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1	Isolation and Extraction of Microplastics from Environmental Samples: An Evaluation
2	of Practical Approaches and Recommendations for Further Harmonisation
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14	Abstract

#### 14 Abstract

Researchers have been identifying microplastics in environmental samples dating back to the 15 1970s. Today, microplastics are a recognized environmental pollutant attracting a large amount 16 of public and government attention, and in the last few years the number of scientific 17 publications has grown exponentially. An underlying theme within this research field is to 18 achieve a consensus for adopting a set of appropriate procedures to accurately identify and 19 quantify microplastics within diverse matrices. These methods should then be harmonized to 20 21 produce quantifiable data that is reproducible and comparable around the world. In addition, 22 clear and concise guidelines for standard analytical protocols should be made available to 23 researchers. In keeping with the theme of this special issue the goals of this focal point review are to provide researchers with an overview of approaches to isolate and extract microplastics 24

25 from different matrices, highlight associated methodological constraints and the necessary 26 steps for conducting procedural controls and quality assurance. Simple samples, including water and sediments with low organic content, can be filtered and sieved. Stepwise procedures 27 28 require density separation or digestion before filtration. Finally, complex matrices require more 29 extensive steps with both digestion and density adjustments to assist plastic isolation. Implementing appropriate methods with a harmonised approach from sample collection to data 30 31 analysis will allow comparisons across the research community.

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Keywords: organic matter removal; density separation; analytical methods; digestion; biota; 33 it' AUI sediments; water 34

#### **1. Introduction** 35

36 Identifying appropriate methods is a compelling theme within the field of microplastic pollution research. Comparative methods are essential as data generated underpin our ability 37 to examine studies from different locations and research groups<sup>(1)</sup>. Calls for standardisation and 38 harmonisation have emerged<sup>(2-4)</sup> including calls from local level monitoring programs to global 39 40 level implementation studies, such as NOAA marine debris program (US), GESAMP-WG40 (UN) and CleanSeas (EU). As valid as the requirement is, the ability of many research groups 41 and laboratories to achieve full standardisation is heavily reliant on access to funding and 42 facilities to make this possible. Not every method is suitable for every laboratory, nor is every 43 laboratory able to implement high-level and high-cost procedures. Similarly, as the research 44 45 field continues to expand, new and novel approaches emerge in the scientific literature, as does the ability of researchers and instruments to identify smaller and smaller particles<sup>(3)</sup>. This 46 47 renders comparisons between methods an almost impossible task. Furthermore, identifying appropriate methods for specific matrices can complicate the matter. As an example, complex 48

49 matrices such as wastewater influent cannot be processed with a single processing step. They 50 require a complex protocol which increases costs and experience required for efficient and effective particle isolation. 51

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53 When designing and implementing an appropriate study of microplastics in a particular matrix, researchers must start by addressing all steps required from sample collection to results analysis 54 and interpretation<sup>(1,5)</sup>. Along the way, some of the steps are heavily reliant on the former being 55 appropriate and accurate. Following sample collection, microplastics which vary in polymer, 56 size, colour and morphology<sup>(6)</sup> must be removed and isolated from what can be a complicated 57 matrix. Isolating microplastics in an appropriate manner is paramount to achieving high 58 59 extraction efficiencies, preservation of particles and accurate data generation. This is made more difficult because the type of extraction required is media specific and can vary within 60 SCIT 61 sample types.

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Particle separation and isolation from different matrices can be a problem if methods are not 63 chosen properly or tested before processing commences. Choosing appropriate methods for 64 microplastic isolation must consider sample complexity as well as the complexity of required 65 methods. Thus, researchers must assess how a matrix performs before processing it. For 66 example, the wastewater matrix possesses what can be considered an extreme level of matrix-67 68 associated interferences. The overwhelming presence of fats, oils and grease coupled with the extreme quantities of toilet paper residues present obvious challenges to cleanup methods<sup>(7)</sup>. 69 The exploitation of density and other physical properties that are suitable for facilitating 70 71 microplastic isolation in most matrices are found to be highly challenging or totally ineffective for primary influent $^{(7)}$ . 72

74 Compared to natural biological and other inorganic fragments, plastics typically possess several distinctive characteristics that are readily noticeable to an experienced analyst<sup>(8)</sup>. 75 Particles in sieved residues, for example, typically have distinctive colors, irregular physical 76 77 profiles, or geometries that differentiates them from surrounding biological residues. Plastic 78 fragments are also resistant to crushing or deformation when pressed or probed with a micro spatula or other appropriate tools. In addition, solid plastic fragments will typically survive 79 hot acid or highly oxidative digestion. In general, post-digested non-polymeric solids residues 80 also have physical properties like density, friability and crystallinity that differs from common 81 82 polymers. Once cursory qualitative screenings are conducted it is recommended that the analyst perform confirmatory analyses using Fourier transform infrared spectroscopy (FTIR), Raman 83 spectroscopy, thermal analyses (e.g. Pyrolysis-GC/MS, Thermal Extraction Desorption-84 GC/MS) or other accepted instrumental methods for polymer confirmation<sup>(9)</sup>. 85

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Some methods may be reliant on mechanical processes such as sieving and mixing. These steps, 87 although effective for particle isolation from samples, can increase procedural error if particles 88 are brittle and fragment, this will affect particle count data. For some matrices, research groups 89 have begun to naturally gravitate towards a common method, but for others, there are many 90 emerging approaches that are still being examined in detail through extraction efficiencies and 91 interlaboratory comparisons. As already mentioned, wastewater influent and sludge cannot be 92 prepared with a single processing step and require a complex protocol. Similarly, some biota 93 94 tissues cannot be digested with simple alkaline digestion because of high proportions of fats and oils<sup>(10)</sup>. A common example here are the differences observed between pelagic fish. Salmon 95 96 and herring are very oily and have lipid-rich tissues which hinder the ability of potassium hydroxide (KOH) digestion, whereas whole myctophid stomachs can be digested using 97 KOH<sup>(11)</sup>. On the other hand, KOH extraction protocols for the processing of bivalves are almost 98

99 commonplace with minor modifications between research groups<sup>(12-14)</sup>. Furthermore, where an 100 organism feeds will impact the type of inorganic material that ends up in the organism's 101 digestive tract, sometimes complicating extraction. For example, benthic-feeding fish may 102 have a larger volume of sediment or sand in their gastrointestinal (GI) tracts. A density 103 separation step can be added to enzymatic and chemically digested benthic-feeding fish 104 stomachs with high sand and sediment content<sup>(15)</sup>.

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Such an array of methods can be overwhelming for researchers when designing a study plan 106 with appropriate methods. Many researchers therefore turn to reviews and guidelines to offer 107 direction. Over the past few years, a number of reviews have addressed the methods for biota<sup>(2-</sup> 108 <sup>3,16-17</sup>, sediment<sup>(18-19)</sup>, water<sup>(4, 20-21)</sup>, wastewater treatment plants<sup>(22-23)</sup>, terrestrial<sup>(24)</sup>, freshwater 109 <sup>(25-28)</sup>, and marine matrixes<sup>(29)</sup>. Many reviews have highlighted the need for researchers to 110 efficiently separate microplastics from sample material through reduction of sample mass and 111 the removal of biological material, whilst maintaining particle properties. However, what many 112 reviews lack is a thorough comparison between matrix and environment. Consequently, the 113 goal of this focal point review is to critically present a comparison of extraction methods from 114 simple procedures to stepwise and more complex processes. We aim to identify the most 115 suitable extraction approach for each sample type, highlight associated methodological 116 constraints, discuss necessary steps for conducting procedural controls and quality assurance 117 based on the methodology applied. 118

119 2. Approach

Microplastic research is saturated<sup>(30)</sup> with novel methodological approaches and publications utlising different processing and isolation steps. In order to assess the state of the science we have chosen to focus on reviews published in the past five years (Suppl. Material, Table S1) as 123 well as utilising a brief primary literature review focusing on data published between January 124 - July 2019. Publications were acquired using the following search terms on Google Scholar: microplastics AND review OR sediment/ biota/ fish/ bivalve/ water/ seawater/ drinking water/ 125 126 wastewater. Reviews were used to identify publications prior to 2018 which could be included 127 in the literature assessment. Data obtained from the publications specifically focused on isolation techniques and was organised into a database. This database was then used to compile 128 a summary and critique of the available methods for microplastic isolation from different 129 matrix types, as well as identify recommended methodological approaches. Three common 130 131 themes were identified between methods. As such methods have been divided into three groups: (1) simple (single processing steps), (2) stepwise (two or three steps required to 132 achieve samples for analysis), and (3) complex (multiple processing steps and extended 133 134 treatment duration).

# 135 **3. Isolation methods for simple matrices**

Samples which are relatively easy to process are those from simple matrices, by this, we refer to samples that can undergo very little pretreatment before filtering, sorting and analysis. These methods tend to be cheaper and less labour intensive and can be carried out with limited resources. However, these methods can yield "quick and dirty" results related to methodological constraints. Simple isolation steps include filtering clean water samples, mechanical separation of beach sediment and visually sorting vertebrate digestive tracts.

## 142 **3.1.** Filtering relatively clean water samples

143 Clean water samples, irrespective of sample collection, can simply be filtered onto filter papers 144 or meshes for visual analysis and chemical validation. Sample types which fall into this 145 category primarily include drinking water samples<sup>(4)</sup> and other beverages, and on rare occasions

offshore water samples collected in areas with few biological particles<sup>(31-32)</sup>. Some effluent 146 147 samples may also be included within this category including tertiary treated wastewater or recycled water for direct nor indirect potable use<sup>(33)</sup>. These simple extractions require no pre-148 149 processing and the resulting filters can be manually inspected or automatically scanned for 150 microplastics. There are a number of different filtering systems used, although vacuum filters including Buchner set-ups are by far the most common. Filter or mesh pore sizes used between 151 research groups vary greatly and will have a significant effect on the overall number of particles 152 collected as they determine the lower size of microplastics detected. A review conducted in 153 154 2017, glass fibre filters were identified as most commonly used (incl. Whatman® GF/A, GF/C or GF/F), along with nitrocellulose filters and isopore filters<sup>(18)</sup>. Anodisc filters (Alumina 155 oxide) are now being introduced for automated scanning µFTIR<sup>(9)</sup>. Unfortunately pore size of 156 filters is an analytical inconsistency between studies and filters can range from 0.2 µm 157 (Alumina oxide), 1.2 µm (GF/C), 5 µm (Silicon, silver) and nylon mesh 250 µm<sup>(34-36)</sup>. Smaller 158 pore sizes can result in the obstruction of samples by organic material and samples may require 159 further processing (see Section 4). With varying lower limits of particles captured during 160 filtering, direct comparisons cannot be made unless such information is accessible in published 161 research<sup>(1)</sup>. This further highlights that researchers should use several size categories, or bins, 162 when reporting data to allow the assessment of comparable data ranges $^{(37)}$ . 163

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*Recommendations:* Clean water samples including beverages, field samples with low biological content and some wastewater effluent can be processed using filtration alone. When working with clean water samples, researchers are reminded to consider appropriate sample volume before commencing research<sup>(4)</sup>. It is recommended that such samples are filtered onto appropriate filters depending on individual study aims and analytical isolation capabilities.

Sample volume, filter type and pore size should be recorded. Procedural controls must beincluded.

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### 173 **3.2. Mechanical separation**

Sieving is used most frequently for the separation of microplastics from sediment. Sediment 174 samples which are dry and mostly free of fine organic matter can be sieved to remove large 175 stones and debris (inc. plastics and organic material). Many visual observation studies carried 176 177 out on beaches use this technique and separate large plastic items from smaller plastic items. The resulting items are counted and categorized. This method is normally implemented in 178 studies focusing on plastics which can be separated out by eve with sieves of 1mm, 2mm and 179 5mm commonly used to define the lower size limits<sup>(38)</sup>. Many beach studies are performed *in* 180 situ, thus limited contamination control is carried out in the field. In such studies, plastics are 181 simply removed and retained for visual processing at a later stage. This approach is not 182 adequate for small microplastics (<1 mm) and isolation steps must be performed under 183 laboratory conditions. As with water samples, if smaller mesh sizes are used, organic and 184 mineral matter may obstruct the identification of plastic particles, thus further processing may 185 be required using organic matter removal or density separation (see Section 4). 186

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Samples which are collected in the field but returned to the laboratory for processing under controlled conditions can facilitate the inclusion of smaller particles along with procedural controls to monitor contamination. Samples can be homogenised and split using standard sediment protocols before microplastic isolation. Microplastics can be separated via size-based fractionation when solid content is low<sup>(39)</sup>. Both wet and dry sieving can be used, however, wet sieving may be less accurate at separating particles because the water can make them stick to one another. In wet sieving, a long duration of rinsing is required to adequately separate the particles. Fractioning samples using sieve stacks with or without the aid of water will divide the sample into smaller subfractions based on size bins created by the sieves. The volume in each subfraction will be less than the total, thus increasing the likelihood that some subfractions will contain few solids. The subfractions that contain few to no solids may not require any further steps to isolate microplastics (see Section <u>4</u> and <u>5</u>).

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Although effective for separating samples, sieving can cause brittle particles to fragment. This may affect final particle counts and an over-estimation of smaller sized particles. When using sieves to separate samples, the cleaning of the sieves is of utmost importance. One of the best approaches for cleaning sieves is to perform reverse flow flushes using a strong water or air jet. Mechanical scrubbing with detergent and scouring with fine steel wool or brushes can also be effective. A sonicator can also be used where available.

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In an attempt to simplify the preparation and isolation of microplastics from environmental 208 samples, Felsing and colleagues<sup>(40)</sup> utilised the electrostatic properties of plastics to facilitate 209 210 their separation. The method used a modified electrostatic separator, Korona-Walzen-Scheider electrostatic bell separator, to reduce sample mass and concentrate plastics based on their 211 physical properties: sediments have conductive properties, which can be separated from non-212 213 conductive microplastics. Dried and unconsolidated samples are introduced to the separator via a vibrating conveyor where samples are electrostatically charged with up to 35 kV. Four 214 215 different materials were separated into size fractions with nearly 100% recovery of spiked 216 samples and a reduction of the original sample volume by almost 99%. The advantages of this 217 approach includes a shorter processing time and the almost complete removal of biological 218 material. Another alternative approach for separating microplastics from sample matrices is the

219 magnetic removal of plastics which takes advantage of plastic's hydrophobic surface to 220 magnetize plastic particles<sup>(41)</sup>. Grbic and colleagues proposed that this method could be used 221 stand alone for cleaner samples, such as drinking water, but also as part of a stepwise process 222 following density extraction. This method is not without its limitations. There was variation in 223 recovery rates which could be related to lower surface area to volume ratios of medium sized microplastics (200 µm to 1 mm) and lower recovery rates from sediments as soil particles can 224 impede extraction. Magnets were also seen to cause more brittle microplastics to fragment. 225 Finally, the presence of lipophilic substances, or biota, in sediment samples along with the non-226 227 specific binding of nanoparticles may reduce the effectiveness of isolation.

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Recommendations: All three approaches are suitable for the mechanical separation of 229 microplastics from sediments containing little organic matter. Sieves must be thoroughly 230 cleaned between samples and procedural controls must be included. Procedural controls 231 include processing of blank samples to ensure no contamination is introduced through the 232 separation process, and to ensure that the equipment is properly cleaned. Samples can be wet 233 or dried sieved, but care should be taken to avoid further fragmentation of brittle particles. All 234 procedural steps should be recorded, including original sample volume, processed sample 235 volume, mesh size and sample condition (wet/dry). 236

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# 238 **3.3.** Visual sorting of biota digestive tracts or sieved water and sediment samples

In the early years of microplastics research, visual sorting was the primary method for separating microplastics from water, sediment and biota samples. In regards to biota, dissecting out and visually sorting the contents of digestive tracts, including stomachs and intestines of larger animals including fish, birds and sea turtle was the most common approach (e.g., <sup>(2, 16-17, 16-17)</sup>). <sup>20)</sup>. Tissues are visually sorted under a microscope and potential plastics isolated and counted.
In a review of 120 studies, 26% studies used visual sorting of the digestive tract<sup>(16)</sup>. Dissection
alone was used in 13% of studies for assessing the uptake of plastics in the gastrointestinal (GI)
tracts of larger organisms or whole bodies of smaller organisms<sup>(39)</sup>. Furthermore, 53% of 55
studies investigating seafood products relied solely on visual identification<sup>(2)</sup>.

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Visually sorting through GI tracts of biota under a microscope has been adopted by the Marine 249 Strategy Framework Directive Technical Subgroup of Marine Litter (MSFD-TSML) who 250 251 recommend that the entire digestive tract is assessed under a dissecting microscope. This form of investigation is relevant for microplastics  $>500 \mu m$  in size as isolation is limited to the visual 252 acuity of the researcher carrying out the task <sup>(42-43)</sup>. Dissection and subsequent visual 253 identification of microplastics  $>500 \mu m$  is inexpensive and relatively accurate for GI tracts and 254 whole bodies of some organisms<sup>(39)</sup>. Smaller biota are harder to process by hand and require 255 additional processing (see Section <u>4.2</u>). 256

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Similarly, sieved sediment and water samples can be sorted visually if the subfractions contain few to no solid, such as sandy beach sediments or surface water samples<sup>(44-45)</sup>. Samples can be sorted under a microscope and plastics can be isolated. Hanvey and colleagues<sup>(18)</sup> reviewed sediment sample processing and found that sorting was used for 20/42 reviewed studies, 14 (33%) used sieving as a stand-alone process, whereas six used sieving in a stepwise process (see Section <u>4</u>).

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Visual sorting has its advantages that there are no chemical hazards, it can be applied to many sample types and has low cost, however it is unreliable due to human error. Visual sorting of samples is reliant on confirmation of isolated particles using further analytical techniques. 268 Unfortunately, in earlier studies, visual isolation was often carried out without considering procedural or airborne contamination or QA/QC related to polymer identity $^{(3,18)}$ . Furthermore, 269 270 there is still ongoing discussion on the appropriate sample size required for representative 271 results from biota. For example, some studies use the recommended number of individuals to compare to long term monitoring data of other contaminants (e.g., 20 individuals per site)<sup>(12)</sup> 272 whereas OSPAR and MSFD-TSML recommended researchers to use 50 individuals per site 273 and is supported by recent reviews <sup>(2,3)</sup>. That said, when Markic and colleagues reviewed biota 274 studies dating back to 1972 they found that visual sorting, even with large sample sizes 275 (N>1000) yielded a very low frequency of microplastic occurrence<sup>(17)</sup>. The number of 276 individuals must be suitable for the study plan and if fewer than 50 individuals are used, the 277 278 reasoning must be justified. Long-term spatial and temporal monitoring may require a reduced sample size per sampling event due to the intensity of laboratory processing required for 279 monitoring  $programs^{(12)}$ . What is clear is that sample sizes with few individuals are not 280 sufficient to provide a realistic estimate of microplastic abundance in biota. 281

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Recommendations: Visual sorting should only be used for particles >500 µm. Smaller size 283 ranges may be considered (>100 µm) providing it is supported by chemical validation of 284 polymers. Visual sorting of biota digestive tracts must be carried out in controlled conditions 285 and procedural controls must be included. An appropriate number of individuals is required, 286 287 but further investigations into sample sizes should be conducted. Samples should be washed externally prior to opening to remove potential contamination following dissection. All 288 289 instruments must be cleaned between individuals and visually inspected using a microscope 290 before use. A wet filter can be used next to the dissected organism to estimate airborne contamination if no other method for blanks is feasible. Also, samples of all materials used 291

during dissection can be collected to provide references for visual identification and polymerconfirmation (e.g., fibers from lab coats, kim wipes, fragments from gloves etc.).

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### 295 4. Stepwise methods

As mentioned above, samples often require additional steps to aid in the isolation of microplastics. Stepwise methods include the use of density to separate out particles from environmental material and digestive agents to remove biological material. Density separation, gravity separation and elutriation can aid in separating microplastics from environmental material whereas digestion procedures can be applied to samples to remove organic and other non-target particles. These methods can be slightly more labour intensive than simple methods, but they have the ability for a better yield of target particles.

# 303 4.1 Separation utilising density incl. gravity separation and elutriation

Microplastics have inherent properties which can be utilised to aid their separation from environmental samples. Plastics have different densities which are dependent on polymer type, additive concentration, as well as adsorbed substances and associated organisms. These densities can be used to facilitate their differentiation from organic matter (Table I). Processes can be as simple as letting a sample stand and allow gravity to enable separation or involve liquids of known density or air to facilitate separation.

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Gravity sorting has been utilised in some studies to separate plastics from samples containing large amounts of organic material, although it is probably the least used direct method for separation of microplastics from field collected organisms (4% of 45 studies<sup>(39)</sup>). This method sees a sample placed into a large cylinder, such as a volumetric cylinder, and allows samples to naturally separate over a known period of time. It is a common method applied by plankton
biologists to determine plankton biomass but can be applied to separate less dense plastic
particles<sup>(34, 46-47)</sup>. Buoyant particles, either collected in freshwater or saltwater matrices, can
then be syphoned off leaving the biological material for further analysis (see Section <u>4</u> and <u>5</u>).

Liquids of different densities can be used to isolate plastics from samples and has been applied 320 to different sample types to varying degrees<sup>(43)</sup>. In simple terms, a saturated salt solution with 321 a known density can be carefully mixed with a sample and left to settle. The overlying material 322 323 is then collected and filtered off for further investigation. Density extraction of plastics from 324 environmental samples can be extremely effective as common environmental samples, soil and sand typically have a much higher density than most polymers making separation efficient. For 325 most marine sediments, solutions with a specific density >1.2 g cm<sup>-3</sup> are commonly used to 326 extract particles which will have settled to sediment as they are more dense than seawater. 327 Density extractions using seawater are able to recover particles including polyethylene (PE) 328 and polypropylene (PP). By increasing the density of the solvent, it is possible to create a 329 solution where higher density polymers can be collected (Table I). It must be noted that 330 microbial communities may colonize microplastics in certain environments where nutrient 331 332 levels are high. The biofilms subsequently formed on microplastic surfaces processes can impact the density of these plastic particles<sup>(48)</sup>, complicating isolation and separation. 333

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Sodium chloride (NaCl) is one of the most commonly used solutions<sup>(18)</sup> as it is cheap, easily
available and eco-friendly. Reagent grade NaCl is recommended as it can achieve slightly
higher densities and extract slightly heavier polymers including high density polyethylene,
HDPE<sup>(49)</sup>. Solutions with higher densities, such as sodium bromide (NaBr), sodium iodide
(NaI) and zinc chloride (ZnCl<sub>2</sub>), are able to extract a wider array of particles however these

solutions start to have some considerable environment, health and safety concerns<sup>(50)</sup>. NaCl is 340 341 recommended by many researchers due to low costs and low toxicity, including the MSFD-TSML, NOAA and the BASEMAN consortium<sup>(50)</sup>. However, an assessment of several salt 342 343 solutions determined NaCl to have the lowest recovery of microplastics of those tested, and it only had significantly higher recovery than tap water alone for four types of plastic<sup>(51)</sup>. Sodium 344 polytungstate (SPT) and its derivatives have been used by some researchers<sup>(52-53)</sup>. However, 345 SPT is extremely expensive (although recyclable), can be hazardous, and therefore not a first 346 choice suitable for most routine monitoring $^{(50,54)}$ . 347

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NaI should also be considered as appropriate, even though it is expensive, it can be recycled, 349 and the volume used can be reduced when used with aeration in an elutriation column<sup>(55-56)</sup>. 350 Similarly, ZnCl<sub>2</sub> can be used in connection to sediment separators with very high recovery rate 351 and less expensive cost (57-59), but it is extremely hazardous and corrosive. Calcium chloride 352 (CaCl<sub>2</sub>) can achieve a density (1.4 g/cm<sup>3</sup>) above NaCl (1.25 g/cm<sup>3</sup>) but not as high as the other 353 salts, is inexpensive, and is a food additive so it is not hazardous. A less explored salt solution 354 is saturated potassium formate (HCO<sub>2</sub>K). The solution has a density of 1.6 g/cm<sup>3</sup>, is stable and 355 has a low viscosity, relatively cost-effective as it can be filtered and reused<sup>(60,61)</sup>. Oils have 356 hydrophobic properties which can be utilized to separate plastics from environmental samples 357 and help improve recovery rates<sup>(21, 62)</sup>. They can reduce the surface tension and helped remove 358 359 plastics from sediment samples, although recovery rates have varied between studies, 55-96% (58,63) 360

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362 Irrespective of the density solution applied, samples must be thoroughly mixed to ensure that 363 polymers detach from the sample matrix. Mixing can be carried out through vigorous manual 364 shaking<sup>(64)</sup>, mechanical shaking<sup>(65)</sup>, or with a centrifuge<sup>(66,67)</sup>. Stirring can be performed

manually or with a magnetic stirrer, or by the process of aeration and inversion<sup>(68,69)</sup>. As to the 365 366 length of mixing and stirring required, there is no clear indication of tested and validated durations. Many studies do not provided length of mixing and those which do range from 30 367 seconds to two  $hours^{(70)}$ . This should be quantified and assessed in detail. Settling time of 368 369 samples in density solutions varies within published literature. A range of times have been reported from as short as two minutes<sup>(71)</sup> and can be up to 24 hours<sup>(64)</sup>. The duration of settling 370 is heavily dependent on the sample type. Coarse sediments settle out relatively quickly but 371 samples with fine particulate matter require a longer duration. Again, this is a subjective 372 373 element which should be quantified and assessed in further detail.

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Density separation may require more than one extraction, or using multiple salt solutions (e.g.,
(<sup>64, 70, 72, 73)</sup>. For example, on average only 30.2% (12.5 - 45%) of microplastics were recovered
after the first extraction which reached between 88.7% and 100% following four extractions<sup>(70)</sup>.
Many separation procedures utilise falcon tubes, volumetric flasks or separating funnels.
Although, some laboratory devices have been developed to aid with density separation (Table
II).

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Elutriation devices have been developed for use with complex samples including wastewater 382 effluent<sup>(74)</sup> and sediment<sup>(56)</sup>. They can be used with or without salt solutions. Most elutriation 383 devices use a liquid which is injected into the bottom of a column allowing the separation of 384 buoyant particles from organic matter and sediments which settle<sup>(56)</sup>. This method is cheap and 385 efficient for large volumes of sediments and reducing the need for a reduction of sample 386 387 volume when density extraction is carried out. However, samples can be labour intensive and 388 require pre-separation into to the required size range. Similarly, pressurized fluid extraction 389 using methanol, hexane and dichloromethane can extract microplastics from soils under high

temperature and pressure<sup>(75)</sup>, although limitations include specialised equipment and solvents,
high costs, potential environmental pollution and the pyrolysis of particles under high
temperature and pressure leading to inaccurate recovery related to the mass of particles.

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394 Density separation is not free of limitations. An understanding of study design and sample types can inform whether density separations should be applied, and which type of separation 395 is most suitable. The environmental matrix may provide indication for potential loss of 396 microplastics during density separation. For example, fouling of microplastics by organic and 397 398 inorganic material can alter a particle's density and cause microplastics to remain in non-399 buoyant fractions of density-separated material, thus requiring subsequent manual sorting of microplastics from the non-buoyant material<sup>(76)</sup>. As mentioned above, performing multiple 400 rounds of density separations reduces the likelihood of loss in the non-buoyant material<sup>(70)</sup>. 401 Thus, matrices containing high organic content should be processed accordingly. Floatation is 402 also insufficient for small microplastics as the buoyant force is low and bubbles in the solution 403 may cause floatation of non-buoyant particles<sup>(39)</sup>. The time required to achieve separation will 404 vary with sample type and matrix composition. Differences in suspended solid densities could 405 be exploited to improve partitioning and enhance microplastic aggregation. The application of 406 centrifugation can assist in the isolation of microplastic residues. 407

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Some polymers may be missed in separation more frequently than others, and this will differ depending on the density separation solution applied. For example, polyvinyl chloride (PVC) and polyethylene terephthalate (PET) were observed to have relatively low recovery compared to other plastic polymers tested using NaCl as they are more dense than other polymers tested<sup>(51)</sup>. The likelihood of missing some other polymers is even higher. Teflon (Polytetrafluoroethylene: 2.1-2.3 g cm<sup>-3</sup>) is more dense than many solutions used in density

separation, so it is much more likely to be missed than PE (0.91-0.97 g cm<sup>-3</sup>), a less dense 415 polymer. The density of microplastics will also vary slightly depending on the inclusion of 416 additives<sup>(77)</sup>. If density separations are used to isolate microplastics, it is important to report the 417 418 density of the solution used, as this impacts which polymers are likely to be underrepresented 419 in the resulting data. Furthermore, some considerations are needed when working with different salt solutions, for example, NaI can react with cellulose turning them black which complicates 420 visual identification<sup>(51)</sup>. Density separation should be employed with the understanding that it 421 can be challenging and time-consuming to perform multiple extractions, and that each round 422 of extraction introduces additional routes for potential contamination<sup>(78)</sup>. Even with additional 423 rounds of extractions, it is difficult to obtain high precision for high density polymers<sup>(78)</sup>. 424

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Recommendations: As with all processing methods, researchers must carry out procedural 426 controls. All salt solutions must be prepared and filtered to remove impurities and prevent the 427 introduction of contamination into samples. More than one extraction is recommended, and 428 samples should be thoroughly mixed following the addition of salt solutions. For studies 429 intending to collect and analyze small particles, size fractionation is recommended before 430 431 density separation. Floatation should not be performed on small size fractions where bubbles may interfere with the floatation process; however, floatation may be suitable for large size 432 fractions<sup>(39)</sup>. Taking all the available data into consideration, including operator safety and price 433 434 of materials, into account, NaI is recommended as the most suitable approach in terms of cost, 435 hazards, extraction efficiency and recyclability. Further augmentation studies to assess the 436 differences between salts are encouraged. As with clean water samples, it is recommended that such samples are filtered on the appropriate filter depending on the aim of the individual study. 437 438 Sample volume, filter type and pore size should be recorded.

### 439 4.2 Digestion of samples containing biological and organic material

440 Many researchers use digestion to facilitate the isolation of microplastics from biological 441 matrices. This can include soft tissues of biota, or biofilms formed on microplastics which can hamper polymer identification. Digestion has become the most commonly used method in 442 recent years for microplastic isolation from biota tissues<sup>(16-17)</sup>. Additionally, digestion can also 443 be applied to sediments and water samples containing organic matter<sup>(18,79)</sup>. Digestion 444 approaches can be used in combination with density separation to further optimise sample 445 446 extraction, as this process can become more complicated they are included under Complex 447 Methods (Section 5)

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Digestion methods may involve some form of pre-treatment to increase efficiency of digestion. 449 For example, mussel soft tissue is often extracted from the shell<sup>(80-82)</sup>, thereby reducing the 450 complexity of the matrix for digestion. Once removed from the shells, mussels can be treated 451 similarly to other soft tissue biota (e.g. fish fillet). Extraction of mussels from shells should be 452 carried out with caution to ensure microplastics are not lost in the shell (i.e. rinse the inside of 453 the shell or examine visually for larger microplastics). Also, extraction of mussels from the 454 shells includes an additional stage of preparation thereby increasing the risk of airborne 455 contamination as the tissues are exposed for a longer period. Railo and colleagues<sup>(83)</sup> digested 456 both shelled and unshelled mussels and observed consistently higher fiber concentrations in 457 458 unshelled mussels. Therefore, removing tissue may reduce matrix complexity but additional 459 measures should be taken to assess and reduce airborne contamination from the tissue 460 extraction process. For example, wet filters can be placed in the vicinity of the dissection to assess the rate of airborne contamination coming into contact with the tissue. 461

463 Many digestion approaches have been developed including bases such as sodium hydroxide, NaOH <sup>(83-85)</sup> or KOH<sup>(14, 83, 86, 87)</sup>; acids such as nitric, hydrochloric acid and perchloric acid, 464 HNO<sub>3</sub>, HCl, HClO<sub>4</sub><sup>(84-85,88)</sup>; oxidants such as hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), peracids, sulfuric 465  $acid^{(56,85)}$ . Enzymatic digestion requires a more complicated procedure<sup>(84)</sup> and is included as a 466 467 complex method (Section 5). In the following section, advantages and limitations to some of the chemicals used for digestion are presented, including the degree to which chemicals are 468 destructive to various polymer types. Not one method is perfect and outcomes depend on 469 concentrations and molarities of digestive agents, the ratio of solution used per g of tissue, 470 \*hor 471 temperature and duration of the digestive process.

472

Acid digestion: Several approaches using acids to dissolve organic material have been 473 introduced to microplastic research<sup>(84,85,88)</sup>. However, there are many limitations for acid 474 digestion. Acids can have a high level of destruction of biogenic compounds, between 94-98%, 475 however they can also dissolve polymers. Some polymers have a low resistance to acids and 476 can be degraded at high concentrations and temperatures<sup>(89)</sup>. Nitric acid and perchloric acid 477 (69% HNO<sub>3</sub> + 70% HClO<sub>4</sub>) was recommended by ICES<sup>(90)</sup> but has been seen to have 478 detrimental effects on common plastic polymers, polyamide (PA), polyurethane (PU) and to a 479 480 lesser extent acrylonitrile butadiene styrene, polymethyl methacrylate and polyvinyl chloride<sup>(88)</sup>. Heating nitric acid allows samples to be digested 26 times faster<sup>(91)</sup>, unfortunately, 481 these temperatures are high enough to damage weaker polymers<sup>(92)</sup>. Temperatures exceeding 482 60°C were observed to melt PE-based microbeads in boiling tests of several microplastics 483 isolated from personal care products<sup>(92)</sup>. Also, HCl is not recommended since it does not destroy 484 485 all organic matter, and when used at concentrations with high digestion efficiency, 37% at 25°C, it causes PET to melt<sup>(88)</sup>. Similarly, the ICES<sup>(90)</sup> mixture (69% HNO3 + 70% HClO<sub>4</sub>) 486 487 led to complete destruction of PA, PU and black tire rubber elastomer; and affected the

488 structure of other polymers (incl. polymethyl methacrylate, PVC<sup>(88)</sup>. Subsequent heating to
489 80°C increased destructive effects of ICES mixture<sup>(88)</sup>.

490

While some acid digestion methods have proven effective, the simultaneous removal or destruction of some microplastics is cause for great concern. It may lead to the underestimation of microplastics in environmental samples as a result of the destructive nature of acids. As several polymers are impacted by acidic digestion, it should be avoided and used with great caution when alternative methods do not suffice.

496

Alkaline digestion: Bases provide another method of digestion. NaOH at 1 M has an efficiency 497 of 90%<sup>(84)</sup> and an increase in molarity and temperature provides a more effective digestion. 498 Potassium hydroxide, KOH, in a 10 M solution can completely remove organic matter<sup>(93)</sup>. 499 Many different versions of this procedure have been carried out, including standing at room 500 temperature for 2-3 weeks and, speeding up the reaction at 40°C or 60°C in an incubator with 501 continuous rotation<sup>(12, 94, 95)</sup>. KOH is efficient in digesting fish tissue. A 10% KOH solution was 502 found to have an efficiency ranging from 97.1-98.9% for ground fish tissue at temperatures 503 from 25-50°C<sup>(85)</sup>. On the other hand, digestion of fish stomachs with saturated KOH solution 504 (1120 g/L H<sub>2</sub>O) resulted in a layer of floating black/brown slime<sup>(88)</sup>. Also, the use of 4 M KOH 505 at room temperature was not sufficient in completely removing plant-based cellulosic 506 material<sup>(92)</sup>. Alterations to the method such as a 1:1 combination of KOH and NaClO was found 507 to be more efficient in digesting fish tissue than KOH alone<sup>(88)</sup>. A solution of 10% KOH 508 incubated at 40°C for up to 72h completely digested a whole fish when combined with NaI 509 density separation to separate out the bones $^{(87)}$ . 510

512 However, as with acids, increased temperatures and molarity can discolour and degrade some 513 plastic polymers including polycarbonate, cellulose acetate, PET and PVC<sup>(64, 95)</sup>. KOH may 514 discolour some plastics when used at excessive concentrations and for prolonged durations 515 <sup>(85,92)</sup>. Incubated KOH (>50°C) also resulted in reduced recovery of PET particles<sup>(85)</sup>. It is also 516 not able to completely digest hard materials and fats<sup>(88)</sup>. More complex protocols have been 517 suggested for better digestion and recovery rates<sup>(96)</sup>.

518

Alkaline digestion has been frequently recommended for the digestion of biota; but it's 519 limitations must not be overlooked. Incubating KOH at temperatures >50°C may result in the 520 destruction of some PET particles and recovered PET particles may display altered surface 521 texture<sup>(85)</sup>. A saturated KOH solution (1120 g/L H<sub>2</sub>O) can cause spectral deviations and lower 522 quality Raman spectra relative to undigested polymers<sup>(85,88)</sup>. Most recently, it was demonstrated 523 reduced temperatures are preferable for KOH (40°C) as at 60°C KOH can destroy rayon<sup>(10)</sup>. 524 The use of KOH to process biota presents an example of how the ratio of KOH to gram of 525 tissue can influence effectiveness. For example, 10 ml of 1M KOH added to samples ranged 526 from 0-10 g was not sufficient to process bivalve tissue<sup>(81)</sup>, whereas between 100 and 300 ml 527 of 10% KOH can be required for samples with a mass  $\leq 6g^{(13)}$ . While KOH is effective for 528 digestion of biota, it is recommended in combination with other extraction methods for more 529 complex matrices. 530

531

532 **Oxidative digestion:** Hydrogen peroxide,  $H_2O_2$ , is an efficient oxidizer for use when removing 533 organic material. Although there have been polymeric changes identified such as transparency 534 and shrinking in size when a 30% solution is applied<sup>(85, 97)</sup>.  $H_2O_2$  has been observed to degrade 535  $PA^{(85)}$ , and in some instances its use has lead to the formation of a foam and a reduced extraction 536 efficiency<sup>(56,85)</sup>. Temperature and incubation period will influence the efficiency of peroxide 537 digestion<sup>(98)</sup>. Incubation of H<sub>2</sub>O<sub>2</sub> at 50°C increased digestion efficiency but created additional 538 white particles in the solution<sup>(85)</sup>. Furthermore, H<sub>2</sub>O<sub>2</sub> can become unstable over time, and 539 stability can vary from batch to batch<sup>(99)</sup>, although there has been some discussion over this 540  $^{(100,101)}$ . A reduced strength, 10%, solution is recommended<sup>(50)</sup> and this method can be optimised 541 using an iron catalyst (see Section <u>5.2</u>).

542

Recommendations: When working with digestion methods, researchers must carry out 543 procedural controls. All digestive agents must be prepared and filtered to remove impurities 544 545 and prevent the introduction of contamination into samples. All methods are recommended to be tested for extraction efficiencies in laboratories before and during use as efficiencies can 546 vary between personnel. Alkaline digestion is recommended for biota samples, but 547 temperatures and molarity should be kept low. KOH in a 1-2 M or 10% is recommended; 548 although some method alteration will be needed to digest complex samples (Section 5.2). 549 Regardless of the digestion treatment, incubation should be used with caution. It is not 550 recommended to apply temperatures above a threshold of 40°C. This is the threshold for 551 samples that may contain weaker polymers, including rayon. H<sub>2</sub>O<sub>2</sub> as a stand-alone oxidative 552 digestion method requires low temperatures and a reduced strength. As the procedure is less 553 554 straightforward, it is recommended that H<sub>2</sub>O<sub>2</sub> methods are adapted to use an iron catalyst to work in reduced temperatures (see Section 5.2). All of these procedures can be applied before 555 or after density separation. Acid digestion has several limitations and many polymers can be 556 affected therefore it is recommended that they are avoided, and only used when alternative 557 558 methods are not available. As with all other previously discussed samples, it is recommended 559 that samples are filtered on the appropriate filter depending on the aim of the individual study. 560 Sample volume, filter type and pore size should be recorded.

### 562 5. Complex methods

563 Samples from wastewater treatment plants are probably the best example of complicated 564 matrices. They often require a number of treatment steps, can be labour intensive and costly. Enzymatic digestion often requires multiple treatments with different enzymes and can take 565 days to complete<sup>(84,102)</sup>. Similarly, wet peroxide oxidation (WPO) can be controlled at a lower 566 temperature with an iron catalyst (Fe<sup>2+</sup>) but is labour intensive. In the following section, the 567 advantages and limitations of methods which require multiple steps to work with complicated 568 569 matrices are presented. As with previous section, not all methods are appropriate for every matrix and the complexity of methods will heavily depend on the organic content of the sample. 570

### 571 5.1 Enzymatic digestion

Enzymes were introduced to microplastic processing in 2014 as an alternative to more 572 aggressive digestion methods as they are less hazardous, can be selected to target particular 573 biological materials for breakdown and do not impact microplastics contained within the 574 sample<sup>(80,81,84)</sup>. Enzymatic digestion protocols may be preferential due to the biological 575 576 specificity of enzymes. However, using enzymatic digestion to target specific types of organic matter for digestion will either require some knowledge of the type of organic matter present 577 in the matrix, or a combination of several enzymatic digestions to prove effective<sup>(102)</sup>. 578 Enzymatic digestion with Proteinase-K was found to have an efficacy of  $88.9 \pm 1.5\%$  in 579 digesting biota-rich seawater samples<sup>(84)</sup>. The resulting filter contained a thin film of glutinous 580 581 material post-digestion, though microplastics were deemed visible through the film of biological material<sup>(84)</sup>. Some biological materials are not broken down by Proteinase-K, 582 including shell, carapace, wood and other types of anthropogenic litter<sup>(84)</sup>. The method was 583 adapted using CaCl<sub>2</sub> and H<sub>2</sub>O<sub>2</sub> to digest fish tissue with a 97% recovery rate<sup>(62)</sup>. However, 584 calcium deposits were observed which can complicate characterization and this method 585

requires grinding with a mortar and pestle<sup>(84)</sup> which may cause fragmentation of MPs. Further fragmentation of microplastics will affect estimates of the quantity of MPs. There have been further attempts to assess digestive efficiencies of additional enzymes as Proteinase-K is relatively costly.

590

Other enzymes include trypsin, collagenase, papain<sup>(80)</sup> and commercially isolated pancreatic 591 enzymes (PEz)<sup>(81)</sup>. No difference in efficiency was observed among trypsin, collagenase and 592 papain, and the efficiency in digesting mussel soft tissue was determined to be approximately 593  $86\%^{(80)}$ . PEz was slightly more efficient in digesting mussel soft tissue<sup>(81)</sup>. More complex 594 595 sample matrices may include a wide variety of organic matter and tissue types, such as bone, chitin and plant matter. Additional enzymes have been assessed for efficiency in the breakdown 596 of more complex sample matrices. Protease, cellulase and chitinase have been assessed in 597 combination with optional additional enzymes (lipase and amylase), H<sub>2</sub>O<sub>2</sub>, SDS and a ZnCl<sub>2</sub> 598 density separation<sup>(62,102)</sup>. While this protocol was effective (sample mass reduced by 98.3%), 599 the protocol requires multiple phases of digestion, several materials and up to 16 days to 600 complete. Even though there is no requirement for multiple sample preparation steps<sup>(84)</sup>, 601 samples which are processed with enzymes used in a combination require longer processing 602 603 times. Furthermore, each additional step has the potential to introduce procedural contamination. 604

605

606 *Recommendations:* Enzymatic digestions are complex and time-consuming procedures which 607 are a viable option for digestion depending on the complexity of the matrix, time allotted for 608 digestion, access to financial resources and materials. Researchers must assess the suitability 609 for enzymatic procedures when designing their studies as enzymatic digestion may require 610 some prior knowledge of the types of organic materials to be digested. Even though enzymatic

611 procedures can eliminate the requirement of preprocessing steps, they can be a lengthy 612 procedure. Enzymes reduce the need for pretreatment but can also be applied after density 613 separation. Enzymatic digestion is not recommended for high sample throughput, monitoring 614 studies, and is more suited to analytical investigations using fewer samples or projects 615 supported with adequate finances. As with all other methods, researchers must carry out procedural controls. This is especially important when there are multiple steps carried out over 616 several days. All enzymes must be prepared and filtered to remove impurities and prevent 617 procedural contamination. Extraction efficiencies should be investigated before and during use. 618 619 Incubation should be used with caution to ensure weaker polymers are not affected, an upper threshold of 40°C is recommended. It is recommended that samples are filtered on the 620 appropriate filter depending on the aim of the individual study. Sample volume at all treatment 621 steps, filter type and pore size should be recorded. 622

# 623 **5.2.** Fenton's reagent (H202 with Fe<sup>2+</sup>)

Wet peroxide oxidation (WPO) is an oxidative digestion method which can be carried out on 624 its own, using solely  $H_2O_2^{(103)}$ . However, the reaction requires elevated temperatures which can 625 damage plastic particles<sup>(64, 104)</sup>. An alternative approach is to carry out WPO in the presence of 626 an iron catalyst ( $Fe^{2+}$ ) to lower the reactive temperature. Fenton's reagent utilises  $Fe^{2+}$  to 627 initiate and catalyze H<sub>2</sub>O<sub>2</sub> decomposition, leading to the *in-situ* generation of hydroxyl and 628 hydroperoxyl radicals. Working at lower temperatures preserves weaker polymers ensuring 629 more accurate data acquisition. This method, although complex to carry out, has been shown 630 631 to be effective when working with complex and organic rich samples. It can be carried out at low costs and has shown reduced sample preparation times when compared to other 632 methods<sup>(105)</sup> and it is an effective processing tool when large samples cannot be processed with 633 634 more simple processing procedures. Fenton's can be used to isolate microplastics from organic

rich samples, including wastewater<sup>(105)</sup>, sediments<sup>(106)</sup>, sludge<sup>(64)</sup>, and biota<sup>(107)</sup> can be used effectively as a pre-treatment for FPA- $\mu$ FTIR<sup>(35)</sup>. The reagent has little to no impact on MPs, including surface chemistry and particle size <sup>(64, 105)</sup>. Fenton's can also be used in combination with density separation <sup>(64,104)</sup>.

639

Fenton's reagent and WPO is not without its limitations. Some microbeads tested in an 640 assessment of chemical digestion methods were significantly impacted by Fenton's reagent<sup>(92)</sup>. 641 Boiling tests suggest that the application of heat <60°C (or heat generated by the chemical 642 643 reaction) leads to loss of some types of microbeads, thus requiring the use of an ice bath to maintain a temperature below this critical threshold throughout the procedure<sup>(92)</sup>. The use of an 644 ice bath to maintain temperature below a critical threshold requires additional labour and time 645 spent observing the reaction to prevent the loss of some MPs. Fenton's has also resulted in the 646 discoloration of PE and PA<sup>(26)</sup>. Discoloration of microplastics may affect visual identification 647 of the microplastics if color is of interest. 648

649

Recommendations: Fenton's reagent is effective in digesting samples rich in organic matter 650 that may be challenging to digest using alkaline or oxidative digestion alone. Suitable samples 651 include complex matrices, such as samples from wastewater treatment plants, where organic 652 content is high and sample volumes are large as alternative methods may be too costly or time-653 consuming. Methods requiring many processing steps have many opportunities for the 654 655 introduction of contamination. As with all other methods, researchers must carry out procedural controls and all reagents must be prepared and filtered to remove impurities. Extraction 656 657 efficiencies should be investigated before and during use due to the variety of organic matter 658 that may be present in complex samples. The reaction generates heat, even with the addition of 659 Fenton's reagent, so the temperature should be monitored throughout the reaction and an upper 660 threshold of 40°C is recommended to reduce destructive effects on weaker polymers. It is 661 strongly recommended that the reaction be performed in an ice bath as the temperature may increase rapidly and become volatile. Due to the potentially volatile reaction, samples must be 662 663 monitored closely requiring more labour than some alternative digestion procedures. This 664 procedure should be performed with the understanding that sample loss may occur should the reaction become volatile, and discoloration of microplastics may occur<sup>(26,92)</sup>. Again, it is 665 recommended that samples are filtered on the appropriate filter depending on the aim of the 666 individual study. Sample volume at all treatment steps, filter type and pore size should be 667 Lithor 668 recorded.

#### 669 **5.3 Combination methods**

670 All of the previously mentioned methods can be used in combination. For example, WPO can be carried out before or after density separation. This has been successfully applied for samples 671 collected from a wastewater treatment plants and soils where digestion was performed using 672 H<sub>2</sub>O<sub>2</sub> and NaClO followed by density separation with ZnCl<sub>2</sub><sup>(108,109)</sup>, or NaCl density separation 673 followed by  $H_2O_2^{(110)}$ . An alternative approach was to use NaI before and after Fenton's reagent 674 on soils and sludge samples<sup>(64)</sup>. Extraction efficiencies varied between 80 - 95.6%, 67 - 100% 675 and 79 - 98% for H<sub>2</sub>O<sub>2</sub> and NaClO followed by ZnCl<sub>2</sub>, NaCl followed by H<sub>2</sub>O<sub>2</sub> and for both 676 combinations of NaI, respectively. 677

678

#### 679 6. Recommendations and future work

680 It is evident that there is no one-size-fits-all method for the isolation of microplastics from 681 environmental samples. Different matrices require variations in which methods are applied but 682 they can be divided into three categories: simple methods, stepwise methods and complex 683 methods. Researchers are encouraged to rigorously assess the suitability of methods based on 684 the complexity, cost and processing time. Figure 1 presents a summary of methods by sample type. Researchers are reminded that throughout sample processing and data analysis quality 685 control and quality assurance steps must be followed and reported<sup>(1,5)</sup>. All methods are 686 687 recommended to be tested in laboratories for extraction efficiencies before and during use as efficiencies can vary between personnel. Researchers should have a clear protocol and be 688 1S CC 689 prepared for differences between sample types.

#### 690 6.1 Liquid samples:

Samples collected for the assessment of microplastics in liquid matrices can range from bottled 691 beverages to sewage influent at wastewater treatment plants. Therefore a range of approaches 692 693 are required:

Simple: Samples with little organic content can be filtered directly onto chosen filters 694 for visual and chemical analysis. These include tap water and other beverages. Effluent 695 and some offshore waters may be processed with filtering only, but an assessment of 696 organic content must be made prior to filtration to ensure filters do not clog and organic 697 particles obscure microplastic quantification. 698

Stepwise: Samples with some biological material will require some processing to isolate 699 700 microplastics. Such samples should be digested and the use of KOH is recommended at 40°C. Samples may instead be separated by density using a salt solution where NaI 701 is recommended. Alternative salts may be more suitable for specific research teams 702 703 therefore limitations of the chosen salt should be clearly stated when reporting findings. 704 Complex: Influent should first be disinfected then processed using WPO with Fenton's 705 reagent. Samples can be filtered after digestion or further processed with density extractions if required. Researchers are encouraged to use suitable sample sizes andreplicates.

### 708 6.2 Sediment samples:

- 709 Sediment matrices can range in organic matter content and therefore a number of different
- 710 approaches are required to isolate MPs:
- Simple: Samples can be separated mechanically using either sieving, magnetism and or
   electrostatics, and then visually sorted. Beach sediments with large sample sizes can be
   sieved but a lower size limit must be established if samples are processed in the field.
   Researchers are reminded that rigorous sieving may further fragment brittle particles
   and caution is advised.
- Stepwise: Sediment with low organic matter content such as benthic sediments can be
   separated with density separation. This also facilitates the extraction of smaller
   microplastics from beach sediments. NaI is recommended for all sediment types as it
   can isolate a wider range of particles. If researchers choose to use alternative they are
   encouraged to list the limitations and report extraction efficiencies.
- *Complex:* Samples with high organic matter, including some freshwater sediment,
   biosolids and sludge from wastewater treatment processes will need more than one
   procedure to isolate MPs. Organic matter removal with Fenton's reagent and density
   separation should be used in combination. Researchers are encouraged to use suitable
   sample sizes and replicates.

## 726 6.3 Biota samples:

*Simple:* Large organisms, such as marine vertebrates, can be dissected and their whole
 digestive tracts visually sorted for microplastics >500 μm. This lower size limit should

be observed as below this limit there is huge variation between researchers, if lower
size categories are extracted they must be confirmed with further analytical methods.

- Stepwise: Biota tissues, such as fish fillets or whole soft bodied organisms, can be
  digested with KOH at 40°C. This is a widely recommended method and is encouraged.
  If modifications (e.g. extraction of soft tissue from shelled organisms) or other methods
  are used the limitations must be understood and extraction efficiencies should be
  reported.
- *Complex:* Enzymes are not cost efficient for most monitoring programs but if affordable
   they are encouraged providing researchers assess all steps of procedural contamination.
   Fenton's reagent can be used on samples that cannot be digested using KOH and density
   separation can be introduced if digestion results in incomplete isolation.
- 740 **6.4. Other matrices of interest:**

Wastewater treatment plants: Many samples from wastewater treatment plants have been 741 742 mentioned above. It is important to note that within a single WWTP there may be many different sample types which will all require different sample processing. Initial screenings can 743 employ a combination of visual, tactile and physical properties to assess samples. So 744 microscopic examination coupled with simple tactile technique can be a very effective and 745 reliable way to assist with screening plastic residues in complex matrices. It is imperative that 746 personal protective equipment, biohazard protocols and disinfectants are carried out on these 747 types of sample 748

749

**Road run-off:** Research has begun to look at road derived microplastics<sup>(111,112)</sup>, however few
methods have shown their efficiency. Particles are expected to be generated from road paint,
tire wear, plastics recycled into asphalt and salt applied to roads in winter<sup>(113-115)</sup>. Microplastics

753 in road samples tend to have high densities which will complicate density procedures. Samples 754 should be free of organic matter before filtration, making working with this matrix a stepwise process. Samples containing a large proportion of sediment may make the differentiation 755 756 between microplastics and sediment tricky therefore increasing pressure on visual analysis. All particles should be analysed with further analytical techniques, but problems with FTIR exist<sup>(9)</sup>. 757

758

Air. Monitoring the atmosphere for microplastics, namely microfibres, is interesting for 759 researchers looking to understand the potential source for intake of microplastics by 760 humans<sup>(116)</sup> or the role of the atmosphere in transporting particles<sup>(117)</sup>. Currently data 761 surrounding atmospheric microplastics is sparse but attempts to quantify microplastics in the 762 atmosphere have emerged<sup>(91,118,119)</sup>. Microplastics and passive samplers allow large air volumes 763 to be filtered and analysed, although samples may contain high levels of organic matter and 764 may require complex digestion processes. 765 ILSC

#### **6.5** Contamination monitoring 766

Use of appropriate filters or greased surfaces can be used to trap and collect airborne 767 microparticulates and microfibers in dust from air. The use of fibrous media for filtration media 768 that are prone to developing electrostatic charges may not be suitable for microplastic or 769 microfiber collection. In some cases, microfilters have been observed to have a repulsive effect 770 on airborne fibers<sup>(120)</sup>. Some of these static dynamics might be controlled by adequate 771 grounding of filtration assemblies. All methods should use appropriate monitoring of 772 773 procedural and airborne contamination and we encourage readers to refer to the parallel focal 774 point review $^{(5)}$ .

#### 775 6.6 Future research

776 There is still room for improvement for optimising isolation and separation techniques within 777 this research field. Further method development to work with smaller sized particles is 778 welcomed. Currently, working with smaller sized particles can be tricky. Density separations 779 are ineffective as particles between 1 nm and 1 µm are not generally subject to gravity or density partitioning and can remain perpetually suspended in the liquid phase through 780 781 Brownian action in solution. Methods which facilitate automatic separation and analysis 782 through a single process, eradicating human error and contamination introduction are urgently AUTHO 783 required.

#### 784 7. Conclusion

One of the biggest shortcomings of the extensive microplastic data generation in recent years 785 are the varied methodological approaches for separation and isolation of particles from 786 787 different matrices. Each type and method possess their own limitations and advantages. Applied methods can affect density, size, morphology and polymeric composition of 788 microplastics which can impact final results. A clear understanding of methodological 789 790 constraints is vital when selecting an isolation protocol, as this will provide an insight on how results may be affected. Potential constraints must be reported alongside results to ensure any 791 792 impacts can be taken into consideration when interpreting and comparing across studies. It is 793 likely that harmonised methods will differ based on the sample matrix and complexity as no 794 single method fits all matrices.

795

796 In developing these recommendations, we wanted to allow for the development of new or 797 improved techniques to reduce potential impacts on microplastics. Further research is required 798 to improve upon existing methods or develop new methods that also take into consideration

799 the time and effort required to extract samples, the cost of each procedure, the simplicity of the 800 method (allowing for method harmonisation) and the potential for the introduction of 801 contamination. As shown here, isolation of microplastic particles presents a significant 802 challenge for many researchers in the field of microplastics. New or improved methods will significantly advance research efforts will allow for long term monitoring, extraction of 803 challenging sample matrices and facilitate comparison among studies. 804

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1342	Figures	and	Tables:
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- 1344 Figure 1. Recommended processing steps for the isolation of microplastics from different
- 1345 matrices. Coloure lines represent Simple (Green), Stepwise (Orange) and Complex methods
- 1346 (Red).

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- 1348 Table I. Isolation abilities of different density solutions compared to some of the common
- 1349 polymers. Note that polymer density can be affected by additives (Crawford and Quinn 2017,
- 1350 Prata et al. 2018, Enders et al., 2015).
- 1351
- 1352 Table II. Efficiencies of different sediment separators and novel methods beyond density
- 1353 separation.
- 1354
- 1355 Table SI 1. Summary of the reviews included in assessment of isolation methods for
- 1356 microplastics

- 1358 Table SI 2. Summary of sample matrices divided into broad categories of Liquid, Sediment,
- 1359 Biota, Air and other. \*depending on the organic matter content may require further
- 1360 processing.



- Figure 1. Recommended processing steps for the isolation of microplastics from different
- matrices. Colour lines represent Simple (Green), Stepwise (Orange) and Complex methods recepted manufactures

1368 Table I. Isolation abilities of different density solutions compared to some of the common polymers.

			Buoyancy in freshwater (FW)	Density s	solutions (xx	/g cm <sup>-3</sup> )			56.		
Abbr.	Polymer	Density		FW (1.0)	NaCl (1.2)	CaCl <sub>2</sub> (1.30- 1.35)	KHCO <sub>2</sub> (1.5)	NaI (1.6)	ZnCl <sub>2</sub> (1.6-1.7)	ZnBr <sub>2</sub> (1.7)	SPT (2.94- 3.10)
РР	Polypropylene	0.85-0.92	Positive	+	+	+	+	+	+	+	+
LDPE	Low-density polyethylene	0-89-0.93	Positive	+	+	+	ţ	+	+	+	+
EVA	Ethylene Vinyl Acetate	0.94-0.95	Positive	+	+	+	+	+	+	+	+
HDPE	High-density polyethylene	0.94-0.98	Positive	+	+	+	+	+	+	+	+
(E)PS	(expanded) Polystyrene	0.01-1.06 (1.04-1.1)	Negative	-	+S	+	+	+	+	+	+
Acrylic	Acrylic	1.09-1.20	Negative	-	Ŧ	+	+	+	+	+	+
РА	Polyamide	1.12-1.15 (1.02-1.05)	Negative		+	+	+	+	+	+	+
PA 66	Nylon 6,6	1.13-1.15	Negative	-	+	+	+	+	+	+	+
PM(M)A	Polymethyl (meth)acrylate	1.16-1.20	Negative	-	+	+	+	+	+	+	+
PC	Polycarbonate	1.20-1.22	Negative	-	+-	+	+	+	+	+	+
PU	Polyurethane	1.20-1.26	Negative	-	+-	+	+	+	+	+	+

PVA	Polyvinyl alcohol	1.19-1.31	Negative	-	+-	+-	+	+	+	+	+
PET	Polyethene terephthalate	1.38-1.41	Negative	-	-	-	+	+	Ť	+	+
PVC	Polyvinyl chloride	1.38-1.41	Negative	-	-	-	+	+S	+	+	+
РОМ	Polyoxymethyle ne	1.41-1.61	Negative	-	-	-	+-	4-	+	+	+
PTFE	Polytetrafluoroe thylene	2.10-2.30	Negative	-	-	- 5	2	-	-	-	+

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Table II. Efficiencies of different sediment separators and novel methods beyond density separation.

Device	Principle	Sample type (volume)	Size of particles extracted	Density solution	Polymers	Reported efficiency	Reference
Sediment- Microplastics Isolation Unit (SMI)	Density flotation	Intertidal (50g)	100-2000 μm	ZnCl <sub>2</sub>	PE, Nylon, PVC, LDPE	92 - 98%	Coppack et al., 2017
Elutriation column	Elutriation, aeration and centriguation	Coarse (500ml)	<1mm	H2O2 NaCl NaI	PVC, PE	97-98%	Claessens et al., 2013
Elutriation column	Elutriation with aeration followed by density separation	Sediment not described (40g)	1.0 (L) × 4.0 (W) × 2.0 mm	ZnCl <sub>2</sub>	HDPE, PVC	80-94%	Mahon et al., 2016
Munich sediment separator (MPSS)	Aeration with a ball valve	Fine (6 kg)	1-5 mm <1 mm	ZnCl <sub>2</sub>	PVC, PA, PS, PET, PC, PP, HDPE	95.5 - 100%	Imhof et al.,2012
Munich sediment separator (MPSS)	Aeration with a ball valve	Marine and organic rich sediments	460 μm	ZnCl <sub>2</sub>	PET	13-39%	Zobkov and Esiukova 2017
Electrostatic separator	Utilizes electrostatic nature of particles	Freshwater, Beach (150g)	63-5000 μm	n.a.	HDPE, LDPE, PET, PP, PS, PVC, PMMA, PA, PE, tire wear	<100%	Felsing et al., 2018
Pressurised fluid extraction	Pressurised fluid extraction	Municiple waste and soil	50µm, 1 mm	n.a.	HDPE, PVC, PS, PET, PP	84-111%	Fuller and Gautam 2016
Magnetic extraction	Hydrophobic Fe nanoparticle bind to plastic allowing magnetic recovery	Sediments	200µm-1mm	n.a.	PE, PS, PU, PVC,PP	78-84%	Grbic et al., 2019

Reference	Review focus	Date range	Critical review
Dehaut et al., 2019	Seafood	n.r.	No
Hu et al., 2019	Wastewater systems	n.r	Yes
Koelmans et al., 2019	Freshwaters and drinking water	1972- August 2018	Yes
Markic et al., 2019	Ingestion by marine fish	1972- January 2019	Yes
Nguyen et al., 2019	Complex environmental samples	2012-2018	No
Prata et al., 2019a	Water and sediments	1972- May 2018	Yes
Stock et al., 2019	Methods	n.r.	No
Sun et al., 2019	Waterwater treatment plants	1972-2018	No
Zhang et al., 2019	Methods	n.r	No
Hermsen et al., 2018	Biota	1972- June 2017	Yes
Rezamia et al., 2018	Aqautic environmetnst and biota	nr	no
Silva et al., 2018	Not extrensive	2015-2018	no
Hanvey et al., 2017	Mps in sediments	2003-2016	Yes
Lusher et al., 2017	Biota	1972-2017	Yes
Miller et al., 2017	Recovery of MPs from marine samples	1972- April 2017	No
Renner et al., 2017	Opinion and overview of methods for MP analysis	2015-2017	No

Table SI 1. Summary of the reviews included in assessment of isolation methods for microplastics

Qiu et al., 2016	Methods: all matrices	n.r.	No
Rocha-Santos and Durate et al., 2015	Methods: all matrices	n.r.	No

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Table SI 2. Summary of sample matrices divided into broad categories of Liquid, Sediment, Biota, Air and other. \*depending on the organic matter 1377 X

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1378 content may require further processing.

		Simple	Stepwise	Complex
Liquid	Clean water	Х	×	
	Beverages	Х	<u>8</u>	
	Offshore waters	x	X*	
	Freshwater	х	X*	
	Effluent	x	X*	
	Influent	0		Х
Sediments	Beach	x	X*	
	Intertidal/Benthic		Х	
	Freshwater		X*	Х

	Soil			x
	Sludge			SK ,
Biota	Digestive tracts	X	S	
	Soft tissue		x	
	Fish fillets		x	Х
Air		X	x	
	Acc	eptedmanus		