesus Gaffney et al., 2015; Leung et al., 2013; Yun Ya Yang  
222 et al., 2017).

$$223 \quad RQ = \frac{MC_{GW}}{DWEL} \dots\dots\dots (1)$$

224 Often, it is easier to convert the acceptable daily intake (ADI) into a corresponding water  
225 concentration, such as DWEL, so that the comparison of chemical concentrations measured in  
226 drinking water to ADIs is simpler. The DWEL was estimated for seven age categories (from 1  
227 year to >21 years of age) by using equation 2.

$$228 \quad DWEL = \frac{ADI(or\ RSD)*BW}{DWI*AB*FOE} \dots\dots\dots (2)$$



229 Where ADI ( $\mu\text{g}/\text{kg}/\text{day}$ ) is the acceptable daily intake or risk specific dose (RSD) for  
 230 noncarcinogenic and carcinogenic effects, respectively. Values of ADI (or RSD) for each detected  
 231 PPCP and ASW were adopted from the literature (Leung et al., 2013; Yun Ya Yang et al., 2017)  
 232 (Table S9). BW is the median body weight (kg) of age-specific groups (Table S10), DWI is the  
 233 daily drinking water intake (L/day) of age-specific groups (Table S10), AB is the gastrointestinal  
 234 absorption rate assumed to be 1, and FOE is related to the frequency of exposure (350 days/365  
 235 days)(de Jesus Gaffney et al., 2015; Yun Ya Yang et al., 2017). A RQ value greater than 1  
 236 indicated the possibility of human health risk. A RQ value between 0.2 and 1 calls for more  
 237 detailed assessment, whereas  $RQ \leq 0.2$  is considered of no appreciable concern to human health  
 238 (Schriks et al., 2010; Yun Ya Yang et al., 2017).

239 The ecological risk assessment was performed by calculating RQ for the detected PPCPs and  
 240 ASWs in the river water, as described in a previous publication (Yun Ya Yang et al., 2017). It  
 241 was calculated by dividing the maximum river water concentration ( $MC_{RW}$ ) for each PPCP and  
 242 ASW by the corresponding predicted no effect concentration (PNEC) for three classes of aquatic  
 243 organisms (i.e. algae, *Daphnia Magna*, and Fish) (Eq. 3).

$$244 \quad RQ = \frac{MC_{RW}}{PNEC} \dots\dots\dots (3)$$

245 PNEC was calculated as:

$$246 \quad PNEC = \frac{EC_{50} \text{ or } LC_{50}}{AF} \dots\dots\dots (4)$$

247 Where the  $EC_{50}$  (effective concentration, reducing a biological process by 50%) or  $LC_{50}$  (lethal  
 248 concentration, killing 50% the organisms) was obtained from the literature or by using the  
 249 US EPA Ecological Structure Activity Relationship (ECOSAR v1.10) model. For PPCPs or ASWs  
 250 (namely: atenolol, caffeine, ciprofloxacin, hydrochlorothiazide, cyclamate, saccharine,  
 251 sucralose), more than one toxicity values ( $EC_{50}/LC_{50}$  value) were available from ECOSAR model.  
 252 For these contaminants, baseline toxicity values were chosen as a precaution measure. A  
 253 summary of the  $EC_{50}/LC_{50}$  values is provided in the Table S12. AF, a standard assessment factor  
 254 with a value of 1000, was introduced to account for extrapolation from intra- as well as inter-  
 255 species variability in sensitivity (Hernando et al., 2006). Risk to aquatic organisms was  
 256 subsequently classified into three categories: Low risk ( $RQ < 0.1$ ), moderate risk ( $0.1 < RQ < 1$ ),  
 257 and high risk ( $\geq 1$ ) (de Souza et al., 2009; Hernando et al., 2006).

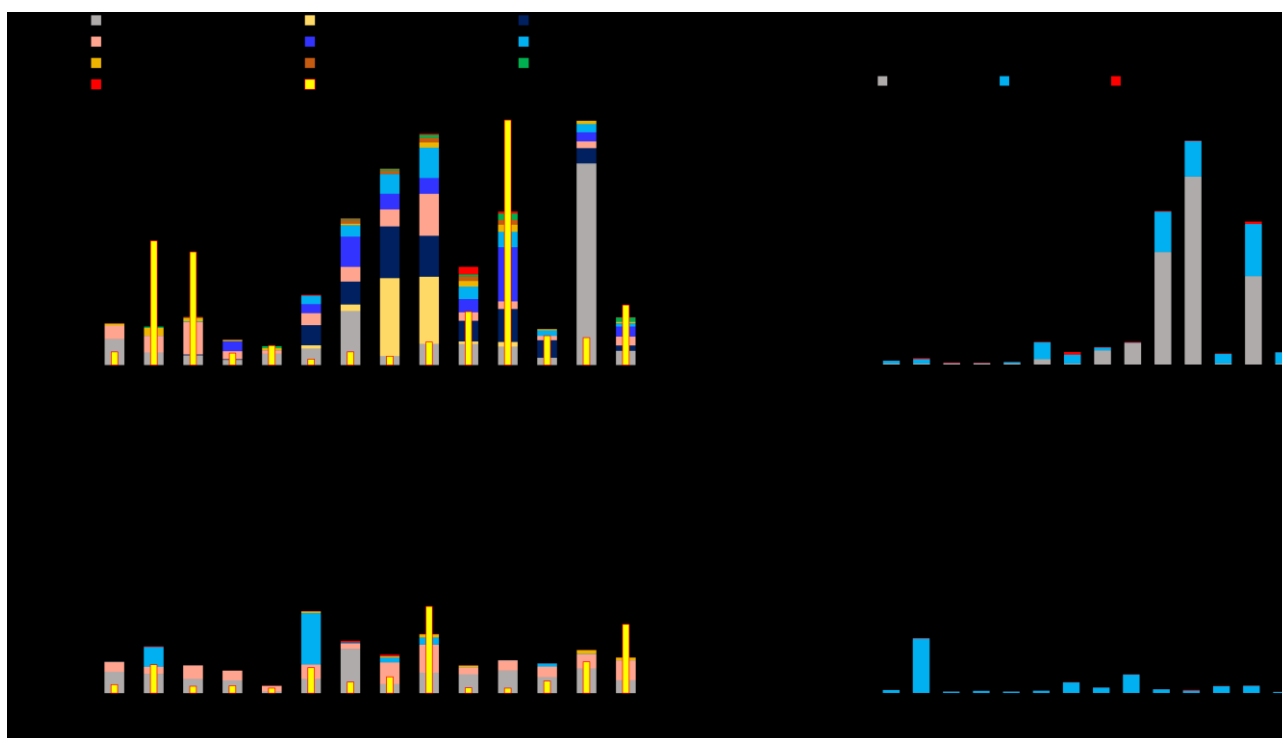
### 258 **3. Results**

#### 259 **3.1. Distribution of PPCPs and ASWs in the Ganges River water**

260 Of the 15 target PPCPs, 14 were detected in the Ganges River water at one or more sampling  
 261 sites. Atenolol and ibuprofen were detected at only one sampling site, while clofibric acid was  
 262 not detected at any sampling site. Caffeine, DEET, and ketoprofen were detected with 100%  
 263 detection frequency. The sum of detected PPCPs in the river water ( $\Sigma\text{PPCPs}_{RW}$ ) at the monitored  
 264 sampling sites ranged between 54.7–826 ng/L. The highest  $\Sigma\text{PPCPs}_{RW}$  was found in the lower

265 reach of the Ganges River. The highest concentration among the PPCPs was found for caffeine  
266 (743 ng/L), followed by ketoprofen (107 ng/L). High concentrations of caffeine were found at  
267 sampling sites in Himalayan reach. Except caffeine, the other PPCPs were generally higher in the  
268 middle and lower reach of the Ganges River compared to the Himalayan reach. At local level, as  
269 expected, concentrations of PPCPs were generally higher at the downstream sites of major cities  
270 such as Kanpur, Varanasi, and Patna. Concentrations of the frequently detected PPCPs in the  
271 Ganges River water are depicted in figure 2A and presented in Table S7.

272 Of the five ASWs, only three (cyclamate, saccharine, and sucralose) were detected in the  
273 Ganges River water at more than one sampling sites. Cyclamate and sucralose were detected  
274 with 79% frequency. The highest concentration among these frequently detected ASWs was  
275 found for saccharine, followed by sucralose and cyclamate. In river water, concentrations of  
276 saccharine and sucralose ranged between 2.4–85 ng/L and 0.5–24 ng/L, respectively.  
277 Concentrations of saccharine and sucralose clearly peaked in the middle and lower reach of the  
278 Ganges River. Concentrations of cyclamate ranged between 0.2–1.2 ng/L with elevated  
279 concentrations in the Himalayan and lower reach. Acetsulfamate K was detected only at one  
280 location in the lower reach. The sum of detected ASWs in river water ( $\Sigma ASW_{SRW}$ ) at monitored  
281 sampling sites ranged between 0.2–102 ng/L. Similar to  $\Sigma PPCP_{SRW}$ , the highest  $\Sigma ASW_{SRW}$  was  
282 detected at downstream of Patna in the lower reach. Concentrations of ASWs detected in the  
283 Ganges River water are depicted in figure 2B and presented in Table S8.



284

285 **Figure 2.** Panels A and C depict concentrations of frequently PPCPs in the Ganges River water and  
286 groundwater from the vicinity of the river channel, respectively. Caffeine is displayed by yellow bars  
287 corresponding to the secondary y-axis and it is not part of stacked bars in panels A and C. Panel B and D  
288 depict concentrations of frequently detected ASWs in Ganges River water and in groundwater from the  
289 vicinity of the river channel, respectively.

### 290 **3.2. Distribution of PPCPs and ASWs in groundwater**

291 Thirteen out of the 15 target PPCPs were detected in the groundwater at one or more sampling  
292 sites. Atenolol and clofibric acid were not detected at any sampling sites. Similar to river water,  
293 caffeine and DEET were detected with 100% frequency. The sum of detected PPCPs in  
294 groundwater ( $\Sigma\text{PPCPs}_{\text{GW}}$ ) ranged between 34–293 ng/L, with elevated concentrations observed  
295 in the middle and lower reaches of the Ganges River.  $\Sigma\text{PPCPs}_{\text{GW}}$  were about a factor of 2  
296 (geometric mean) lower than those found in the river water. However, at few sampling sites,  
297  $\Sigma\text{PPCPs}_{\text{GW}}$  was found higher than the  $\Sigma\text{PPCPs}_{\text{RW}}$ . Similar to the river water, highest concentrations  
298 in groundwater were detected for caffeine, ranging from 15–262 ng/L. Other elevated PPCPs in  
299 groundwater were ibuprofen (<MDL–49.4 ng/L), carbamazepine (<MDL–27.2 ng/L), and  
300 ketoprofen (<MDL–23.4 ng/L). Unlike river water, PPCPs in groundwater did not consistently  
301 display higher concentrations in wells located downstream the major cities. Concentrations of  
302 selected PPCPs in the groundwater along the Ganges River are depicted in figure 2C and  
303 presented in Table S7.

304 Similar to river water, cyclamate and sucralose in groundwater were detected at more than one  
305 sampling site. Sucralose was detected in groundwater with 100% frequency and ranged between  
306 0.5–25 ng/L. Groundwater concentrations of cyclamate ranged between <MDL–0.26 ng/L.  
307 Results for cyclamate have however been taken cautiously due to poor recoveries (Table S5).  
308 The detection frequency of sucralose in groundwater was higher than that in the river water,  
309 whereas that of cyclamate was 50% and lower than that observed in river water (78%).  
310 Interestingly, similar to river water, acetulfamate K was detected in groundwater only at one  
311 location in the Himalayan reach. The sum of detected ASWs in the groundwater ( $\Sigma\text{ASWs}_{\text{GW}}$ )  
312 ranged between 0.5–27 ng/L. Except for one sampling site (UKD) in the Himalayan reach, levels  
313 of detected ASWs in groundwater were elevated in the middle and lower reaches of the Ganges  
314 River. Concentrations of ASWs in the groundwater are depicted in figure 2D and presented in  
315 Table S8.

316 Generally, it is expected that PPCPs and ASWs with low  $\log K_{\text{ow}}$  would have a tendency to be  
317 present in groundwater, but in this study, no clear relationship was observed between  $\log K_{\text{ow}}$   
318 and either the frequency of detection of PPCPs and ASWs or their concentrations in groundwater.

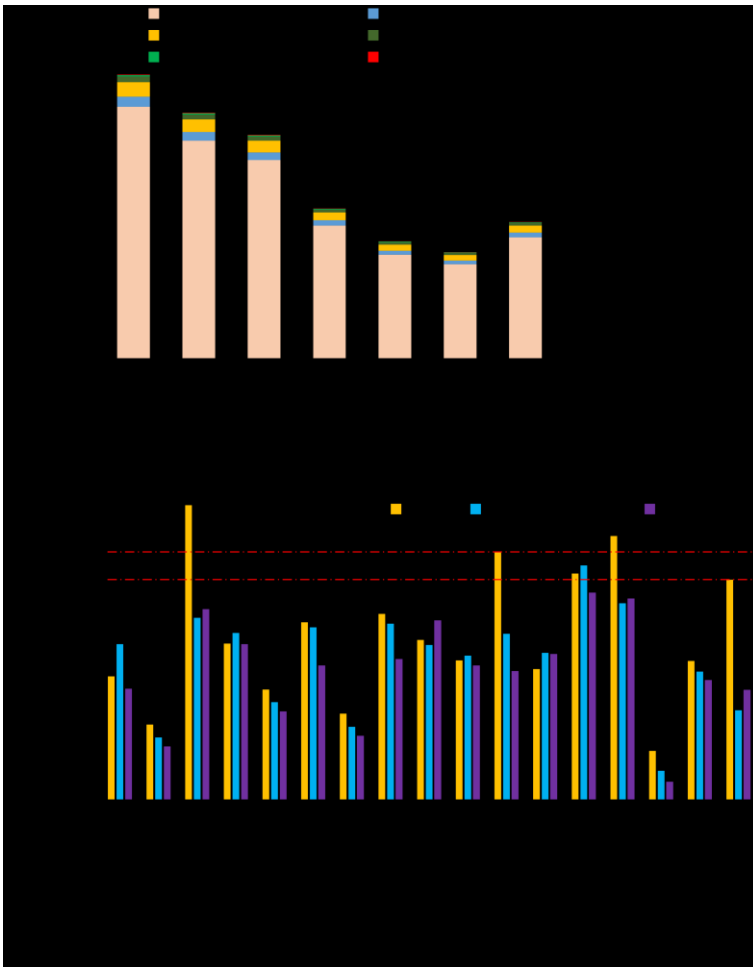
319 Pairwise/Dependent ANOVA was used for testing differences in concentrations of frequently  
320 detected PPCPs and ASWs in both river and groundwater in the three different reaches of the  
321 Ganges River. These PPCPs and ASWs selected for ANOVA were acetaminophen, DEET, caffeine,  
322 carbamazepine, sulfamethoxazole, ketoprofen, hydrochlorothiazide, triclocarban, cyclamate,  
323 and sucralose. Pairwise ANOVA was chosen because of possible overlaps in PCP and ASW  
324 contamination sources to the river and groundwater. Significant differences ( $p < 0.05$ ) between  
325 concentrations in the three reaches were observed for carbamazepine ( $p = 0.004$ ),  
326 sulfamethoxazole ( $p = 0.008$ ), and sucralose ( $p = 0.050$ ). Carbamazepine was significantly higher  
327 in both river and groundwater in the middle reach of the Ganges River. Similarly,

328 sulfamethoxazole was significantly higher in river water in the middle reach of the Ganges River,  
329 but not in the groundwater. Sucralose was found significantly higher in the river water in the  
330 lower reach of the Ganges River, but not in ground water.

### 331 **3.3. Human health and ecological risk**

332 We provide first estimations of age-specific RQs based on the maximum detected groundwater  
333 concentrations of PPCPs in the GRB as a worst-case scenario of human exposure. For all detected  
334 PPCPs, DWELs for all age groups ranged from 4.8 µg/L (for carbamazepine, 1–2 years age group)  
335 to 12.8 mg/L (for acetaminophen and 16–21 years age group) and RQs ranged from  $1.5 \times 10^{-7}$   
336 (for acetaminophen, 16–21 years age group) to 0.0021 (for carbamazepine, 16–21 years age  
337 group) (Table S11). The PPCPs with higher RQs were carbamazepine, ciprofloxacin, ketoprofen,  
338 caffeine, ibuprofen, and triclosan (figure 3A, Table S11). Among different age groups, children  
339 (1–11 years) had higher RQs than adolescents (11–21 years) and adults (>21 years). Overall,  
340 RQs of all detected PPCPs were <1, implying that the detected PPCPs in groundwater in the  
341 present study do not pose a risk to human health through drinking water consumption.

342 The RQ values (based on PNECs from Table S12) for ecological risk due to PPCPs and ASWs in  
343 river water are presented in Figure 3B and Table S13. For some of the PPCPs and ASWs  
344 noticeably high RQs were calculated, mainly for algae. For example, the RQ of caffeine as high  
345 as 49.5 was observed for three different aquatic organisms. Similarly, the RQs of triclocarban  
346 and triclosan ranged from 0.03 to 0.3 and 0.01 to 3.9, respectively. Among ASWs, the RQ values  
347 up to 0.1 were observed for sucralose, particularly for algae. The RQs for freshwater  
348 invertebrates (except for daphnia from triclocarban) and fish were generally lower than 0.1,  
349 implying negligible risk of acute/chronic toxicity to these aquatic organisms.



350

351 **Figure 3.** Panel A shows the human health life-stage RQs for some of the PPCPs in the drinking water (groundwater).  
 352 Panel B shows the risk quotients (on a logarithmic scale) for PPCPs and ASWs in the river water with respect to acute  
 353 toxicity for algae, daphnia, and fish.

354 **4. Discussion**

355 This is the first study reporting concentrations of PPCPs and ASWs in river and groundwater  
 356 resources in the GRB. Previously, only eight studies have reported data on PPCPs in rivers from  
 357 India (Balakrishna et al., 2017). Among them, only one study determined the fate and  
 358 occurrence of some commonly used antibiotics in the Yamuna River in Delhi, a tributary of the  
 359 Ganges (Mutiyaar and Mittal, 2014). The other seven studies reported PPCPs concentrations in  
 360 rivers from southern India. Similarly, studies determining levels of PPCPs in groundwater in India  
 361 are scarce, only two studies reported concentrations of selected pharmaceuticals in Indian  
 362 groundwater (Fick et al., 2009; Jindal et al., 2015), despite the fact that groundwater accounts  
 363 for over 85% of drinking water supplies in India (World Bank, 2010). To the best of our  
 364 knowledge no previous study focused on ASWs in rivers or groundwater in India. Two earlier  
 365 studies reported data on ASWs in WWTPs in India (Anumol et al., 2016; Subedi et al., 2015).

366 The present study shows that many PPCPs and ASWs are ubiquitous in both river and  
 367 groundwater wells in proximity of the main river channel. Concentrations of selected PPCPs  
 368 (diclofenac, ibuprofen, ketoprofen, naproxen) measured in this study were in similar ranges to

369 those reported in southern Indian rivers (Shanmugam et al., 2014). However, concentrations of  
370 ciprofloxacin in the Ganges River were up to 6 orders of magnitude lower than those found in  
371 the Isakavagu-Nakkavagu Rivers and in southern India (ciprofloxacin: 10–2500 µg/L) and in the  
372 Yamuna River (<1.44 µg/L) (Fick et al., 2009; Mutiyar and Mittal, 2014). In the present study,  
373 triclosan in river water ranged between <MDL–5.4 ng/L and had concentrations higher than MDL  
374 at only three sampling sites, whereas triclosan concentrations were found up to three order of  
375 magnitude higher in rivers in southern India (Ramaswamy et al., 2011). Concentrations of  
376 ciprofloxacin and diclofenac in the groundwater in the present study were up to 3 orders of  
377 magnitude lower than those observed in wells located villages of southern- and northern-India  
378 (Fick et al., 2009; Jindal et al., 2015).

379 In a global context, various studies have reported levels of PPCPs and ASWs in river and  
380 groundwater in North America, Europe, and Asia (Ebele et al., 2017; Kuroda et al., 2012a; Liu  
381 and Wong, 2013; Sui et al., 2015). River water concentrations of 14 PPCPs in the present study  
382 were up to one order of magnitude lower than those detected in the Qing and Liangshui Rivers  
383 in China (276–6 109 ng/L) (Dai et al., 2016), and in same range of those detected in the Túría  
384 River in Spain (average 50 ng/L) (Carmona et al., 2014). The median concentrations of sucralose  
385 were 1 140 ng/L in the Pearl River delta (Yuan Yuan Yang et al., 2017a), 2 orders of magnitude  
386 higher than those detected in river water in the present study. Similarly, up to 3 orders of  
387 magnitude higher concentrations of sucralose were detected in the Haihe River, China (Gan et  
388 al., 2013). Noticeably higher levels of cyclamate (0.12 – 0.67 µg/L) compared to the present  
389 study were detected in the Haihe River, China (Gan et al., 2013). Similarly, higher levels of  
390 cyclamate and sucralose were detected in European rivers (Lange et al., 2012). Although  
391 concentrations of PPCPs and ASWs in the present study were lower than those in many other  
392 regions, mass loadings of some of the PPCPs and ASWs in the Ganges River can be substantially  
393 higher or similar to those found in developed countries (Spoelstra et al., 2013) due to the  
394 enormous river water discharges of the Ganges.

395 Concentrations of PPCPs in the groundwater along the Ganges River were found to be either  
396 lower or in ranges of those detected in various other countries (Sui et al., 2015). For example,  
397 groundwater concentrations of sulfamethoxazole (MDL–4.13 ng/L) detected in this study were  
398 up to two orders of magnitude lower than those detected in groundwater in vicinity of municipal  
399 landfills in Guangzhou, China (29–125 ng/L) (Peng et al., 2014) and in range of those detected  
400 in groundwater from the Jiangnan Plain (<0.8 ng/L) (Tong et al., 2014). Groundwater  
401 concentrations of ibuprofen in this study were in the same range as detected in Serbia and  
402 Canada (Gottscharf et al., 2012; Petrović et al., 2014), while one order of magnitude lower than  
403 those reported in Spain, China, and Germany (López-Serna et al., 2013; Peng et al., 2014; Wolf  
404 et al., 2012). Groundwater concentrations of ASWs in the present study were up to three orders  
405 of magnitude lower than those reported in Canadian groundwater along streams (cyclamate<23  
406 ng/L and sucralose<24 µg/L) (Van Stempvoort et al., 2011). Although, groundwater

407 concentrations of sucralose found in this study were higher than those detected in Singapore  
408 (Tran et al., 2014), China (<9.6 ng/L) (Gan et al., 2013).

409 Selected PPCPs (ketoprofen, DEET, acetaminophen, and caffeine) and one ASW (cyclamate) in  
410 the Ganges River water in the pristine Himalayan reach (at Uttarkashi) were found similar to  
411 those in the densely populated and industrialized middle and lower reach of the Ganges River.  
412 This might be due to the overlap of sampling campaign in Himalayan reach with the tourism  
413 season. This part of the river also has religious importance and hosts millions of people coming  
414 for pilgrimage between April and October. A recent study has elucidated the impact of tourism  
415 on levels of PPCPs in Alpine rivers (Mandaric et al., 2017). Moreover, the sampling locations in  
416 the Himalayan reach are also the only towns in the region providing health care services and  
417 weekly grocery shopping for residents of hundreds of nearby villages.

418 There are various possible sources of PPCPs and ASWs in the Ganges River water, including  
419 direct discharge of domestic and industrial wastewater into the river. There are 764 industries  
420 (including chemical, dairy, food and beverage, and sugar) along the main channel of Ganges  
421 and its tributaries, which discharge about 501 million liters per day (MLD) of wastewater. In  
422 addition, 36 class-I and 14 class-II cities along the Ganges River discharge a total 2 601.3 MLD  
423 wastewater into the Ganges River. Total wastewater discharge at the sampling locations in the  
424 Himalayan reach is about 3.46 MLD which is 2 orders of magnitude lower than that at the  
425 sampling locations in middle and lower reaches (Kanpur: 598 MLD, Varanasi: 410 MLD, and  
426 Patna: 233 MLD) (CPCB (Central Pollution Control Board), 2013). In addition to these canalized  
427 wastewater sources, many household along the river banks discharge wastewater directly. In  
428 this study, we did not find any significant correlation of river water concentrations of PPCPs and  
429 ASWs with either wastewater discharges volumes into the Ganges River through major drains  
430 or population inhabiting within 20 km from sampling locations.

431 Possible sources of PPCPs and ASWs in the groundwater in vicinity of the Ganges River could be  
432 bank infiltration, irrigation through Ganges River water followed by leaching to the groundwater,  
433 leakage from septic tanks (or unpaved septic tanks) and leaching from landfills (e.g. many of  
434 which, in India, may illegally receive hospital waste, expired pharmaceuticals, etc.), flaws in  
435 sewage disposal practices, and unpaved drainage system. The intensity of these sources could  
436 vary during the wet and dry seasons depending on the magnitude and direction of infiltration.  
437 Several studies have previously used selected PPCP and ASW as markers of wastewater  
438 contamination. Caffeine, carbamazepine, acesulfame, sucralose, cyclamate, etc. have been  
439 indicated as appropriate wastewater indicator substances (Kuroda et al., 2012; Seiler et al.,  
440 1999; Yuan Yuan Yang et al., 2017b). In the present study, ketoprofen, DEET, and caffeine  
441 among PPCPs in river and groundwater and sucralose among ASWs in groundwater were  
442 detected with 100% detection frequency. These substances can be considered as appropriate  
443 indicators of wastewater contamination in surface and groundwater in the GRB.

444 This is the first study which provides estimates of health and ecological risks associated to PPCPs  
445 and ASWs in river and groundwater in India. Results of this study show that all detected PPCPs  
446 individually posed no considerable human health concern. However, as previously suggested,  
447 co-exposure to the PPCP cocktail have different implications for risk estimation (Backhaus and  
448 Karlsson, 2014). It has also to be noted that this assessment focuses only on a limited number  
449 of PPCPs, thus, the presence of other PPCPs and other emerging and legacy contaminants  
450 together should be considered in future studies. Compared to no appreciable risk to human  
451 health, moderate risks associated with some of the PPCPs were observed for aquatic organisms  
452 (i.e. algae and *Daphnia magna*). Previous studies also highlighted moderate risks associated  
453 with some of the PPCPs (namely: sulfamethoxazole and triclocarban) for aquatic organisms in  
454 other regions of the world (Du et al., 2017; Tamura et al., 2012; Yun Ya Yang et al., 2017).

## 455 **5. Conclusion**

456 This study shows that both surface and groundwater in the GRB are contaminated by PPCPs and  
457 ASWs, which are markers of wastewater contamination. Interestingly, some of the PPCPs and  
458 ASWs were detected in the river and groundwater at sampling locations in the pristine  
459 Himalayas. Previous studies have already shown contamination by other emerging and legacy  
460 contaminants in surface and groundwater from the Ganges River basin (Sharma et al., 2016,  
461 2015). In this study, no considerable human health risk and moderate ecological risk associated  
462 to PPCPs and ASWs were estimated. However, health and environmental risk from exposure to  
463 a large mixture of emerging and legacy pollutants may be of concern, especially because river  
464 water (from the Ganges River and its tributaries) and groundwater are the important sources of  
465 drinking water and agricultural production for 600 million Indians living in the GRB. In recent  
466 years, a few studies have reported serious health problems associated to contaminated water in  
467 the Ganges River (Chakraborti et al., 2018). Due to increasing population, urbanization and  
468 shifting lifestyle standards from traditional to contemporary, we can expect higher exposure  
469 levels in the future, unless appropriate water and waste management solutions will take place.  
470 Results reported in this study are a useful baseline for planning and assessing efficacy of possible  
471 future pollution control measures as part of the Indian white paper on Ganges protection and  
472 restoration.

473



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484

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