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1 Monitoring of microplastic pollution in the Arctic: Recent developments in polymer identification,

### quality assurance and control (QA/QC), and data reporting

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### 25 Abstract

The pollution of the environment with plastics is of growing concern worldwide, including the Arctic 26 27 region. While larger plastic pieces are a visible pollution issue, smaller microplastics are not visible with 28 the naked eye. These particles are available for interaction by Arctic biota and have become a concern for animal and human health. The determination of microplastic properties includes several methodological 29 steps, i.e. sampling, extraction, quantification and chemical identification. This review discusses suitable 30 analytical tools for the identification, quantification and characterization of microplastics in the context of 31 32 monitoring in the Arctic. It further addresses quality assurance and quality control (QA/QC) which is 33 particularly important for the determination of microplastic in the Arctic, as both contamination and analyte losses can occur. It presents specific QA/QC measures for sampling procedures and for the 34 handling of samples in the laboratory, either on land or on ship, and considering the small size of 35 36 microplastics as well as the high risk of contamination. The review depicts which data should be mandatory 37 to report, thereby supporting a framework for harmonized data reporting.

### 38 Keywords

Monitoring, microplastic, Arctic, QA/QC, reporting, FT-IR, FTIR, Raman, microscopy, py-GC/MS, TED-

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### 47 Introduction

48 Environmental pollution with plastics is a growing concern, for the public society and regulators, including 49 governmental legislation. As a result, countries and regions increasingly require to establish monitoring 50 programs. In recent years several monitoring guidelines have been developed, mainly on macrolitter items and larger plastic particles, for example by the Joint Group of Experts on the Scientific Aspects of Marine 51 Environmental Protection (GESAMP, 2019), the Oslo-Paris Convention for the Protection of the Marine 52 Environment of the North-East Atlantic (OSPAR, 2019, OSPAR, 2020), the Baltic Marine Environment 53 54 Protection Commission (HELCOM, 2021), and the Arctic Monitoring and Assessment Programme (AMAP, 55 2021), a working group under the Arctic Council targeting environmental pollution in the Arctic. These guidelines cover different types of environmental compartments and different size categories of plastics 56 57 (e.g. specifically larger litter and/or microplastic items).

Research in this field has led to rapid developments in the quantification and identification of plastic 58 59 particles including microplastics. In addition to information on number or mass, chemical composition, 60 and shape of plastic particles, there is an increasing interest and necessity within exposure, hazard and risk assessment research to identify these particles not only as "plastics", but also according to all of the 61 mentioned parameters (Kögel et al., 2020, Primpke et al., 2020a). Quality assurance and quality control 62 63 (QA/QC) measures (Brander et al., 2020, Schymanski et al., 2021) need to be integrated in the analysis of microplastics in environmental samples to avoid bias. Sample integrity could be affected across the whole 64 65 process of the survey and analytical process, for example by contamination from fibres in air or clothing 66 worn at the sampling stations (Prata et al., 2021), and emissions of particles from sampling vessels and 67 sampling equipment (Suaria et al., Leistenschneider et al., 2021). In the laboratory, contamination can occur from laboratory equipment (Song et al., 2021) as well as protective clothing (Dris et al., 2017, Witzig 68 et al., 2020). Moreover, the number of samples collected might be insufficient to represent the 69 70 environmental niche or the population, the extraction methods might not sufficiently remove interfering

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71 non-plastic matrix or not preserve the plastic analytes in a quantitative way. Furthermore, a loss of analyte might happen during processing, filtering and transport of samples, analytes might not represent the 72 73 hazard appropriately, and endpoint analysis might misidentify particle types, shapes, numbers, mass and chemical identity (Kögel et al., 2022). All these issues may have an impact on the accuracy and 74 comparability of the data and, if not recognized and controlled, could produce misleading results. Thus, 75 QA/QC measures need to be included in all sampling and measurement campaigns, and their results 76 reported and ideally quantified as measurement uncertainties. It is perhaps even more challenging to 77 integrate QA/QC in research and monitoring in geographical areas such as the Arctic, where remote 78 79 sampling locations, and potential technical limitations in processing facilities (e.g. lack of filters for water and air), combined with a need for warm, nowadays often synthetic outdoor clothing, make control 80 measures harder to implement. In addition, samples from the Arctic can be unique and their collection 81 82 expensive, which usually excludes that potentially compromised samples can be replaced or 83 measurements repeated, making rigorous QA/QC even more important.

In general, data reporting is still challenged by a lack of harmonization and standardization in the field of 84 microplastic analysis, including basic definitions of the central term "microplastics". For example, while 85 86 standards bodies like the International Standardization Organization (ISO) define microplastics as 87 materials based on plastic (ISO, 2020), the California Water Boards (The California Water Boards, 2022) 88 and the European Chemicals Agency (ECHA) (ECHA European Chemical Agency, 2019) define a minimum 89 requirement of polymer content (e.g. in California 1 w.t.%, The California Water Boards, 2022). Besides 90 the material, cut-off sizes for macro-, micro- and nanoplastics differ between different sources. For example, Hartmann et al., 2019) suggested 1–10 mm, 1 µm–1 mm and <1 µm for meso-, micro- and 91 nanoplastics, respectively. ISO (ISO, 2020) defined large microplastic with 1–5 mm and microplastic with 92 1  $\mu$ m–1 mm, and Frias and Nash, 2019) proposed 1  $\mu$ m to 5 mm for the term microplastics. Further, the 93 introduction of the term "anthropogenic particles" was introduced when chemical identity cannot be 94

95 confirmed (Athey et al., 2020, Athey and Erdle, 2021, Adams et al., 2021). The European Union (EU) has, 96 in the Marine Strategy Framework Directive (MSFD), defined microlitter as small litter fragments below 5 97 mm covering both plastic and other man-made solids (EUROPEAN COMMISSION, 2017). The objective of 98 this review was therefore to discuss the state of knowledge of litter and microplastic monitoring with 99 regard to polymer identification, QA/QC and data reporting accounting for Arctic conditions, to support a 91 harmonized framework including specific recommendations.

### 101 Section 1. Recent developments in the analysis of microplastics

102 While each environmental compartment in the Arctic has its own regional-specific requirements, methods and challenges with respect to microplastic sampling and sample extraction (PROVENCHER et al., 2022, 103 104 Strand and Murphy, 2022, Martin, 2022, Lusher et al., 2022, Kögel et al., 2022, Grøsvik et al., 2022), the 105 final microplastic analysis procedures are typically conducted at laboratories and often follow similar pathways. The determination of the chemical identity of a particle serves the purpose of a) confirmation 106 of the particle as microplastics (in contrast to naturally occurring materials), and b) further information on 107 108 specific polymer occurrences. Furthermore, to enable toxicological risk assessments, an accurate and 109 robust characterisation of the chemical composition of microplastic particles in food and environmental 110 samples is crucial because the chemical nature of the particles may influence the toxic effects on organisms 111 (Avio et al., 2015, Booth et al., 2016, Rochman et al., 2017, Kögel et al., 2020).

A number of analytical techniques have been developed and reported, ranging from simple but less robust approaches, mainly based on visual inspection, to advanced instrumentation. As examples of advanced techniques, spectroscopy or thermal degradation coupled with gas chromatography-mass spectrometry (GC/MS) for the chemical identification of microplastic polymer types, and, to some degree, additives and sorbed toxicants, have been developed. In terms of research and development, microplastic analysis has reached a stage where well-established and widely-used techniques exist for identification and semiquantification (i.e. robust conclusion of relative sample amounts in several samples, Hildebrandt et al., 5 119 2021). Nevertheless, the field continues to develop rapidly, including the introduction of entirely new 120 approaches. In the following section, we review those analytical techniques which have already been 121 applied in a large number of microplastics studies (Zarfl, 2019, Primpke et al., 2020a, Ivleva, 2021), and 122 evaluate their possibilities and limitations for microplastic monitoring in the Arctic environment.

### 123 Microscopy enhanced visual identification

Owing to its simplicity and the wide availability of low cost instrumentation, microscopy-enhanced visual 124 identification continues to be one of the most commonly used identification and guantification methods 125 126 for microplastics in environmental samples. It has been applied to a wide range of matrices, including 127 natural waters, sediments, soils, air, wastewater treatment plant influents, effluents, process waters and sludge, aquatic and terrestrial organisms, as well as to products for human consumption (Primpke et al., 128 2020a). In general, guidelines for the identification of microplastic include visual parameters such as 129 colour, colour distribution, shape, surface properties such as light reflection, as well as the width, length 130 131 and features of fibres, texture and malleability (Martí et al., 2020, Lusher et al., 2020a, Primpke et al., 132 2020a). The majority of studies divide microplastics into six primary shape categories: fragments, beads, 133 pellets, films, foams, and fibres (OSPAR, 2015). However, other categories exist, complicating harmonized data assessment, and a broader range of categories may be required to account for this (Lusher et al., 134 135 2020a). Reporting on a minimum set of criteria in addition to individual focus-specific criteria will solve 136 this problem to some extent.

During the early days of microplastic identification in environmental samples, visual identification was often the only technique applied. However, it has been recognised by many that the approach has a high misidentification rate if not combined with more diagnostic chemical analysis approaches (Hidalgo-Ruz et al., 2012, Lenz et al., 2015, Isobe et al., 2019). Visual identification is also affected by the visual acuity of the user and the equipment available (Lusher et al., 2020b). For this reason, GESAMP only recommends 142 visual identification for particles >1 mm in the monitoring of marine ecosystems (GESAMP, 2019). Similar 143 recommendations have recently emerged from working groups in Asia (Michida et al., 2020). However, this limit conflicts with the published research, where most studies utilising visual identification report 144 145 detection limits of between 100  $\mu$ m-1 mm (Primpke et al., 2020a). As a result, it has been recommended that optical microscopy be combined with spectroscopy analyses (Table 10.10 in GESAMP, 2019). A similar 146 147 approach was also suggested by Löder et al. (2017), introducing a size fractionation pre-treatment step, 148 which allows division into larger (e.g., >500  $\mu$ m) and smaller particles (e.g., <500  $\mu$ m), prior to sample 149 extraction (Löder et al., 2017). While the smaller particles require sample extraction, the larger particles 150 can be picked manually, assisted by optical microscopy, and identified chemically afterwards.

In cases where a chemical analysis of suspected microplastic is unavailable, the particles can be subjected to additional tests that can increase confidence in their identification as microplastics and enhance the quality of the results compared to the use of unassisted microscopy. Key information about particle properties can be determined by testing the physical behaviour/properties via micro-forceps or a dissecting needle. For example, as plastics melt at elevated temperatures, the thermal behaviour of a particle can be investigated by a hot needle or a heating plate (Lusher et al., 2020a).

Visual identification is considered inexpensive due to low instrument costs compared to more advanced 157 techniques (Primpke et al., 2020a), although the rather high amount of personnel working hours 158 159 associated with the manual analysis by experts is sometimes overlooked. These costs can be reduced by combining the optical microscopy setups with digital cameras that allow computer assisted image analysis 160 161 (Cowger et al., 2020b), which reduces the personnel costs associated with manual particle counting. 162 Moreover, the overall processing time of a filter investigated for microplastics depends on the applied identification protocol, the filter size used, the sample type (e.g., sediment, surface water, etc.), the 163 164 targeted size classes, and the general distribution of particles (Cowger et al., 2020b, Primpke et al., 2020a, 165 Thaysen et al., 2020).

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166 When using visual identification, it is important to consider human limitations and individual variations in differentiating non-polymeric particles and natural polymer-based particles (e.g., chitin or wool) from the 167 168 microplastics of interest, which may introduce a bias (Hidalgo-Ruz et al., 2012, Isobe et al., 2019, Zarfl, 169 2019, Primpke et al., 2020a). Such bias is dependent on the experience level of the investigators and is significantly reduced for very experienced laboratories (Isobe et al., 2019). Therefore, an appropriate 170 training period and reference sample sets or schemes are required to minimise the degree of bias as much 171 172 as possible (Lusher et al., 2017). Furthermore, the level of experience needed for the analysis of microplastics increases with decreasing particle size and the correct identification becomes much more 173 174 difficult for very small particle sizes (<100 µm). Some reported guidelines for microscopy-based visual identification in this size range exclude the selection of particles exhibiting properties that make 175 176 identification challenging. For example, particles may be excluded that are black, brown, white, or clear in 177 colour (Wiggin and Holland, 2019). In such cases, the generated data most likely represent an incomplete 178 picture of the true levels of microplastic contamination and make comparability of datasets very 179 challenging (Kögel et al., 2022). For the current stage of knowledge regarding the monitoring of 180 microplastic particles, this is an acceptable and pragmatic solution to reduce bias, but it will need to be reconsidered and adjusted as research and method refinements continue. Nevertheless, it is important 181 182 that any datasets using such methods highlight these issues so other researchers and users of the data are 183 aware of these limitations and can account for them when translating monitoring data into practical 184 measures and mitigation actions.

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# Fluorescent staining of microplastics for microscopy and preselection of particles

186 The visual assessment of microplastics can be enhanced with the use of fluorescent dyes to stain particles; 187 this is being increasingly applied to achieve a faster selection of microplastic particles and help reduce 188 researcher bias (Zarfl, 2019, Maes et al., 2017). Staining is typically conducted after sample fractionation 189 or extraction steps to minimize staining of the non-plastic organic material. Possibly the most frequently 8

190 applied dye for staining microplastics is Nile Red (NR; Andrady, 2011). NR is inexpensive, easy to handle 191 and effective for particle sizes from  $\geq$ 300 µm down to 3–20 µm, thus expanding the range typically achievable for microplastic identification by optical microscopy (Primpke et al., 2020a). The application of 192 193 NR has been demonstrated across various sample types, including microplastics in water samples, sands, sediments, biota samples, and atmospheric deposition samples (Primpke et al., 2020a), and it has also 194 195 been shown to be effective for the most common polymer types, including polyethylene (PE), 196 polypropylene (PP), polycarbonate (PC), high-density polyethylene (HDPE), low-density polyethylene 197 (LDPE), polyurethane (PUR), expanded polystyrene (EPS), polyethylene-vinyl acetate (PEVA) and polyamide (PA; Zarfl, 2019, Primpke et al., 2020a). Whilst there is some indication that different polymer 198 types stain sufficiently differently to allow a tentative identification (Maes et al., 2017, Crew et al., 2020), 199 200 a robust characterization equivalent to direct chemical identification methods is currently not possible.

Method developments towards staining-based polymer distinction would likely include as much effort as 201 202 direct chemical identification by spectroscopic methods. Furthermore, parameters such as particle size, shape, and solvents used may alter the staining behaviour. The difference in staining efficiency ranges 203 204 from 0-100%, with variations in co-staining of biological material depending on the polymer/solvent combination (Shim et al., 2016, Tamminga et al., 2017). Importantly, NR staining has limitations with 205 206 regards to certain microplastic subclasses, including those which are black in colour, fibrous, and rubber-207 based (Maes et al., 2017, Erni-Cassola et al., 2017). Error rates for identification can be further reduced if 208 stained particles undergo subsequent FTIR or Raman spectroscopy to confirm chemical identification 209 (Sutton et al., 2016, Maes et al., 2017). When NR is introduced into the analytical procedure in this way, 210 i.e. after fractionation or extraction, the staining acts as a pre-selection step that helps the user narrow down the number of particles that should undergo chemical identification, potentially saving considerable 211 212 time. This approach is a promising technique to highlight potential microplastics and guide researchers in

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eliminating non-plastic particles (Klein and Fischer, 2019). This approach is beneficial because it reduces
the time for subsequent analysis.

215 The main drawback of NR staining for microplastic identification is its lipophilic nature, potentially staining 216 all lipid materials present in a sample (e.g., those derived from biota; Cooksey et al., 1987). It is therefore 217 necessary that comprehensive sample extraction and clean up steps are applied to avoid the potential misidentification of natural materials, e.g., lipid droplets and microorganisms, as microplastics (Erni-218 219 Cassola et al., 2017, Wiggin and Holland, 2019). The use of oxidising agents and enzymes are examples of approaches for cleaning up samples (Lusher et al., 2020b, Primpke et al., 2020a). As a second pitfall, NR 220 221 can precipitate as agglomerated particles if applied in certain concentrations and solvents. This limitation can lead to confusion with stained microplastics (Bienfait A.M., personal communication, 2022). 222 Furthermore, conclusive method harmonization is not currently available. This is exemplified by the large 223 differences between recommended optimal staining concentrations, with literature values ranging from 224 225 0.1–2 μg mL<sup>-1</sup> (Erni-Cassola et al., 2017) up to 1–1000 μg mL<sup>-1</sup> (Maes et al., 2017).

### 226 <u>Fourier-transform infrared spectroscopic approaches for microplastic analysis</u>

Fourier-transform infrared spectroscopy (FTIR) could arguably be considered the most widely used of the 227 228 comprehensive and robust microplastic analysis techniques. In reality, this a 'catch all' term for a range of 229 different FTIR-based approaches. FTIR produces a spectral pattern by the transmission, absorbance or 230 reflectance of the polymer at all analysed wavelengths of infrared light, called an IR-spectrum, resulting in 231 a reproducible pattern, metaphorically called "fingerprint" of the polymer types. The obtained spectra are 232 compared with reference spectra for each polymer type. The application of FTIR for analysis of 233 microplastics in environmental samples was recently reviewed (Primpke et al., 2020a). The 161 reviewed 234 publications used a range of different FTIR technologies, including single-particle analysis using handhelds, 235 fibre optics and microscope-supported systems (µFTIR). Attenuated total reflection (ATR)-FTIR on single

236 selected particles was applied in 58% of the reviewed studies. ATR-FTIR is often applied to identify the 237 polymer type of plastic particles >300  $\mu$ m as it does not require any specific sample preparation and the 238 analysis does not require advanced skills, or mathematical correction, by the operator (Primpke et al., 239 2020a). In contrast, when targeting plastic particles <300 µm, µFTIR combines visual microscopic imaging and particle-size determination with FTIR, allowing individual particles down to sizes of 10–20  $\mu$ m to be 240 241 detected restricted by the diffraction limit for IR spectroscopy (Käppler et al., 2016). Furthermore, such 242 microscopes can also be coupled with an ATR unit, which allows the selective analysis of either small 243 particles or selected areas on larger particles (Käppler et al., 2018).

Given the range of FTIR techniques available, it can be important to carefully consider which is the most appropriate for a specific study or application (depending on availability). However, each comes with its own set of advantages and disadvantages. The following issues need to be considered when deciding which method to apply:

• ATR-FTIR microscopy can be very time consuming and incur high personnel costs especially for large numbers of samples, as in most cases the crystal needs to be placed onto the system manually or only small areas can be measured. Furthermore, there is also a high risk of sample contamination and loss during the movement of the crystal.

µFTIR in transmission mode is often affected by total absorption of the IR beam especially for thick
 particles or strong absorbing materials, which makes it difficult or impossible to detect certain types of
 particles with a high light absorbance. Furthermore, very small particles can also result in a high signal-to noise ratio.

μFTIR in reflection mode needs a surface with good IR beam reflective properties to perform the
 measurement, which limits its applicability for very small particles, or with significant diffuse reflection.

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258 With samples of decreasing particle size, the sample handling process becomes increasingly difficult and 259 can easily lead to erroneous results due to unmeasurable losses and contamination. Particles of interest must be concentrated onto membrane filters, reflective slides or IR transparent slides and windows to 260 261 avoid sample loss. The filters applied in microplastic analysis vary and include metal-covered PC filters (Cabernard et al., 2018) and silicone membranes (Käppler et al., 2015, Käppler et al., 2016), with aluminium 262 263 oxide filters being the most widely applied (Löder et al., 2015, Primpke et al., 2020a). Combined with these 264 particle concentration means, an approach to FTIR spectrometry for microplastic is to image an area, 265 sometimes the entire filter, IR transparent slide, window area or a reproducible subset of particles. These particles can be identified by an automated procedure for the pre-selection of particles by visual 266 identification (Cincinelli et al., 2017, Phuong et al., 2018), selection and counting by particle finders 267 268 (Palatinus et al., 2019, Renner et al., 2019b, Brandt et al., 2020), or the complete spectroscopic imaging of the filter area (Löder et al., 2015, Tagg et al., 2015). Thus, a quantitative output depicting particle number, 269 270 area and chemical identity can be achieved. Examples of the various FTIR analysis modes applied to the 271 same area of a filter containing microplastic are presented in Figure 1.

272 Complications arise if particles overlap on filters/slides which causes sequestration and misidentification 273 of particle sizes and numbers. Practically, there must be a compromise between numbers of particles 274 deposited on a filter and the accepted rate of overlap, as it cannot be completely avoided. Additionally, 275 large numbers of particles increase measurement times. It is for these reasons that often a fraction of the 276 total extracted particles is investigated within one measurement, especially when samples have high 277 particle numbers (Cabernard et al., 2018). Given these restrictions, pre-screening methods which include 278 staining are considered reasonable and do not appear to influence the IR-based analysis. To avoid staining 279 or to achieve defined measurement times per sample in routines, chemical (hyperspectral) imaging ( $\mu$ FTIR) 280 is the method of choice. With this approach, all particles can be analysed, even if they form particle clusters 281 (see Figure 1B to 1F). Still, such cluster situations may lead to uncertainties in terms of particle numbers

and sizes. Hyperspectral imaging via focal plane array (FPA) detectors (FPA-µFTIR), currently represents 282 283 the state of the art in microplastic analysis because it allows fast, effective identification and quantification 284 of microplastics in samples of a variety of different ecosystems and waste management systems (Primpke 285 et al., 2020a). FPA-µFTIR analysis generates a large volume of spectra (e.g., 1.5–3 million), which can be 286 analysed with the help of false colour images (Figure 1C) or semi-automated data analysis (Primpke et al., 287 2020a). In principle, imaging can be performed by any FTIR microscope equipped with single element 288 mercury cadmium telluride (MCT) detectors (Figure 1B and 1C), but spatial resolution and measurement 289 times increase significantly with imaged filter area (Harrison et al., 2012, Vianello et al., 2013) as visible in 290 Figure 1C compared to images derived using FPA systems (Figure 1E and 1F).

### 291 Combining infra-red microscopes with laser-based systems

292 In the last few years, the scope of infra-red microscopes has been extended from the application of FTIR 293 to the use of quantum-cascade laser-based systems. Such systems use a tuneable laser, which allows rapid screening of a sample, which is different from FTIR. The advantage of these systems is the far higher 294 295 brightness of the infra-red beam for measurement. In general, there are two pathways available, either 296 using a particle counting approach also called laser-direct-infrared (Hildebrandt et al., 2020) or FPA- based hyperspectral imaging (Primpke et al., 2020d). Commonalities between both methods include no 297 298 requirement for liquid nitrogen to cool the detectors for measurement and that samples can be measured 299 in a rapid fashion, marking these techniques as good candidates for monitoring of microplastics.

### 300 Raman spectroscopy for microplastic analysis

In recent years, Raman spectroscopy has seen a significant increase in application for the identification of microplastics in environmental samples. Measurements are performed on the particle surface and produce vibrational spectra complementary to FTIR and the chemical/polymer identification can be performed in a similar manner (see below). Most Raman spectrometers are connected to microscopes

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305 (μRAMAN), which increases the spatial resolution of the analysis and allows the determination of particle 306 numbers, shape and size, in addition to polymer type, within a single measurement (Cabernard et al., 307 2018). Raman spectroscopy allows particles down to 1 μm in size to be measured, a slight improvement 308 over μFTIR. Typically considered non-destructive, μRaman spectroscopy uses a focused laser beam that 309 may damage the analysed particles, which increases in severity with the speed and spatial resolution of 310 analysis because more energy is focused on the same small area.

311 As with FTIR analysis, larger particles (>300 µm) can be isolated and targeted as single particles for Raman analysis. The measurement of single particles is often performed on highly reflective surfaces to avoid 312 313 background signals from the support materials such as metal-coated mirrors, aluminium sheets, or coated slides (Ossmann et al., 2017). For smaller particles (<300 μm), similar approaches can be used for 314 315 automated particle identification on filter membranes (Frère et al., 2016). Common filters are metalcoated PC membranes or silicon membranes (Primpke et al., 2020a). The sample matrix influences the 316 lower size of particles successfully characterized using Raman spectroscopy, for example, particles down 317 to 1 µm were obtainable in simple matrices like drinking water while in more complex sample matrices 318 319 identification of particles >5 µm has been demonstrated (Imhof et al., 2016, Käppler et al., 2016, 320 Cabernard et al., 2018, Ossmann et al., 2018, Schymanski et al., 2018). Recently, it has been proposed that 321 Raman spectroscopy might be able to measure particles at the upper edge of the nanoplastics size range 322 (<1 μm; Schwaferts et al., 2019, Schwaferts et al., 2020).

Raman spectroscopy is advantageous in that each particle can be documented by shape and size, allowing for immediate calculation of particle numbers, size, and shape distributions. These processes can be automatized using a particle-finder mechanism to determine particle shape, size, and polymer type, which can reduce both researcher bias and measurement time although individual measurements can take long (Frère et al., 2016, Cabernard et al., 2018, von der Esch et al., 2020a). As with FPA-µFTIR, some Raman instruments can measure the entire filter area with the imaging system. However, Raman imaging systems

329 have long measurement times. Measurement times also differ between studies, for example, single 330 particle analysis can take from just a few seconds to almost an hour (Primpke et al., 2020a). Consequently, sample analysis times can range from several days to weeks, especially for samples containing small 331 332 particles (<10 µm) in high numbers (>1000 particles per filter; Primpke et al., 2020a). To circumvent such long measurement times, often partial analysis of the filter membranes (0.1–30% of the area) is performed 333 334 (Schymanski et al., 2018, Cabernard et al., 2018, Ossmann et al., 2018). However, a controlled method for 335 ensuring representative analysis when using subsamples is currently being debated (Schwaferts et al., 336 2021, Brandt et al., 2021), and going forward approaches should be established to ensure that analysis is (i) viable from a cost and time perspective, and (ii) produces representative and comparable data. 337

Imaging the whole filter area allows the identification and characterization of more particles in the same 338 sample (Käppler et al., 2016, Araujo et al., 2018). Subsampling is challenging due to inhomogeneous 339 distribution on the analysis filter or window (Thaysen et al., 2020, Brandt et al., 2021), but good results 340 341 have been obtained by bootstrap estimations (Schwaferts et al., 2021). However, the measurement times required for Raman imaging are considerably longer than those required by FTIR imaging. For example, an 342 343 area of 1 mm<sup>2</sup> had a scanning time of 38 h for a measurement at 10  $\mu$ m resolution (Käppler et al., 2016). A promising approach is stimulated Raman scattering (Zada et al., 2018), which decreases the 344 345 measurement time significantly, but is limited to particles of 12  $\mu$ m in size and a few polymer types. 346 Compared to FTIR, Raman spectroscopy has plenty of parameters which can be adjusted to improve the 347 signal to noise ratio, including spectral range, excitation wavelength, the applied objective, resolution, and 348 the number of accumulations. In summary, Raman is much slower than FTIR microscopy for routine bulk 349 sample analysis. However, Raman has the capability to identify niche polymers and smaller size classes if 350 time is not a limitation.

### 351 **Reference library searches**

352 Chemometric methods applied for spectral identification in FTIR and Raman spectroscopy/microscopy are 353 similar. The identification of a microplastic particle typically comprises imaging of the individual particle, 354 measurement of a raw spectrum and determination of key particle details (dimensions, area and shape) by image analysis. Following processing, the spectrum is then matched to the most similar reference 355 356 spectrum available in the spectral library and the polymer type determined (Figure 2). Respective 357 reference spectra are collected in spectral libraries, which are available commercially, publicly free of 358 charge or are compiled by the different laboratories for their own specific purposes. It is important to use 359 a library containing the analytes, but also to avoid the use of too extensive libraries with non-relevant analytes, as this increases the risk of misidentification, especially for small particles, where the signal-to-360 noise ratios are smaller. Some spectra of natural polymers can be similar to those of artificial polymers. To 361 overcome limitations by manufacturers as well as the use of different spectral databases for library 362 searches, open source software tools have recently been made available, which have the additional 363 364 advantage of offering harmonizing potential in microplastic analysis (Primpke et al., 2020b, Cowger et al., 365 2021).

366 Nevertheless, matching the spectrum of a particle to a known polymer spectrum in a reference library often remains a challenge, due to a combination of factors that include spectral changes caused by 367 368 weathering and degradation processes, contamination, optical distortions, and the presence of 369 copolymers. Advances in chemometric techniques point to potential approaches that may be adopted to 370 balance the competing priorities of efficient measurements and accurate identification (da Silva et al., 2020, Chabuka and Kalivas, 2020, Wander et al., 2020, Faltynkova et al., 2021). These methods include 371 372 the application of machine learning to identify useful portions of hyperspectral images and hence lower 373 the computational capacity needed to completely process the aforementioned large numbers of spectra 374 involved. Still, there is a strong debate ongoing which approach yields the best balance. Currently, studies

comparing several of these find that for example Pearson correlation, which is often applied for library
searches, is still one of the optimal operating approaches (Levermore et al., 2020, Morgado et al., 2021).

### 377 Thermoanalytical methods combined with gas chromatography and mass spectrometry

378 The characterisation of organic polymers by thermal degradation methods has a long and multifaceted 379 tradition. Accordingly, polymer producing and processing industry, forensic science, and polymer analysis 380 in general use these techniques in a broad field of applications (Wampler, 2006, Tsuge et al., 2011, Kusch, 2012, Kusch et al., 2013, Kusch, 2014). A comprehensive collection of pyrolytic data for polymers and co-381 382 polymers is provided by Tsuge et al. (2011). Additionally, commercial databases of polymer pyrograms and 383 related data are available (e.g. F-Search by Frontier Lab; >1000 polymers, 500 additives). The destructive 384 nature of thermal methods makes them the ideal concluding step in a complementary and comprehensive succession starting with optical chemical identification methods such as FTIR or Raman spectroscopy. 385

For microplastic identification with thermal methods, polymers are first degraded into polymer-specific products. This is performed at elevated temperatures (e.g. at 600 °C) in an oxygen-free environment to avoid combustion to elements, which would provide less analytical information. Coupled with gas chromatography (GC) the generated volatile products are separated as a function of time producing a pyrogram. The pyrogram is the equivalent of a polymer fingerprint and allows a first qualitative analysis. If a mass spectrometer (MS) is used for detection, further specific identification of all generated compounds and even polymer-specific quantification is possible.

The most common and established instruments are online pyrolysers coupled with gas chromatography and mass spectrometry (py-GC/MS) and thermogravimetric analysers (TGA) combined with evolved gas analysis (EGA) for the systematic decomposition of polymers. Pyrolysers differ in terms of temperature generation and mode of operation, heat transfer, and sample targets sizes crucial for sample capacity (Wampler, 2007). The following ones are the most common types:

Arctic Science (Author?s Accepted Manuscript)

Filament pyrolyser: Samples are typically introduced in open or semi-closed quartz tubes and placed
 in a heated platinum coil. These are heated isothermally or with a temperature program (Fries et al.,
 2013, Dekiff et al., 2014, Nuelle et al., 2014).

(Micro)furnace pyrolyser: Samples are transferred into stainless steel cups that are heated in a ceramic
 oven. Here, they are pyrolysed at a given temperature or heated with a temperature program (ter
 Halle et al., 2016, Hermabessiere et al., 2018, Käppler et al., 2018, Fischer and Scholz-Böttcher, 2019,
 Gomiero et al., 2019a).

Curie point (CP) pyrolyser: Samples are introduced on wires or in semi-closed ferromagnetic targets.
 Their alloy defines a discrete, exact pyrolytic temperature that is almost instantaneously reached when
 placed in a high-frequency coil chamber. Accordingly, pyrolysis is performed exclusively isothermally.
 Alloys are available for a broad temperature range (Fischer and Scholz-Böttcher, 2017).

409 The overall capacity of the individual techniques ranges from 1.5 mg (CP) to 50 mg (micro furnace). Since 410 sample amounts might influence the heat transfer, and accordingly the pyrolytic performance, sample 411 volumes of around 1 mg or less are preferred and guarantee optimal operating conditions. Before pyrolysis 412 is performed under polymer decomposition conditions, a potential stepwise heating and analysis of 413 sample is beneficial (e.g. temperature programmed heating or a so called "double shot" option). It enables 414 further valuable and additional information to be gained about the low molecular, volatile sample content, 415 e.g. low molecular organic additives, monomers, and accumulated smaller organic contaminants can be desorbed from the sample in a first moderate heating program. In this context, the use of a cryo-focussing 416 417 unit enhances chromatographic performance.

TGA subjects the polymer to a temperature controlled decomposition process, where the weight of the
polymer changes in a characteristic way that can be recorded as a function of temperature. Polymer
identification can be performed by the analysis of the generated decomposition gases (Tsuge et al., 2011,
Seefeldt et al., 2013). Recently, a TGA variant named thermo extraction desorption (TED)-GC/MS has been

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introduced (Duemichen et al., 2015, Duemichen et al., 2017, Duemichen et al., 2019). Here, the volatile
decomposition gases are trapped and concentrated on a solid phase absorber bar, subsequently
thermodesorbed and transferred into a GC/MS. The sample capacity of TED-GC/MS is stated as 100 mg.

## 425 Thermal multi-polymer identification combined with GC/MS coupling

426 The high diversity of pyrolytic polymer decomposition patterns combined with the high compound resolution power of GC/MS coupling outperforms pure EGA techniques. In principle, all thermal methods 427 428 connected to a GC/MS detection rely on the same principle of polymer identification, irrespective of the 429 pyrolytic system. The degradation products released from polymers heated at defined temperatures and 430 under the exclusion of oxygen are characteristic for the respective polymer types. Some have a rather 431 unique GC resolving power prior to detection by MS, resulting in a characteristic pyrogram acting as a fingerprint for polymer type identification. Ideally,  $1-10 \mu g$  of polymer are necessary to generate a 432 diagnostic pyrogram. However, individual particles with a mass as low as 0.3  $\mu$ g isolated from a sediment 433 434 sample have been shown to be sufficient for a successful polymer identification (Käppler et al., 2018).

In particular, the generation of highly specific pyrolysis products, combined with the resolving power of 435 gas chromatography as a function of time, allows the simultaneous detection and identification of multiple 436 437 polymers in a mixture within a single GC run. Retention time data of characteristic polymer degradation 438 products and characteristic indicator ion(s) from their respective mass spectra are the key properties 439 employed. From the MS data extracted in an ion chromatogram, representatives for each polymer are 440 generated. They usually reflect a highly improved signal to noise ratio and enable polymer detection even 441 at trace levels. To date, more than 10 different basic polymer types have been included in successful py-442 GC/MS applications for simultaneous microplastic detection in various complex matrices (Fischer and 443 Scholz-Böttcher, 2017, Fischer and Scholz-Böttcher, 2019, Dierkes et al., 2019, Gomiero et al., 2019a, 444 Dehaut et al., 2020, Primpke et al., 2020c). Similarly, TED-GC/MS has been successfully applied to identify

different synthetic polymers in complex environmental samples (Dümichen et al., 2015, Dumichen et al.,

446 2017, Eisentraut et al., 2018).

447 The thermal degradation behaviour and resulting intensities of selected polymer-indicator products 448 determine the instrumental limits of detection (LOD) for the respective polymers. Generally, the limit of 449 quantification (LOQ) is at the nanogram level for the individual polymer, but it can be affected by residual 450 sample matrix (Fischer and Scholz-Böttcher, 2019). To avoid interferences resulting from high background signals, an adequate pre-treatment to remove and reduce inorganic and organic matrices is highly 451 452 recommended prior to py-GC/MS analysis of environmental samples (Fischer and Scholz-Böttcher, 2017, Fischer and Scholz-Böttcher, 2019, Gomiero et al., 2020). Typically, microplastic extracts from 453 environmental samples are concentrated on pyrolytic inert filters (glass fibre or ceramic; e.g., anodisc; 454 455 Fischer and Scholz-Böttcher, 2017, Fischer and Scholz-Böttcher, 2019, Gomiero et al., 2019a, Primpke et 456 al., 2020c). Optional online derivatization, e.g. with tetramethylammonium hydroxide (TMAH) can be 457 applied to increase the py-GC/MS detection sensitivity for more challenging polymers such as polyester or 458 polyether (Challinor, 2001, Fischer and Scholz-Böttcher, 2017).

An overview of common polymer-based materials, examples of their application in consumer products, their respective polymer backbones and MS identifier ions yielding the various polymer clusters used for polymer quantification are present in Figure 3.

### 462 Thermal multi-polymer quantification combined with GC/MS coupling

Quantification is performed by either external calibration or related to an internal standard (ISTD<sub>py</sub>) that is added directly before pyrolysis. The ISTD<sub>py</sub> is able to reduce negative, sample dependent and complex interactions that can occur within the pyrolytic process (Fischer and Scholz-Böttcher, 2019). Calibration curves can be established between the mass of a polymer standard and its instrument signal. This mass reflects the "bulk" concentration of the respective polymer in the sample because py-GC/MS does not distinguish, e.g., between pure polymer, co-polymer, or other admixtures (Fischer and Scholz-Böttcher, 2017, Fischer and Scholz-Böttcher, 2019). The resulting mass-related data are independent of particle properties such as shape, size, density, texture, surface aberrations, colour, brightness, opacity, or weathering. Microplastic quantification requires an adequate digestion cleanup step to ensure subsequent chromatographic performance and data quality, especially with an increasing content of nonplastic organic material in a sample (Fischer and Scholz-Böttcher, 2019).

In TED-GC/MS, only the trapped gaseous products of the pyrolysed sample (via TGA) are analysed via GC/MS after subsequent thermal desorption. Thermogravimetric analyser sampling cups enable a direct sample measurement for microplastics if their content exceeds 0.4% w/w (Dümichen et al., 2015, Dumichen et al., 2017, Eisentraut et al., 2018). A high organic content in the sample matrix can perturb the analytical performance. This influence can be reduced by sequential heating, removing compounds that are more thermolabile than the targeted polymers.

480 LOQs are mainly determined by the GC/MS-system detector rather than by the pyrolysis method 481 employed, and typically below 1 µg for almost all polymers, even when single stage quadrupole MS with nominal resolution is applied (Fischer and Scholz-Böttcher, 2019). LOQs in the lower nanogram or 482 483 picogram range are possible with respect to instrumentation and processing modes, e.g. using triple 484 quadrupole GC/MS or high-resolution MS, such as Orbitrap. If the data acquisition is performed in full scan mode, a retrospective data analysis of originally non-targeted polymer types is possible from stored data 485 486 files if indicator ions and, if possible, retention times are available. The application of an internal standard 487 additionally allows the generation of at least semi-quantitative masses of these polymers. This 488 compensates for the general destructive nature of thermal methods.

### 489 Synergies between thermoanalytical and spectroscopic methods

490 Spectroscopic and thermoanalytical methods generate highly complementary data (Hendrickson et al., 491 2018, Käppler et al., 2018, Primpke et al., 2020c, Kirstein et al., 2021). Thermal degradation methods 492 provide the mass of a specific polymer that is defined by the detected polymer backbone (Primpke et al., 493 2020c, Dibke et al., 2021, Roscher et al., 2021b). Spectroscopic methods such as FTIR and Raman methods 494 provide particle size and number information, but the conversion to mass-related data has clear limits as 495 it assumes ideal ellipsoid shape of a particle (Simon et al., 2018, Mintenig et al., 2020, Primpke et al., 496 2020c). Few large particles have a higher impact on mass data compared to the contribution of a high 497 number of very small particles, highlighting the complementary nature of number counts (per size fraction) 498 and mass information.

### 499 Quality control/Quality assurance (QA/QC)

500 QA/QC measures are essential for microplastics investigations. Many QA/QC issues have been identified 501 (Brander et al., 2020) and appropriate QA/QC measures are currently being developed for research and 502 monitoring of microplastics. Such measures cover all parts of the analytical procedure i.e. sampling, 503 sample extraction/matrix reduction and analysis. Each of these steps has its own challenges for QA/QC, 504 but given the omnipresence of microplastics in all environments, contamination is a particularly critical 505 issue in microplastic analysis. Blank samples have a central role in microplastic sampling, sample 506 processing and instrumental analysis.

507 Starting with sampling, there are various factors to be considered. Samples may be contaminated by the 508 atmosphere, the vessel/instruments used for sampling and other factors at the sampling location. 509 Awareness of this issue has increased with the number of published studies leading to various mitigation 510 and methodological recommendations. To account to atmospheric deposition and contamination from 511 personnel conducting the sampling, the exposure of filters in petri dishes or filtered water in sampling to

ambient air is recommended as a minimum at the sampling stations (Brander et al., 2020). Such blanks can be accompanied by field blanks that mimic the sampling process, for example by using pre-filtered water and exposing it as if it was a sample. In contrast to atmospheric blanks, which require minimal storage and application space, field blanks of large scale sampling equipment may be associated with larger logistical constraints e.g. on research vessels as they need to be moved, processed and analysed in the same way as the environmental samples at the sampling station.

518 Potential contamination is not the only issue to consider when sampling for microplastics. The applied sampling device (e.g. sediment sample corer type, water filtration net or filtration system) and the mesh 519 520 sizes employed can strongly affect the outcome of any microplastic study. With a variety of methods utilised by researchers around the world, combined with the limited number of standard procedures 521 522 available non-commercially for microplastic investigation, the tools and methods used need to be reported 523 in detail (Cowger et al., 2020a) to ensure the correct data interpretation and use. With respect to 524 microplastic extraction and clean-up, only very limited information is available on how such methods and 525 devices perform in terms of the reported recovery rates and in comparison to one another. For that 526 reason, replicate samples are advisable, if possible. Data on reproducibility and recovery rates of sampling would be of interest to the scientific community. In addition, the variability of microplastic occurrence in 527 528 different environmental compartments should also be considered in the sampling process and reflected 529 in an appropriate number of replicates.

In contrast to the sampling step, the determination of recovery rates during sample extraction and particle separation has already been regularly applied and compared for larger microplastics items. For smaller particles, especially those <100 μm, such experiments are not typically performed on a regular basis. This is largely due to the lack of suitable reference materials in this size range and the fact that the commercially available reference materials are primarily spherical particles, which limits their applicability. Nevertheless, the inclusion of procedural controls is important for investigating the influence of the

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536 selected methodology on the separation quality. As this influence can vary from person to person, for 537 different matrices and with each adjustment of the method, it is recommended that procedural controls 538 accompany all individual analysis campaigns and are implemented regularly.

539 In the laboratory, procedural blanks allow the level and potential sources of particle contamination to be 540 determined. Similarly, how any identified sources of contamination are addressed adds another layer of complexity to data reporting and QA/QC. Known contamination or background levels observed in blanks 541 542 are often subtracted from the particle count or checked in relation to the derived particles numbers. These procedures are of high value for source tracking of contamination. For example, it has been demonstrated 543 544 by the application of procedural blank samples that a high number of PP particles were emitted from a sampling device (Mintenig et al., 2019), PA particles were introduced to fish samples by a meat mincer 545 546 packing (Gomiero et al., 2020) and that stearates used on nitrile gloves can act as a potential false positive for all types of analytical procedures (Witzig et al., 2020). Within the scope of research into microplastics 547 548 shapes, colours and sizes, a blank correction might be linked to these parameters, e.g. by separation of colours and the use of unique coloured clothing and materials in contact with the sample. Similar 549 550 approaches are available if polymer identification techniques are applied, which may result in even more 551 complex situations due to a large variety of polymer types and sizes. However, the question remains how 552 many blanks are needed for a representative description of laboratory background levels, to be applied in 553 blank correction. An example using an automated analysis approach can be found in the supplementary 554 information of (Roscher et al., 2021a). Still, other approaches might be used and need to be evaluated in 555 the future.

Procedural blanks are also very important for the determination of LODs and LOQs. In analogy to the common practice in analytical chemistry that refers to the signal to noise ratio, LOD and LOQ can be transferred to particles as three (LOD) and ten times the standard deviation (LOQ), respectively, of the average number of particles in the procedural blanks (Hildebrandt et al., 2021). These values allow a direct

comparison of data quality and provide meta-data for data studies and modelling, as well as assessments
of achievable sensitivity and its variation among laboratories.

562 Beyond these measures, laboratory air should also be monitored with regard to contamination from fibres and particles. Ideally, sample handling would take place in clean rooms, although not all laboratories may 563 564 have such facilities. By using laminar-flow cabinets for sample handling, a similar air quality can be achieved while keeping the cost within a medium price range (Wesch et al., 2017, Schymanski et al., 2021). 565 566 Particle filter units, like the often used 'Dustbox' that contains HEPA14 filters, can be used to remove particles from ambient air and are more commonly available now due to the COVID-19 pandemic. These 567 568 specially designed filtration units remove dust particles and fibres with a high efficiency and can be applied 569 to clean the laboratory air in general.

While the challenges discussed above encompass potential overestimation bias due to contamination, to 570 571 avoid or correct for underestimation bias, method validation needs to be implemented to determine microplastics recovery efficiency. This can be achieved by spiking samples early in the process with known 572 amounts of marked or unmarked reference microplastics, followed by conducting the sampling, extraction 573 574 filtration and endpoint analysis procedures on those samples and quantifying the recovery. To be 575 considered reliable, such reference microplastics need to closely represent the particles expected in the sample with respect to size, shape and polymer type. In addition, the exact original polymer content of 576 577 the (ideally natural) reference sample has to be known in advance. Both requirements can only be fulfilled to a limited extent. Most importantly, the results of recovery experiments, allow the quality of the applied, 578 579 specific analytical protocol to be assessed.

To quantify the recovery efficiency during the analysis procedure of real samples, the utilization of ISTDs is a widespread practice in analytical chemistry. Its successful application requires that the ISTD and analyte(s) exhibit an almost identical behaviour. Any loss of analyte should be mirrored by the ISTD, while their relative ratio remains constant and enables quantification. While this is convertible for soluble 25

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584 compounds and sample analysis in solution this is not the case for particulate analytes, such as 585 microplastics, especially if they exhibit a high degree of diversity in terms of size and shape. The loss of 586 ISTD particles during sample preparation does not mean that an equivalent number of analyte particles has been lost as well. Although labelled polymers appear to fulfil the principal requirements for an ISTD, 587 they are only suitable for general recovery experiments, but not for (particle) quantification based on 588 589 internal standardization. Nevertheless, labelled or metal-doped polymers (Mitrano et al., 2019) are highly 590 relevant e.g. to study transport processes related to MP with respect to environmental pathways or 591 cellular interactions and residence times.

The use of ISTD for MP quantification is applicable if an identical analytical behaviour of the analyte and the ISTD is can be assured. So far, successful applications are restricted to MP (mass) quantification by thermal methods. Examples include (i) solvent extraction of selected (solvable) polymers using a solvable ISTD (e.g. deuterated PS; Dierkes et al., 2019), (ii) thermal extraction of polymers from the sample without further pre-concentration steps (Eisentraut et al., 2018), and (iii) the addition of ISTDs prior to the thermal decomposition process to compensate a variety of interactions during the pyrolytic process as final determination step (Fischer and Scholz-Böttcher, 2019, Dibke et al., 2021, Goßmann et al., 2021).

Improving the quality of microplastic analysis is an ongoing research field in itself. The scientific 599 600 development would benefit from detailed reporting of QA/QC measures and issues, for a better 601 understanding of pitfalls and limitations and a better description of what is achievable. External quality control measures, as widely applied in other fields of analytical chemistry, are still under development for 602 603 microplastic research and monitoring. First interlaboratory studies still report challenges in the accuracy 604 and precision of microplastic determinations in environmental samples (van Mourik et al., 2021). Attempts 605 are ongoing to produce reference materials, which can support the laboratory's internal QA/QC (von der 606 Esch et al., 2020b, Seghers et al., 2021).

### 607 Data processing and reporting in the field of microplastic research

Due to the fact, that various spectroscopy and thermoanalytical methods currently exist for a range of instrument types produced by different manufacturers using a series of software and databases, the quality and comparability of the derived microplastic data are influenced by these factors. While the comparison of analytical methods is still hampered by the small number of designated polymer standards available (von der Esch et al., 2020b, Seghers et al., 2021), the first application of ring trials (Isobe et al., 2019, Müller et al., 2020, van Mourik et al., 2021) are beginning to present their results on the comparability of laboratories and matrices.

615 Given the challenges to produce comparable data, both within and between laboratories, researchers have focused on analyses in a harmonized manner, both at the instrument level (Renner et al., 2019b, 616 Brandt et al., 2020, von der Esch et al., 2020a) as well as the data processing level. Various commercial 617 618 and researcher-derived databases for the identification of microplastics by FTIR and Raman spectroscopy 619 are currently available (Cabernard et al., 2018, Primpke et al., 2018, Primpke et al., 2020b, Munno et al., 620 2020, De Frond et al., 2021). De Frond et al. (2021) have shown that the combined use of such published databases yields higher scores than the application of only commercial ones. As these databases were 621 622 specifically designed for microplastic analysis, they contain data related to both natural materials and weathered plastics, which increases the data quality. The presence of protein-based natural polyamides 623 624 in the database is especially important to avoid confusion with synthetic polyamide (Primpke et al., 2020d). 625 These databases can be easily applied via open access software such as 'siMPle' or 'Open Specy' (Primpke 626 et al., 2020b, Cowger et al., 2021). In a recent example, data was harmonized within a Citizen Science 627 project following this approach (Kiessling et al., 2021). This development of open access software is 628 accompanied by the improvement of the chemical identification approaches by other chemometric 629 methods (Mecozzi et al., 2016, Renner et al., 2017, Renner et al., 2019a, Hufnagl et al., 2019, Kedzierski et 630 al., 2019, da Silva et al., 2020), some of which are already commercially available (e.g. Purency).

Independent of their source, novel and existing methods need to be targeted by QA/QC using procedural controls, negative controls, and for the harmonization of analysis, a performance comparison with existing reference data sets if available. To avoid any confusion with occurring natural materials, identification techniques should be regularly investigated for unknown sources and potential interferences (Witzig et al., 2020).

While these issues raised above primarily focus on the generation of data, the actual reporting of data often lacks the use of a common database and reporting format. In the scope of the AMAP microplastic monitoring guidelines, several options have been discussed. For example, data for air levels or atmospheric deposition samples could be reported to the EBAS Database, operated by the Norwegian Institute for Air Research (NILU; AMAP, 2021). Data for the marine environment could potentially be reported to the Environmental Database operated by the International Council for the Exploration of the Sea (ICES; AMAP, 2021).

### 643 Section 2. Implications for the identification of microplastics in the Arctic

The AMAP guidelines for monitoring of litter and microplastic in the Arctic suggest as first priority 644 645 compartments to establish the monitoring of microplastic in water and sediment (AMAP, 2021). In 646 addition, monitoring of plastic particles is recommended in seabirds (for particles of > 1mm, generally speaking) and on beaches, the latter in terms of beach litter (AMAP, 2021). In addition to the general 647 challenges associated with the monitoring of microplastics, the Arctic region presents a number of 648 649 logistical, cost and technical difficulties (PROVENCHER et al., 2022, Strand and Murphy, 2022, Martin, 2022, Lusher et al., 2022, Kögel et al., 2022, Grøsvik et al., 2022 this issue). In this section we summarize 650 651 the general challenges associated with establishing and conducting robust microplastic monitoring and 652 outline the additional factors that must be addressed when monitoring microplastic in the Arctic.

## 653 Research and monitoring strategies

Page 29 of 64

654 One of the major issues in microplastics monitoring concerns the effort (cost, time, equipment) that is 655 needed to sample and extract microplastics from different environmental matrices. For example, 656 sediment, which is often considered the most relevant matrix for MP, is also one of the most difficult matrices to sample, especially as the water column depth increases. This becomes even more difficult in 657 658 the Arctic where sampling sites are often long distances from the mainland and where the water column 659 can be very deep. Sampling equipment also needs to be able to withstand the extremes of the Arctic 660 environment. The diversity of plastic material present in the marine environment has created 661 methodological challenges, especially for the targeted, quantitative analyses of microplastics (GESAMP, 662 2016).

The technical constraints associated with some of the different methods limit their use for purposes other 663 than monitoring, at least in the short-term. For example, the difficulties with adequately extracting and 664 665 measuring the smallest microplastics from environmental samples, especially those smaller than 10 µm, 666 means that a full picture of microplastic pollution is almost impossible to achieve at present. These 667 limitations also prohibit the monitoring of microplastic ingested by small organisms, whose food size ranges are restricted (i.e. 10-80 µm for mussels; Gomiero et al., 2019b, Ward et al., 2019). It is also 668 important to highlight that smaller microplastics are more difficult and take more time and resources to 669 identify and quantify. At present, there is still a lack of analytical methods capable of characterizing and 670 671 quantifying very small-sized microplastics and nanoplastics in environmental samples. In general, we do 672 not see that such technical challenges cause any additional difficulties within the context of microplastic monitoring in the Arctic, as the sample preparation and analysis steps typically take place in the laboratory. 673 674 It is also important to highlight the risks of sample contamination, which primarily occurs during sampling 675 and sample processing, as discussed above, and which increases for smaller microplastics. Here the Arctic 676 presents a specific challenge, as personnel conducting the sampling need to wear a significant amount of 677 outdoor clothing for protection against the weather and low temperatures. Nowadays, such clothing is

678 predominantly made of synthetic textiles, which increases the risk of contamination. There is therefore a 679 need to harmonize procedures to mitigate airborne contamination, to ensure that this is adequately 680 considered and addressed in all monitoring studies.

681 Traditionally, datasets have been difficult to compare due to the wide variety of methodological approaches that are applied by different researchers to extract, identify, quantify and characterize MPs. 682 683 The majority of these method inconsistencies can be related to: (i) differences in the lower and upper size limit examined; (ii) the sensitivity of the applied extraction technique; and (iii) differences in sampling 684 685 technique, all leading to a wide variety of efficiencies and reporting units (Lusher et al., 2015). These 686 challenges are sought overcome with a set of harmonized guidelines for monitoring in the Arctic, including 687 specific recommendations for microplastic monitoring in several compartments, while leaving room for 688 updates as research progresses and new knowledge becomes available (AMAP, 2021).

689 As highlighted by GESAMP (2019), many of the methods used and reported for microplastic sampling and 690 sample processing have key limitations. One example is the use of manta trawl nets for collecting microplastic present in surface waters. These nets typically have a pore size of 330 µm to prevent collection 691 of too much microbiota, but this means that microplastics below 330 µm are not effectively collected. 692 693 Given that particle numbers have been demonstrated to increase almost exponentially with decreasing 694 particle size (Cabernard et al., 2018, Kooi et al., 2021, Primpke et al., 2020d,) manta nets have the potential 695 to miss the vast majority (by number) of microplastics present in the surface water. As a result, it is widely 696 acknowledged that further research into improving sampling and sample processing methods is needed, 697 as well as more harmonization of sampling design in terms of the number and the size of replicates, the 698 spatial area and the frequency of sampling. This is particularly important in the Arctic, where the high costs 699 associated with microplastic monitoring need to generate robust and valuable data (Mallory et al., 2018). 700 In addition, time series are planned for litter and microplastic in the Arctic, which should be inherently 701 consistent. Finally, working at the Arctic scale could also support the future development of automated 30

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sensors and real time measurements in extreme environments. This will open up for new possibilities of
 *in situ* analysis in the environmental compartments of the Arctic.

### 704 Balancing cost and time

705 In the scope of a recent review (Primpke et al., 2020a) and the AMAP guidelines (AMAP, 2021), different 706 methods for the analysis of microplastics were assessed with regard to the associated costs for 707 microplastic identification and quantification. In most cases, the methods offering the best degree of accuracy and the largest particle size range were expensive due to a combination of high instrument costs 708 709 (purchase and operation), or personnel requirements (time and competence level). In contrast, the 710 cheaper approaches typically have a much higher degree of uncertainty and/or a much more limited 711 particle size range, although they can also be more resource demanding in terms of personnel costs relative to some of the more advanced techniques. All methods were found to have both positive and 712 negative aspects. For example, optical microscopy is inexpensive from an instrumental point of view and 713 714 can be easier to use in the field or on a research vessel. However, the personnel demand is often rather 715 high because of the number of potential samples to be analysed manually. In addition, the success of 716 optical microscopy and the quality and value of the data produced are strongly linked to the experience 717 of the investigator, which may induce a human bias and are dependent on the application of strict and 718 harmonized identification guidelines. In contrast, chemical identification by microscopy-spectroscopy requires rather expensive instrumentation but the high level of automation significantly reduces the 719 720 personnel costs. As a result, the personnel costs for microscopy-spectroscopy analysis are typically 1-2 721 hours per sample, while this increases to 4-7 hours when using optical microscopy methods (even those 722 supported by dye staining). In the case of monitoring in the Arctic, a good compromise between chemical 723 accuracy, field work applicability, and costs needs to be defined. As an example, the individual needs 724 presented in Table 1 were derived from the AMAP Monitoring Guidelines (AMAP, 2021).

725 To reduce the demand and costs for the chemical identification of microplastics, the approach of 726 subsampling is commonly applied in microplastic research (Mintenig et al., 2020, Brandt et al., 2021, 727 Thaysen et al., 2020, Schwaferts et al., 2021). Depending on the type of spectroscopy applied, this can be 728 performed via a minimum number of randomly selected particles or small fields of views which follow a 729 random or specialized pattern by the instrumentation. These approaches can be performed among all size 730 classes and minimum values range from 50 particles per sample (Mintenig et al., 2020) for particles 731 >300 µm to several thousand particles (Schymanski et al., 2021) for particles <300 µm. For imaging 732 approaches and automated particle counting approaches the data is more complex to address. Currently 733 researchers have not agreed on an optimal strategy. While Mintenig et al. (2020) found that 66% of the filter should be analysed using imaging techniques, other studies suggested to use different shapes or a 734 735 defined number of a small fields (Brandt et al., 2021, Schwaferts et al., 2021). In the context of monitoring, 736 either of these approaches is considered sufficient for determining the total number of plastic particles in 737 a sample. Splitting the sample prior to chemical analysis is another way to reduce analytical costs, although 738 this can only be performed during sample handling in the laboratory and may induce risks such as missing 739 polymer types or over/underestimating the total load of microplastics (Abel et al., 2021).

# 740 Implications for reporting on microplastics

741 Researchers have developed, implemented and reported a diverse range of methods and non-742 standardized approaches for microplastic sample collection, extraction, and analysis. These are often insufficiently described or exhibit critical differences that result in many studies being neither comparable 743 744 nor reproducible. Each method typically has its strengths and weaknesses, and there are continued efforts 745 to further optimize existing methods, as well as develop new ones that represent improvements in 746 throughput, LODs/LOQs and reproducibility. Attempts to develop optimized approaches have contributed 747 to the rapid evolution and diversity of the methods applied in the last few years and made comparison of 748 datasets increasingly difficult, especially when reports on method validation are incomplete. In addition

to this diversity of methodologies, the situation is compounded by the research community using a broad
range of data reporting formats. This includes differences in the classification of microplastic properties
(e.g. size, shape, colour), as well as in the units in which microplastic data are reported, including particle
number or mass concentrations.

753 We feel that it is important to highlight that utilization of different methods to generate microplastic data 754 is not necessarily an issue it itself. The challenge is that the methods must be fit for purpose and able to 755 deliver data of a comparable quality. Unfortunately, the different methods reported in the microplastic literature have resulted in significant variation in the quality and value of microplastic data that are 756 available to users (e.g. risk assessors and regulators). There is, therefore, a need for tools that can help 757 users of microplastic data to assess the quality and value of reported studies and datasets. This issue has 758 759 been identified within the scientific community and the first generation of data quality assessment tools are already starting to become available (e.g. https://fare.grida.no/). In addition, interlaboratory 760 761 comparisons have been conducted by organisations with long-term experience in the field of QA/QC in analytical chemistry (van Mourik et al., 2021). 762

763 A further complicating issue can be that microplastic data are not always reported or archived in open access formats. A recent publication has provided a comprehensive set of recommendations and 764 guidelines for the reporting of microplastic data that aims to increase the reproducibility and comparability 765 766 between studies (Cowger et al., 2020a). The authors provided a Mind Map in which general method groups flow from the primary term "Microplastics Reporting Guidelines." The interactive Mind Map is available 767 768 as an Open Science Framework project (OSF) in which users can access more details. We suggest that the 769 same methodological quality criteria and data reporting criteria are clearly recommended by all 770 monitoring programs developed around the world, where modifications are introduced to support specific 771 variations in program requirements. Here, the Arctic represents a good example and the recently 772 published AMAP Guidelines were developed specifically to be as relevant as possible for the Arctic

ecosystems, communities and research scientists (AMAP, 2021). Any key differences from other monitoring programs should be clearly highlighted and justified in individual monitoring programs to help achieve harmonization across microplastic studies conducted by different research groups around the world.

# 777 <u>Recommendations for the characterisation and quantification of microplastics in an Arctic monitoring</u> 778 <u>program</u>

Our first recommendation is that microplastic monitoring in the Arctic follows general developments in microplastic monitoring and seeks to follow and implement these to ensure comparability at the global scale.

782 For the reporting of the data across compartments suggested for monitoring of microplastics in the Arctic, 783 a harmonized scheme for size classes, colours, shapes and polymer types should be used. To achieve this, 784 microplastic shape should be determined by optical microscopy, where visual identification should follow 785 the categorisation scheme published by Lusher et al. (2020) to achieve harmonized data (Lusher et al., 2020a). To allow cross-analysis among databases, as the recommended ICES database also acts as a 786 787 contributor, the following eight colours (Table 2) should be reported along with these shapes in 788 accordance with the colour characterisation applied in the EMODnet database (https://www.emodnet-789 bathymetry.eu/):

It is crucial that the size classes are reported in a manner that allows harmonized data reporting across
various monitoring guidelines and databases, as well as different environmental matrices and geographical
regions. Therefore, we currently recommend that identified microplastics are reported in the following
size classes: >1 mm, 1 mm – 300 µm, <300 µm – LOD<sub>size</sub> (limit of detectable size for a specific analytical
approach). The lower detection limit in size (LOD<sub>size</sub>) of the data should be reported separately to allow
better contextualization for future use. This should be performed for each polymer type selected for

inclusion in the Arctic monitoring program (see Table 3), where the analysis was determined as mandatory
in the respective guideline (see Table 4 for the Arctic).

798 Where a specific polymer type was actively not included as part of the identification and analysis method, 799 a value of 'not applicable' (N/A) should be reported. Where a specific polymer type was included but not 800 observed within an individual sample or dataset, a value of 0 should be reported. This critical distinction ensures that 'absence' and 'unmeasured' polymer types can be separated in the data. The reported data 801 802 for polymer type analysis by spectroscopy should include information on the subsampling approach applied. In the case of microplastic sizes between 1 mm and 300 µm, this analysis is considered mandatory 803 804 for most compartments selected for microplastics monitoring in the Arctic (Table 4; AMAP, 2021). Due to the large number of commercial databases and available software, details about those utilised in a specific 805 806 study should be reported as meta-data.

807 Where thermoanalytical methods are applied, it is recommended to pyrolyse the entire processed sample, rather than using aliquots, to achieve high sensitivity and to be able to work in a "comfortable" calibration 808 range. With respect to the expected polymer concentration, sample volume should be thoughtfully 809 810 adjusted before any preconcentration procedure. The expected polymer mass should be located in the 811 middle of quantification range of the polymer. This range varies across different instrumentations, but typically covers  $0.5 - 100 \,\mu g$  polymer. As mass-related data for polymers or, more precisely, polymer 812 813 clusters (Figure 3) are still rare, relevant publications and experts should be consulted. From data already available, the expected mass ranges of total microplastic are in the ppt to ppb level for ocean water 814 815 (Fischer and Scholz-Böttcher, 2019, Primpke et al., 2020c, Dibke et al., 2021), in the ppb level for sediments 816 (Fischer and Scholz-Böttcher, 2019, Gomiero et al., 2019a, Primpke et al., 2020c), and in the ppb to ppm 817 level for biota (Fischer and Scholz-Böttcher, 2017, Gomiero et al., 2020).

For data produced by thermoanalytical methods, polymers should be obligatory and addressed as polymer
 clusters of the respective polymer type (Figure 3). Any quantification should be performed using defined,
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backbone-pure polymer types for calibration. The resulting data should then be expressed as respective polymer clusters to gain the highest degree of comparability and harmonization in between datasets. LODs and LOQs should be always related to an actual measured concentration and should never be extrapolated. The reporting of additional information concerning microplastic/polymer concentrations that are calculated via signal to noise ratios or those that result from a calculation based on a very low concentration calibration curve is recommended.

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## 838 **Competing interests statement**

839 Competing interests: The authors declare there are no competing interests.

840 CRediT authorship contribution statement

SPr: Conceptualization, Writing – Original draft, Writing – Review and editing. SPa: Data curation, Writing 841 842 - Review and editing. AL: Conceptualization, Writing - Original draft, Writing - Review and editing. AB: Conceptualization, Writing – Original draft, Writing – Review and editing. GG: Writing – Original draft, 843 844 Writing – Review and editing. JS: Writing – Original draft, Writing – Review and editing. BSB: 845 Conceptualization, Writing – Original draft, Writing – Review and editing. JP: Project 846 administration, Writing – Review and editing. SA: Writing – Original draft, Writing – Review and editing. 847 AG: Writing – Original draft, Writing – Review and editing. KV: Data curation, Writing – Review and editing. 848 TK: Conceptualization, Writing – Original draft, Writing – Review and editing. FG: Writing – Original draft, Writing - Review and editing. 849 850 References 851 ABEL, S. M., PRIMPKE, S., INT-VEEN, I., BRANDT, A. & GERDTS, G. 2021. Systematic identification of 852 853 microplastics in abyssal and hadal sediments of the Kuril Kamchatka trench. Environmental 854 Pollution, 269, 116095. 855 ADAMS, J. K., DEAN, B. Y., ATHEY, S. N., JANTUNEN, L. M., BERNSTEIN, S., STERN, G., DIAMOND, M. L. & 856 FINKELSTEIN, S. A. 2021. Anthropogenic particles (including microfibers and microplastics) in 857 marine sediments of the Canadian Arctic. Science of The Total Environment, 784, 147155. AMAP 2021. AMAP Litter and Microplastics Monitoring Guidelines. Version 1.0. Arctic Monitoring and 858 859 Assessment Programme. Arctic Monitoring and Assessment Programme (AMAP). ANDRADY, A. L. 2011. Microplastics in the marine environment. Marine Pollution Bulletin, 62, 1596-1605. 860 861 ARAUJO, C. F., NOLASCO, M. M., RIBEIRO, A. M. P. & RIBEIRO-CLARO, P. J. A. 2018. Identification of 862 microplastics using Raman spectroscopy: Latest developments and future prospects. Water 863 Research, 142, 426-440.

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Page 56 of 64

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1318 Figure Captions:

Figure 1: Small filter area targeted by the different approaches to select particles for Fourier-Transform
Infrared (FTIR) as well as Raman microscopy. a) Visually selected particles for further analysis; b) Manual
chemical mapping using a pre-defined area of measurement fields (distance 20 μm); c) False colour image
(FTIR, integral of region 2980-2780 cm<sup>-1</sup>) of the manually measured area; d) Area selected for
hyperspectral FTIR imaging; e) Hyperspectral FTIR image collected with 3.5x FTIR lens (11.6 μm pixel size),
f) Hyperspectral FTIR image collected with 15x FTIR lens (2.7 μm pixel size).

Figure 2: Example of a microplastic particle identified by Raman spectroscopy using a combination of several spectral databases (Cabernard et al., 2018, Munno et al., 2020, von der Esch et al., 2020a). Left side: Particle image, raw Raman spectra and particle details derived by image analysis. Right side: Processed spectra (red) and assigned library spectrum (blue) for the selected particle and reference spectra (highlighted in violet) combined with material name and further information.

Figure 3: Overview of the materials for various fields of applications, their respective polymer backbones and identifier ions yielding the various polymer clusters used for polymer quantification (for further details see Primpke et al. (2020c) and Dibke et al. (2021).

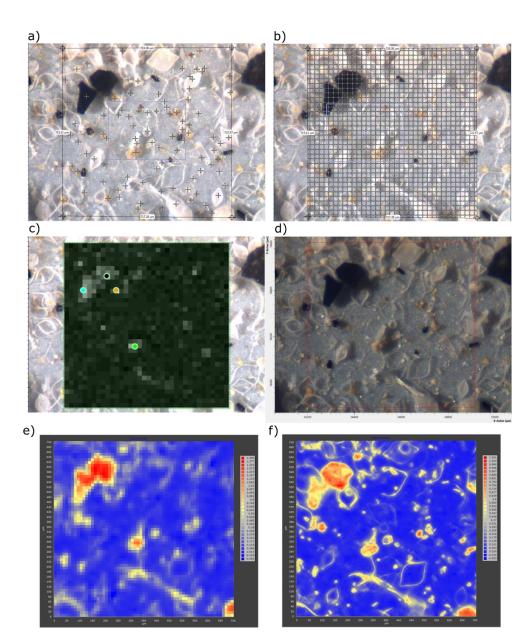


 Figure 1: Small filter area targeted by the different approaches to select particles for Fourier-Transform Infrared (FTIR) as well as Raman microscopy. a) Visually selected particles for further analysis; b) Manual chemical mapping using a pre-defined area of measurement fields (distance 20 μm); c) False colour image (FTIR, integral of region 2980-2780 cm-1) of the manually measured area; d) Area selected for hyperspectral FTIR imaging; e) Hyperspectral FTIR image collected with 3.5x FTIR lens (11.6 μm pixel size), f) Hyperspectral FTIR image collected with 15x FTIR lens (2.7 μm pixel size).

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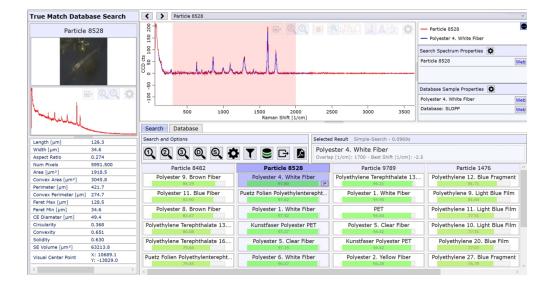


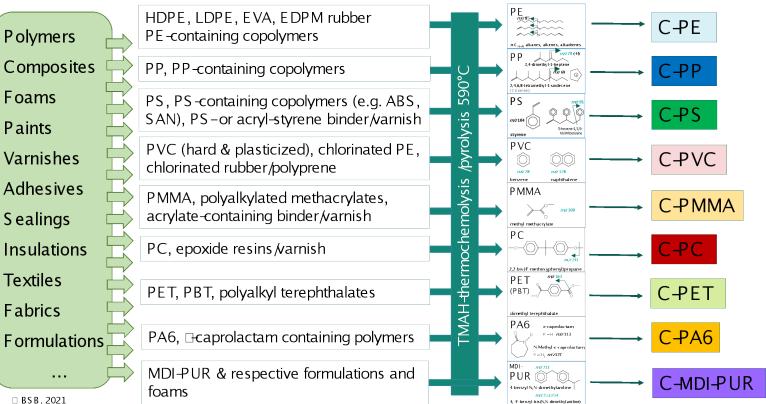
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"backbone" detected

Polymer cluster:





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Table 1: Cost estimation for the presented analytical techniques ranging from optical methods toward chemical analysis based on data from Primpke et al. (2020a).

	Unit	Visual io	dentification	Fluorescent staining	Fourier-transf spectroscopy	form infrar	ed (FTIR)	Raman spectroscopy		Pyrolysis-(Py)-	-GC/MS	Thermal extraction desorption (TED)-GC/MS
Working mode		None	Microscope	Nile red	qualitative	pParticle based mircoscopy	imaging mircoscopy	qualitative	particle based microscopy	qualitative	quantitative	
LOD		1 mm	100 µm	3-20 μm	> 300 µm	25 µm	10 µm	> 300 µm	1 μm	~1 μg IP, PD	<< 1 μg PD, Mix	< 1 µg PD, Mix
Field applicability Automated data evaluation		Good No	Good No	No No*	Handheld No	No Yes	No Yes	Handheld Yes**	No Yes	No No	No No***	No No***
Measurement time <sup>a</sup>	min	1	60	35	1	360	240	2	2580 - > 10000	35-120	120	120
Data analysis time <sup>a</sup>	min	NA			1	60	360	1	1	5-10	60****	60****
Personnel working time <sup>a</sup>	min	1	60	35	2	120	60	3	60 - 580	5	30 (qual.) 72 (quant.)****	30 (qual.) 72 (quant.)****
Typical fractions per sample		50 P	7 F	7 F	50 P	1 F	1 F	50 P	1 F	50 P	1-5 CQ	1- 5 CQ
Average working time per sample	min	PND	420	245	PND	120	60	PND	60	PND	72-216	72-216
Monitoring		+	+	+	+	+	+	+	+	+	+	+
Modelling		+	+	+	+	+	+	+	+	+	+	+
Routine		-	+	+	+	+	+	+	+	-	+	+
Research		-	-	-	+	+	+	+	+	+	+	+
Risk assessment		-	-	-	+	+	+	+	+	-	-	-
Polymer identification		-	-	-	+	+	+	+	+	+	+	+
Particle number determination		+	+	+	+	+	+	+	+	-	-	-
Mass determination		+	-	-	+	-	-	+	-	+	+	+
Particle sizes determination		+	+	+	+	+	+	+	+	-	-	-

determination de

use

Table 2: Eight colours classes for data reporting based on the EMODnet database (https://www.emodnet-bathymetry.eu/).

BLACK/	BLUE/	BROWN/	WHITE/	YELLOW	ORANGE/	TRANSPARENT	MULTICOLOR
GRAY	GREEN	TAN	CREAM		PINK/RED		

## Table 3: Polymer types recommended for reporting of microplastics in the Arctic. Reproduced from

the AMAP Guidelines (AMAP, 2021).

Polymer type name	Examples of materials included (detailed level)
Polyethylene based	HDPE, LDPE, and copolymers with a major PE fraction
Polypropylene based	PP copolymers with a major PP fraction
Polystyrene based	PS copolymers with a major PS fraction
Polyamide based	All types of PA like the various nylons
Polyurethane based	All types of PUR
Polymeth (ester)acrylate based	All types of PM(ester)A
Polyester	PET, all other types of polyesters
ABS	ABS
Polycarbonate	PC
Rubbers, sealing	Other rubbers, like EPDM
Rubbers, automotive	TWP
Paint/varnish particles	If separate from PM(R)A
Ethylene-vinyl acetate	
Cellulose acetate and similar	
Nitrile rubbers	
Natural rubber derivatives	
Polyacrylonitrile	
Polyfluorinated polymers	e.g., PTFE
Polychlorinated polymers	e.g., PVC, chlorinated PE, various chlorinated polymers
Silicone rubbers and coatings	
Other plastics	e.g., PEEK
Other rubbers	
Other microlitter materials	

Table 4: The demanded data accuracy for monitoring in the Arctic for the individual compartments covering different size classes. Chem-ID: Chemical identification \*Subsampling should be avoided, \*\* Analysis of at least a subsample, \*\*\* No microplastics defined. Adapted from (AMAP, 2021).

Matrix	Number	Mass	Size	Subsampling recommended	Size and shape	Colour	Chem-ID
Air	Mandatory	-	< 300 µm	No*	Mandatory	-	Yes
Water	Mandatory	-	5000 - 300 μm	For Chem-ID	Beneficial	Beneficial	Yes (1mm - 300 μm) **
Water	Mandatory	-	< 300 µm down to LOD	Nice to have	Beneficial	Beneficial	Nice to have
Sediments	Mandatory	Beneficial	5000 - 300	For Chem-ID	Mandatory	Mandatory	Yes (1mm - 300 µm) **
Sediments	Beneficial	Beneficial	300 - down to LOD	For Chem-ID	Mandatory	Mandatory	Beneficial
Ice	x	Beneficial	5000 - 300	-	Mandatory	Mandatory	Yes (1mm - 300 μm) **
Ice	Beneficial	Beneficial	300 - down to LOD	-	Beneficial	Beneficial	Beneficial
Shorelines***	Mandatory		> 25 mm	Not defined	Not defined	Not defined	Not defined
Soils	Mandatory	-	5000 - 300 μm	Yes	Yes	Yes	Beneficial
Biota	Mandatory	-	> 100 µm	For Chem-ID	Mandatory	Beneficial	Yes
Fish	Mandatory	Mandatory	> 300 µm	For Chem-ID	Size mandatory, shape Beneficial	Beneficial	Yes (1mm - 300 μm) **
Fish	Beneficial	Beneficial	< 300 μm	For Chem-ID	Size beneficial shape beneficial	Beneficial	Yes (1mm - 300 μm) **
Bird	Mandatory	Mandatory	5000 - >300 μm	For Chem-ID	Beneficial	Beneficial	Yes (1mm - 300 μm) **
Mammal	Mandatory	Mandatory	> 2.5 cm - 300 μm	For Chem-ID	Size mandatory, shape Beneficial	Beneficial	Yes (1mm - 300 μm) **
Mammal	Beneficial	Beneficial	< 300 μm to LOD	For Chem-ID	Beneficial	Beneficial	Beneficial