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Controlling factors of microplastic riverine flux and implications for 1 reliable monitoring strategy 2 3 Mengyu Bai ^{1,2,3}, Yan Lin⁴, Rachel Hurley⁴, Lixin Zhu^{1,2,3}, Daoji Li ^{1,2,3}* 4 5 ¹ State Key Laboratory of Estuarine and Coastal Research, East China Normal University, 500 Dongchuan Road, Shanghai 200241, China 6 ² Plastic Marine Debris Research Center, East China Normal University, 500 Dongchuan 7 8 Road, Shanghai 200241, China 9 ³ Regional Training and Research Center on Plastic Marine Debris and Microplastics, IOC-10 UNESCO, 500 Dongchuan Road, Shanghai 200241, China ⁴ Norwegian Institute for Water Research (NIVA), Gaustadelléen 21, 0349 Oslo, Norway 11 12 Abstract: A significant proportion of marine plastic debris and microplastics is assumed to be 13 14 derived from river systems. In order to effectively manage plastic contamination of the marine 15 environment, an accurate quantification of riverine flux of land-based plastics and microplastics is imperative. Rivers not only represent pathways to the ocean, but are also 16 complex ecosystems that support many life processes and ecosystem services. Yet riverine 17 18 microplastics research is still in its infancy, and many uncertainties still remain. Major barriers 19 exist in two aspects. First, nonharmonized sampling methodologies make it problematic for 20 compiling data across studies to better estimate riverine fluxes of microplastics globally; 21 Second, the significant spatiotemporal variation of microplastics in rivers which was affected 22 by the river characteristics, MPs properties, etc. also have important influence on the 23 estimation of riverine MPs fluxes. In this study, we made a comprehensive review from the 24 above two aspects based on published peer-reviewed studies and provide recommendations 25 and suggestions for a reliable monitoring strategy of riverine MPs, which is beneficial to the 26 further establish sampling methods for rivers in different geographical locations. Besides, 27 methods for achieving a high level of comparability across studies in different geographical contexts are highlighted. Riverine microplastic flux monitoring is another important part of
 this manuscript. The influential factors and calculation methods of microplastic flux in rivers
 are also discussed in this paper.

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Key words: River water; Microplastic; Monitoring strategy; Sampling methodologies; Flux

32 1. Introduction

Microplastics (MPs), defined as plastic debris smaller than 5 mm¹, are derived from the 33 breakdown of larger plastic items (e.g., fibrous or fragmented MPs) or are deliberately 34 produced (e.g., microbeads or glitter). The mismanagement of plastic litter worldwide has led 35 to the widespread occurrence of MPs². MPs have similar shapes and sizes to plankton and can 36 be unintentionally ingested by fish, filter feeders and planktivores ^{3,4}. After entering organisms, 37 MPs can be transferred through the food web, which has been detected in both invertebrates 38 and zooplankton ^{5, 6}; although, the true risk associated with this is still yet to be fully articulated. 39 MPs can be transported over long distances to remote islands ⁷, polar regions ⁸, Arctic Sea ice 40 ⁹, and mountain lakes in Mongolia ¹⁰ by physical factors (such as wind, ocean currents and 41 river flow) ¹¹⁻¹³. The ocean is viewed as a major MP sink, whereas rivers are viewed as 42 important pathways for plastic litter transport into the oceans. Meijer et al.¹⁴ revealed that 43 44 1000 rivers globally transport 0.8-2.7 million metric tonnes of plastic litter each year to the ocean, accounting for 80% of annual global emissions. The Danube River was estimated to 45 transport 1553 tonnes of plastic debris to the Black Sea annually at a rate of 7.5 mg/m³ \cdot s¹⁵. 46 River basins are the main contributors of plastics to estuaries, where transportation and 47 accumulation patterns are determined by the fluctuation of flow regimes ¹⁶. Other studies have 48 also confirmed river basins as the major sources of inland MPs to estuaries, as well as exporters 49 of MPs to the oceans ¹⁷⁻²⁰. 50

51 Yet, river environments represent a crucial component of the global hydrosphere and 52 biosphere, supporting important ecological diversity. Rivers are often described in terms of

53	conduits of plastic to the ocean, but they are complex and dynamic systems that can
54	accumulate, store, and remobilize plastic particles over different spatial and temporal scales.
55	The majority of plastics are produced, consumed, and disposed of on land ²¹ . Due to this
56	quantity and extent of MP sources, rivers often exhibit elevated MP concentrations compared
57	to the marine environment ²² . High MPs concentrations have been found in both river sediment
58	²³⁻²⁵ and surface waters ^{26, 27} . Many riverine ecosystems globally are expected to be exposed
59	to MP in water and sediments, and these organisms also need protection from the potential
60	adverse effects of contamination. Especially given the high degree of complexity in river
61	systems, the fate and transport of MP remains relatively under-researched. The behavior of
62	plastic litter in freshwater, especially in rivers, varies greatly from that in the marine
63	environment, and freshwater-specific studies are required to investigate relevant processes of
64	MP movement and accumulation in rivers. Inconsistent sampling methods hinder the
65	possibility to compare between results, and different sample types reveal different snapshots
66	of riverine MP contamination ²⁸ . Hermsen et al. ²⁹ established a means for assessing
67	methodological and data quality based on 10 criteria, and found that the information integrity
68	of most studies needs to be further improved. Further publications have since proposed
69	reporting guidelines for MP studies, covering topics from field sampling to quantification from
70	laboratory data ³⁰ .

River stratification and hydrodynamic action have a great influence on the distribution and transport characteristics of plastic litter ³¹⁻³³. Artificial structures, such as dams ³⁴⁻³⁶, bridges ³⁷ and human-created tributaries ³⁸, hydropower station ³⁹, as well as natural characteristics, such as riparian vegetation ⁴⁰ and river curvature ⁴¹, geographical factors determined by the shape of the river ⁴² will affect river flow and may lead to the accumulation of plastic litter and MP. Hydrological conditions are also an important control on MP fate and transport, affecting the partitioning between the water and sediment phase ^{28, 43, 44}, influencing

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the movement of MPs with channel bed sediments ^{43, 45, 46}, and acting as a control on the export of MPs downstream ⁴⁷.

80 Currently, the reported values from literatures utilize an array of sampling methods, size 81 limits, processing methods, and different instrumentation under different conditions. There is 82 also variability in the definition of MPs (such as the size categories analyzed) and the degree of quality control implemented into methodologies. Several studies have now reviewed the 83 84 different sampling methodologies for assessment of MP occurrence in water and sediments ^{42,} ⁴⁸⁻⁵⁰. This article instead specifically focuses on the emission of MPs from rivers to the ocean 85 86 on a certain time scale (riverine MP flux) and reviews the approaches to monitoring and establishing flux estimates within this context. This review intends to address the following 87 key issues related to MP monitoring: (I) comparative analysis of the different sampling 88 89 methods; and (II) review of the the calculation and influential factors of river MP flux, and 90 requirements for improvement of the methods for both short-term field sampling and long-91 term monitoring to achieve more accurate river MP flux calculations.

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2. Materials and methods

An extensive and systematic literature search was conducted in ISI Web of Science (WOS), Scopus, Google Scholar and Elsevier Science Direct for this review. The following key words were used in literature collecting: "microplastic(s)", "river", "fresh water", "riverine", "plastic flux" and "stream". A total of 83 scientific articles and reviews about riverine MPs fluxes published before June, 2021 were included and reviewed. Data analysis and visualization were based on Python 3.8.5 and IBM SPSS Statistics 26.

99 This review includes global (locate in 5 Asian countries, 8 European countries, 3 100 countries in the Americas, 1 African country and 2 Oceanian countries) rivers with different 101 discharge, and covers multiple types of rivers locating in different climatic zones. The physical 102 geography classifications of rivers include tributaries (e.g., Ottawa River and its tributaries, Keelung River-the tributary of Tamsui River), river estuaries (e.g., Tamar estuary, Yangtze
River estuary), and the main stream of larger river catchments (e.g., Beijiang River, Pearl
River).

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3. Sampling methodologies for MPs in rivers

107 To link the sources and fate of MPs in freshwater environments, it is necessary to 108 establish a unified approach to enhance data comparability. This review will introduce 109 sampling methodologies for MPs in rivers based on the different equipment and deployment 110 locations.

111 *3.1 Sampling equipment*

112 By reviewing studies about MPs in river water, sampling equipment can be summarized as the following three types: i) Direct sampling-using precleaned stainless buckets, water 113 samplers, glass jars or other containers to directly collect surface water. In some studies, the 114 direct sampling process is accompanied by volume-reduction operations to decrease the 115 116 volume of water; ii) Pumps - where various types of submersible pumps are used to draw a 117 larger volume of water; and iii) Nets - which include Manta, Neuston and other surface nets that are widely used in water sampling. Some types of nets (e.g., Bongo net) can also acquire 118 119 the samples from lower layers of the water column. A detailed comparison of the sampling 120 approaches is shown in Table 1.

Table 1 Comparison of the specific sampling methodologies of MP in river water

	Commonly used	Types	Sampling volume	Sampling layer	Water volume calculating method	Advantages	Disadvantages
Direct sampling	Stainless bucket; Water sampler; Glass jar; Niskin bottles; Other containers	Laboratory filtration; Volume reduced (stainless steel sieves / bolting- silk)	Min (less than 100 liters)	Surface water layer; Surface microlayer; Limited water column	Use containers for volume determination	Cheap, easy to operate and quick; Smaller size and fibrous MPs can be captured; Accurate and controllable volume of filtered water; Boat is not necessary	Small sampling volume; Sample is easily contaminated; Possible to transport bulky samples to the lab; Hard to sample water column in deep water; Need to bring bulky samples to the lal (without volume reduced process)

Pump	Teflon pump; Peristaltic pump; Submersible pump; Plankton pump	Laboratory filtration; Volume reduced (stainless steel sieves / bolting- silk)	Medium (several cubic meter)	Full water layer	Flowmeter; Rated power multiply by running time	Relatively cheap, easy to operate and quick; Relatively smaller size and fibrous MPs can be captured; Accurate and controllable volume of filtered water; Possible to sample the water column	Relatively small sampling volume; Need power supply and boat; Relatively higher cost; Possible to transport bulky samples to the lab; Need to bring bulky samples to the lab (without volume reduced process)
Net	Manta net; Neuston net; Plankton net; Bongo net and other nets	Volume reduced	Max (up to a few hundred cubic meters)	Full water layer	Flowmeter; Towing length multiply by net opening size	Large volume sampling; Net aperture can be changed according to the actual situation; Volume reduced on site; Possible to sample the water column(plankton net)	Net and manual sample transfer may bring into contamination; Risk of clogging; Expensive and not easy operation; Mesh sizes impose a relatively large lower size limit, potentially underestimating MP concentrations
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The different approaches to sampling MPs in river water can be methodologically divided 124 into bulk water sampling and volume-reduced sampling ⁵¹. The primary difference is that the 125 126 former method collects the entire volume of the sample without performing volume reduction 127 (e.g., use of filters or sieves) in situ. Bulk sampling has advantages including: (i) non-in-situ 128 filtration, which may reduce potential contamination; (ii) samples with low concentrations or 129 small particle sizes can be obtained by collecting large volume samples; and (iii) reducing 130 subjective errors by quantitative sample collection. Direct sampling and pumping can be all categorized together as bulk sampling. 131

Volume-reduced sampling usually employs nets or sieves ⁵² to reduce the sample volume 132 and concentrate the particulate load for MP analysis. Net sampling represents a commonly 133 used volume-reduced method. Manta and Neuston nets are often used to sample surface waters 134 ⁵³, whilst stationary conical driftnets and hand nets are also used in some studies ^{15, 32, 54}. Nets 135 can be deployed at the river surface to capture the floating MP components, as well as in the 136 middle and lowermost sections of the water column with the use of Bongo nets, for example 137 ⁵⁰. All the nets should be equipped with flow metres whilst in operation to calculate the volume 138 of water that has been sampled. Nash et al. ⁵⁵ advised using two flowmeters simultaneously, 139 with one equipped inside the net and another equipped outside the net to better understand 140 141 how the clogging of the net may affect filtration efficiency. Figure 1 depicts three typical nets used in studies: (a) Manta net, (b) Bongo net and (c) Plankton net. 142



Figure 1 Three normal nets in practical application (a)Manta net,(b)Bongo net,(c)Plankton net.

147 By reviewing the sampling equipment for MPs in river water, it was found that net 148 sampling with mesh sizes between 100-335 µm accounted for more than 50% of studies, which 149 is represented in Figure 2(a). Clogging risks (which may lead to the sample loss or backflow) 150 may occur when the mesh sizes are smaller than the given range, while more particles will 151 escape from the net if the mesh sizes are too large. It also indicates that net sampling is more 152 widely used and therefore offers greater potential for data comparability. Figure 2(b) shows the geographical distribution of river MPs studies, which refers to 5 Asian countries, 8 153 154 European countries, 3 countries in the Americas, 1 African country and 2 Oceanian countries) rivers with different flow regimes (monthly discharge ranges between 4.15×10^5 to 7.41×10^{10} 155 m³). Kataoka et al.⁵⁶ conducted surveys in 29 rivers in Japan, which is the country with the 156 most rivers involved in sampling. The U.S.A has the second largest number of sampled rivers. 157 158 The third largest is China, which has 13 investigated rivers in this review.

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 161
 162
 (a) 68.49%
 (b)
 (c)
 (c)

Small samples volumes and use of different (coarser) sieve or mesh sizes can lead to 164 inaccurate determination of MPs concentrations ⁵⁷⁻⁶⁰. Several studies ^{61, 62} used small volume 165 166 sampling methods (e.g., 1 L surface water in rivers) and the MPs were found to be fibrous or mostly fibrous (80%). By comparing the results from a 1 L surface grab sampling method with 167 a 335 µm Neuston tow, Barrows et al. ⁵⁷ found that the concentration of MPs (n/L) of the 168 former is 3 orders of magnitude higher than the latter. Furthermore, Norén et al. ⁶³ reported 169 170 that plastic fibers filtered using an 80 µm mesh size net were up to 5 orders of magnitude more abundant than those obtained using a 450 µm mesh size net. Dris et al. ⁵⁸ reported that a 171 plankton net with 100 µm mesh size collected 100 times the amount of MPs compared with 172 sampling by 330 µm Manta net. Studies using nets for sampling must consider the minimum 173 174 mesh size that can be feasibly used in order to sample small microplastic particles and report more accurate MP concentrations, whilst balancing trade offs related to clogging and the flow 175 conditions of the river. 176

MP fibers are typically long and thin and so the portion captured by the net may dependon several conditions such as the orientation and curvature of the particle and the flow

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179	conditions. This represents an additional important consideration when using nets to sample
180	river water: fibers observed in net samples may represent an unknown proportion of the total
181	fiber contamination at that sampling location. A field survey conducted with 100 L water
182	pumped and a 60 μ m sieve, the overall mean MP concentrations in the Yangtze River and East
183	China Sea were respectively $157.2 \pm 75.8 \text{ n/m}^3$ and $112.8 \pm 51.1 \text{ n/m}^3$, with fibers accounting
184	for more than 80% of the total 64 . Zhao et al. 65 showed that a 32 μ m sieve can retain abundant
185	fibers by filtering the surface water in the Yangtze River Estuary and East China Sea, which
186	contained 79.1% and 83.2% fibrous MPs, respectively. Studies using sieves or nets with small
187	mesh sizes will collect large quantities of fibrous MPs, although data comparability is poor
188	compared with using Manta trawls with mesh sizes between 100-335 μ m as they are less often
189	used in sampling activities.

190 *3.2 Sampling locations*

191 Flux estimates normally utilize measured plastic data and extrapolate to the total river channel or river catchment based on factors of time or discharge ^{64, 66}. However, several factors 192 may influence the movement of plastic particles from initial input to eventual release to the 193 194 ocean, such as deposition, trapping, and remobilisation. Besides, the spatial context of the 195 sampling locations also introduces additional uncertainty in the flux estimates. Exploring sampling locations is conducive to establishing scientific monitoring methods according to the 196 197 specific conditions of river. It is also important to consider the deployment location for different sampling methods, as this may also determine appropriate sampling locations. This 198 199 section will review aspects related to sampling locations.

Firstly, from the perspective of deployment location, sampling techniques can be deployed from bridges or from the riverbanks, for example with buckets or nets attached to retractable rods. Also, sampling activities can be conducted from the research vessels in the rivers. Figure 3 presents a schematic diagram showing specific sampling measures.



 $205 \\ 206$ Figure 2 Schematic diagram of sampling measures with the proportion of each method in the reviewed papers 207 208 Research vessels may hinder the flow of water and interfere with the stability of the water 209 body, which may influence the effectiveness or representativeness of sampling. Sampling from 210 bridges or riverbanks is less heavily affected by this factor, although introducing the net into 211 the river may still interrupt flows and generate additional water turbulence. The mode of 212 deployment will depend on the geographical context of the site. For example, deploying from 213 bridges necessitates the occurrence of this form of infrastructure that also offers safe access. 214 The use of research vessels requires that rivers are over a certain depth, and that vessels are 215 available in the vicinity or can be entered into the water. Sampling from river banks requires 216 access and stability, and is only recommend for narrower channels where it is possible to take 217 representative samples from within reach of the bank.

When selecting riverine MP monitoring sites, the following aspects should be considered: representativeness, accessibility, hydrology, and stability (e.g., potential for long-term monitoring) ⁶⁷. Replicate samples, or simultaneous multiple samples from different points 221 across the river cross-section can help to account for potential variability in MP loads. For example, small scale spatial variability across a river cross-section or temporal variability 222 across a short (e.g., 1 hour) sampling period. Wong et al. ⁶⁸ emphasized the importance of 223 224 sampling locations to the MPs flux estimation. Complex hydrodynamic processes in estuaries 225 may alter the transport and distribution of MPs (e.g., sedimentation, aggregation, resuspension, hyporheic exchange and biological effects including biofouling, ingestion and excretion ^{46, 69,} 226 ⁷⁰). Xiong et al.⁷¹ concluded that the plastic debris might accumulate in the river estuary area 227 228 due to tidal activities, and emphasized that MPs flux calculations that are only based on the data obtained from the river estuary will lead to an overestimation. González et al.67 229 230 recommended to sampling upstream for reference to avoid the influence of estuaries, and the 231 selection of exact monitoring sites depending on the actual situation on site (e.g., population 232 density, waste discharge source, possibility of sampling location implementation). To 233 minimize these effects, multimedium, multi-layer sampling, and long-time scale monitoring 234 are effective methods. It is recommended to collect samples from multiple reaches of the river 235 (e.g., covering the upper reaches of the river, river outlets and the place where the river meets the sea). Cowger et al. ⁷² also advised for the adoption of depth-integrated sampling. 236

237 Understanding river characteristics is essential for sampling. Sampling strategies should be adjusted accordingly, such as with respect to suspended sediment concentration, flow 238 239 velocity/discharge, water depth, functional zoning of river basins and tidal influence. Studying 240 the transport patterns at different time scales can help to better understand the fate of MPs, as 241 rivers are globally highly diverse and have various features ⁴⁸. A sampling site with features that reduce turbulence complexity (e.g., homogeneous bed characteristics, gentle curve of the 242 243 riverbank, stable with little interference) need to be identified and included in monitoring. Site 244 metadata should also be recorded to allow for effective interpretation of observed MP 245 concentrations, such as flow velocity, water level, suspended sediment concentrations,

246 meteorological conditions (including antecedent conditions), hydrogeomorpholocial context 247 and bed substrate type. To investigate the impact of point and regional pollution sources, for 248 example, artificial facilities, sewage treatment plants and population density (e.g., tourist areas, 249 rural and densely populated areas), sampling activities should cover the upper and lower 250 reaches of the sources ^{22, 73}.

251 4. Riverine MPs distribution, transport and flux

252 *4.1 Characteristics of MPs in rivers*

The occurrence of chemical and colour compositions of MPs in river water according to 253 254 reviewed papers were analyzed and sorted, and the proportion of occurrence frequency was calculated (Figure 4(a), (b)) (See Table S1 Microplastic composition in river water in 255 256 Supporting Information). After analyzing, it was found that the most common polymer type 257 detected in rivers is polyethylene (PE) (42%), followed by polypropylene (PP) (30%) and polystyrene (PS) (11%) (Figure 4a). Other common polymer types like PET, polyamide and 258 259 polyester are also often observed. PE and PP comprised the majority of polymers in the studied rivers ^{64, 66, 71, 74-80}, which represent low-density plastic types. Besides, PE and PP are 260 commonly used in disposable plastic products. While PS foam (normally used in food 261 262 packaging and shockproof container) was the most abundant MP category by number in Hongkong waters ⁸¹. In a study of river in Saigon River, Vietnam, the percentage of polyolefin 263 264 and PS accounted for the most while PS foam food box scraps accounted for a very large proportion 37 . 265

Colourless MPs (including transparent and white were dominant in the articles included in this review (Figure 4 (b)). This phenomenon has been reported in many previous studies about MPs in river water ^{24, 68, 82}. The colourless aspect may be specifically engineered (e.g., from white textiles) or caused by fading due to photodegradation. Wong et al. ⁶⁸ sampled plastic particles in both river water and sediments from the beach, and found that the

colourless plastic particles in river water are less abundant than that in sediment. The higher
incidence of colourless particles found on river beaches could be interpreted as resulting
from greater exposure to ultraviolet light in this context, in comparison to particles moving
in the water.

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Figure 4 (a) chemical, (b) colour and (c) shape compositions of riverine MPs referenced by the articles discussed in this review. The ratios in (a) and (b) refer to the occurrence frequencies in all reviewed papers, while the ratios in (c) are original data from each independent studies.

281 MPs from different release pathways partly have their own characteristics, for example, 282 fibrous and small size of MPs are typical features of MPs from sewage treatment plants, which 283 can be used to partly explain the origin of some of the numerous MPs in the shoreline and river 284 shore sediments with size ranges from $63 - 200 \ \mu m^{-83, 84}$. MPs derived from tire and road 285 marking found in river water can help to reveal contributions from runoff ^{85, 86}, while the MPs 286 formed from the fragmentation of fish lines and floating rafts also reveal the source of directly 287 discarded plastic waste ⁷⁹. It is helpful for the consideration of influencing factors and

288	development of monitoring strategy of MPs flux in rivers by reviewing the major release
289	pathways. Considering the shape information is important for the identification of source, fate
290	and transport for riverine MPs, the original shape compositions data from independent
291	researches was assessed (Figure 4 (c)). At present, the definition of MP shape has a unification
292	of broad categories, but there are still differences in the subdivision process. The shapes were
293	unified here and fall into the following categories: fiber, line, fragment (which includes hard
294	fragment and film-type fragment ⁸⁷), film (which includes flake ⁸⁸), foam, granule (which
295	includes sphere, microbead and pellet ^{54, 62, 68, 88-90} , opaque and transparent spherules ^{87, 91}),
296	others (which includes combined ⁸⁷).

In general, fibers represent the dominant shape of MPs in rivers ^{54, 62, 64, 65, 75-77, 79, 80, 92-94}. 297 Several studies emphasized the use of synthetic textiles as a source of riverine MPs pollution, 298 also pointing towards sewage treatment plants as a potentially important pathway ^{77, 95-97}. 299 Fibers are more easily entrained and maintained in suspension by river flows ⁴³, potentially 300 301 leading to higher proportions observed in the water than in sediments. In some studies, fragments account for more than 50 % of the MP concentrations ^{24, 68, 78, 82, 87, 88, 98, 99}, which 302 may be derived from the fragmentation of plastic products ^{66, 100-102}. Lahens et al. ⁹³ also 303 304 advised to investigate the role of in-situ macroplastic fragmentation as a source of MPs to rivers. Thompson et al.¹⁰³ found 9 types of MPs, including fibers and fragments typically 305 derived from synthetic fabric, packages and rope in 23 out of 30 sedimentary samples around 306 307 Plymouth, UK.

MPs concentration in river water is an important data source which can be used to extrapolate riverine MPs flux. Yet, an important problem arises in data extrapolation, related to MPs sizes. Several studies have shown that the lower limit of the mesh size is not equal to the detection limit of MP samples ^{79, 93, 98, 104}. Recently, Koelmans et al. ¹⁰⁵ provide a method to mitigate size range differences: correction factors can be used to convert MPs sizes into 313 three default size ranges. Figure 5 shows the MPs density in global rivers in this review. To 314 reduce the variation of different sampling size ranges, the data shown in Figure 5 has been standardized with the correction factors ¹⁰⁵ (See Table S2 Correction factors used for 315 mitigating size range differences in Supporting Information). MP densities in rivers show great 316 317 differences, which may be attributable to the individual or combined effects of the influencing 318 factors mentioned above, such as sampling methods, river morphology, watershed conditions, 319 abnormal weather conditions, and so on. It is likely that many rivers exhibit a unique microplastic assemblage based on the quantity and diversity of sources and the 320 hydrogeomorphological conditions of the river, which influence MP fate and the 321 322 concentrations and particle types observed in a given sample.



Log₁₀ MP concentration (n/m³)

324 325 Figure 5 MP concentration (n/m³) in global rivers (data shown in logarithmic form); (a) numbers represent the 326 mean values; (b) numbers represent the quantitative difference between the max and min values, lighter colored 327 columns represent the minimum MP concentration and darker colored columns represent the maximum MP 328 concentration.

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330 4.2 Fate and transport processes

331	Hydrology (e.g., channel morphology, turbulence and tidal influence), spatiotemporal
332	variability in sources and environmental processes, artificial factors (e.g., basin population and
333	area, watershed function zoning), and the characteristics of MPs (small size thus easily widely
334	dispersed with currents and hydrodynamic processes) will influence the transport behaviors of

MPs in the freshwater environment ^{42, 106-109}. This is relevant for sampling MP in rivers and understanding what monitoring data conveys, which can also influence the accuracy of flux estimates. Currently, many fate and transport processes governing MP distributions in rivers are poorly understood and more research is needed to identify and unpick the dominant mechanisms. Sampled MP from rivers represent a snapshot of concentrations and information about possible fate and transport processes should be collected to aid in the interpretation of this data to evaluate what it means in the context of riverine flux.

342 In rivers, particles may partition between the water and sediment phase based upon 343 numerous factors, such as particle density, flocculation and flow velocity. In the water column, the movement of particles is not uniform and MPs are not evenly distributed in the water layer. 344 345 The density of a type of plastic imparts an important control over the depth of occurrence in the water ^{110, 111}, whilst the biodegradability, shape, oxidation resistance, flocculation / 346 347 aggregation, surface properties and degree of biofilm formation are also influencing factors ¹¹². Low-density MPs mostly float close to the surface of rivers and denser MPs could be 348 expected to accumulate at the bottom of rivers or buried in the sediments ^{33, 35, 36, 113}. A study 349 350 conducted in the Nakdong River showed the MP concentration in surface river water was 3 times higher than that in bottom water ⁷⁸. Yet, changes in MP particle density may occur as a 351 result of biochemical processes, including surface biofilm generation ¹¹⁴, ageing and leaching 352 of additive chemicals ^{115, 116}, and lead to the change of settling rates ¹¹⁷. Biofilms can be easily 353 formed on the surface of plastics in the marine environment and attract adherence by alages 354 and invertebrates, increasing the sinking speed ¹¹⁸. MPs may sink to the bottom of the water 355 layer through biofouling by organisms and accumulate in sediments ¹¹⁸⁻¹²⁰. Scherer et al. ¹²¹ 356 found that the MP abundance in bottom sediment is 6×10^6 times higher than that in the 357 overlying water, and the studied results in the Nakdong River ⁷⁸ showed that the MP content 358 in sediment is 2827 times higher than that in the water column. However, several studies 359

360 identify high density polymer types in water samples and low density – and theoretically buoyant – polymer types in the sediments ^{78, 93, 113, 122}. This is partly due to turbulent flow, 361 which is likely to lead to entrainment and mixing of particles within the water column. An 362 uneven distribution of MPs in the vertical profile of rivers has been reported ¹²³, which was 363 mainly affected by fluvial hydrodynamics. Water turbulence below the surface may mix 364 365 particles with a density close to that of the surrounding water, and the density and shape of small items and particles will also affect their rising or sinking speed ⁶⁷. Drummond et al. ⁴⁶ 366 found that, for MPs smaller than 100 µm, retention in river sediments can be substantially 367 368 increased with the influence of the hyporheic exchange. Besides, hyporheic abrasion may decrease particle size, thus influencing other variable such as surface area or propensity for 369 370 biofilm formation, which could further influence fate and transport processes.

371 The morphological characteristic of a river is a key factor, and the morphology of riverbed forms may also impact the plastic debris travel distance ¹²⁴. For example, a sharp drop in MP 372 concentrations was found by Mani et al.⁹¹ in the section of the Rhine River with the lowest 373 374 bed slope. Concentrations in the river decreased in the water column, which may be attributed to the lowest bed slope and low flow velocity in the river bed ⁹¹. Also in the Rhine river, Klein 375 et al.⁸⁴ found a dramatical increase in MPs concentration in sediments near to the confluence 376 of tributaries and the main stream. Similarly, the concentration of MPs in the sediment of the 377 Elbe River has been shown to decrease in the lower part of the river ¹²¹. 378

Natural meteorological events, such as storms and heavy rainfall, are factors that influence the instantaneous concentrations and spatial accumulation of MPs. Barnes et al.¹¹ found that the wind action of a typhoon and heavy rainfall would increase the speed of MP migration from land into the aquatic environment. According to a study in the Yangtze River Estuary, typhoons are an influencing factor for MPs accumulation in the water environment ⁸⁰. Moore et al., ¹²⁵ showed that surface plastic debris on the California coast near the Los Angeles

stormwater conveying system increased from 10 n/m3 to 60 n/m3 after a heavy storm, 385 indicating that the storm increased the export of MP from the catchment. A study in southern 386 California coastal water also found that MPs accumulate in coastal areas from less than 1 n/m³ 387 to 18 n/m³ after a typhoon and heavy rain event ⁵⁰. And the MP abundance in Venoge river 388 water in Switzerland increased 150 times after a rainfall event ¹²⁶. Flooding can also lead to a 389 390 flushing of MPs stored in river sediments and may export MP from catchments or redistribute particles, for example through overbank deposition ^{43, 45}. The thresholds for MP deposition, 391 392 remobilization and entrainment have not yet been established for a representative range of MP 393 particle types (sizes, shapes, polymer types), so the hydrological conditions under which 394 sedimentation or transport of particles occurs is still poorly understood.

395 Some MPs may deposit in riverbank or floodplain sediments, due to overbank deposition 396 during flood events. These sediments are subject to less erosion than channel bed sediments, 397 and thus the river corridors can be seen as a possible storage and release component to MPs movement. Scheurer et al. ¹²⁷ and Christensen et al. ⁴⁴ all show MPs can accumulate in 398 399 floodplains adjacent to rivers. The timescales over which particles will be remobilized from 400 these sedimentary archives remains poorly understood, but they could constitute potential 401 long-term legacy sources of MP contamination to the active channel into the future. The 402 geomorphological context will present a dominant control in this case, where channel and floodplain morphology differ significantly, globally. Further research is needed to constrain 403 404 this potential source and how it contributes to present and future MP fluxes in a variety of 405 rivers.

406 Artificial facilities, such as dams, bridges and human-made tributaries, may introduce 407 additional turbulence which is more likely to entrain MPs. Xiong et al. ⁷¹ found that the MP 408 concentrations downstream of the Three Gorges Reservoir is an order of magnitude lower than 409 that upstream. Lisa et al. ³⁵ reported more MPs in the reservoir water and sediment than in the 410 upstream water and sediment. According to a study combining manual visual and static trawl 411 sampling on the Thu Thiem Bridge in the Saigon River, a high concentration area of large 412 plastic debris was observed near the bridge column, which may be caused by the eddy currents 413 created by the bridge column that carry the plastic debris ³⁷. MPs may show a similar response, 414 which should be further tested to better understand the fate and transport of MPs in the context 415 of sampling and interpreting monitoring data.

416 *4.3 Spatiotemporal variability and relationship with discharge*

MPs are not evenly distributed in different river sections. The complex hydrological 417 conditions near the estuary will affect the spatial distribution of MPs ⁷¹. For example, MP 418 419 abundance in the surface water of Qinhe River increased from upstream to downstream and reached its highest level in the estuary where MP accumulation zones had formed ⁷⁹. Human 420 activities may lead to spatial variations in MPs. A high density of MPs was detected at 421 stations near densely populated areas ^{66, 80, 81, 83, 128, 129}, and the lowest abundance was found 422 at sites located far from urban centres 77,91. In a study conducted in the coastal water of 423 South Korea³², the urban areas had a MP abundance of approximately two times that in rural 424 425 areas, and there was a strong correlation between the population of the river and coastal basins and the mean MP abundances. In contrast, Kapp et al.¹³⁰ found high MP 426 concentrations in a rural site impacted by agriculture where plastic film was widely used. 427 428 These spatial patterns in potential MP sources can lead to a heterogeneous distribution of MP in the catchment. 429

As mentioned above, precipitation and storm events can cause large shifts in MPs concentration on short time scales. MPs abundance in rivers shows seasonal variation, which is manifested in the difference of MPs concentration in river water between rainy and dry season. The dominant shape of MPs also has differences between the dry and rainy seasons. In one study, fibers were most abundant in the dry season, while fragments were most abundant

435	in the rainy season ⁸¹ . There was a significant difference in MPs concentration in Hongkong
436	waters near the Pearl River Estuary between the rainy (median = 2.657 n/m^3 , 0.227 mg/m^3)
437	and dry seasons (median = 0.183 n/m^3 , 0.023 mg/m^3) ⁸¹ . According to Lebreton et al. ¹⁸ , 74.5%
438	of the total plastic load emitted from rivers to the ocean occurs between May and October,
439	with a peaks in August and minimal release in January. Soeun et al. ⁷⁸ estimated that 70-80%
440	of the annual MP load by to the ocean occurred in the wet season. A similar situation also
441	occurred in the sediment of the Brisbane River; that is, the MP concentration in the wet season
442	was higher than that in the dry season ¹³¹ . These findings may relate to the greater number of
443	sources that are accessed by precipitation through the increase in connectivity between land
444	and the river. However, in contrast, Fan et al. ⁷⁴ has found the MP abundances in the river water
445	were notably lower during the wet season, which they attributed to the dilution effect of the
446	precipitation and subsequent increase in discharge. These effect was also reported in the
447	Gallatin River ⁶² and the Yangtze Estuary ⁷¹ , where the abundance of MPs is inversely
448	proportional to river discharge. To further discuss the relationship between the MPs
449	concentration and river discharge, the correlation analysis was carried out with the database
450	used in this article and collected river monthly discharge data.



Figure 6 Correlation between the MPs numerical concentration with river monthly discharge. The red lines represent the fitting curves after subsection regression, respectively for MPs concentration between 0-1,1-10,10-3000 n/m³; and the green line represents the overall regression analysis of all MPs concentration.

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The correlation coefficients between MPs numerical concentration and river monthly 457 458 discharge is shown in Figure 6. River monthly discharge data were obtained from original 459 articles or U.S.Geological Survey (usgs.gov), and the monthly discharge of sampling time is selected. The average MP numerical concentration ranges (n/m^3) were divided into 0-1; 1-10 460 461 and 10-3000 (n/m³), that can be simply described as low, medium and high MPs numerical 462 concentrations. It shows that both low and high MPs numerical concentration have a positive 463 correlation with river monthly discharge (Pearson test, P<0.05), while medium concentration are not correlated with river discharge. In general, the MPs numerical concentrations are 464 465 positively correlated with river discharge (Pearson test, P<0.0001). This finding suggests that the flux calculation should not simply multiply MP density by river discharge in a single 466 snapshot in time and instead the measurements need to be integrated over a range of flows, 467 while considering more practical situations (e.g., the influence of hyporheic exchange, 468 469 biological effects, interception of plants). To obtain a more accurate river plastic flux model, 470 it is necessary to couple the model with hydrodynamic simulations. When calculating for rivers

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that lack actual measured data, it is advised to add a relationship coefficient between the river discharges and MPs concentration in riverine MPs flux equations according to specific river.

473 4.4 *Riverine MPs flux*

474 There is no single method for assessing MP or total plastic flux in rivers, which reflects the different hydrogeomorphic settings of rivers globally, as well as the different data used to 475 476 generate such estimates. As stated above, this relates to the difficulties associated with sampling rivers, especially those which are large, fast, or have a high suspended load. Different 477 478 sampling methods are capable of capturing a different proportion of the total MPs load in a 479 river, and the way that these data are interpreted will influence the accuracy of calculated flux 480 estimates. The selection of sampling methods or geographical factors can lead to the 481 differences in units or data expressions. For example, specific measurement of MPs and larger plastic flows with nets ⁶⁶ and visual observation ³⁷ reported either the numerical or mass flux. 482 483 Conversely, some waste management infrastructures such as manual waste collection activities ⁹³ and booms¹³² were used in rivers, which are tend to report a total mass of plastics 484 that is intercepted. Some estimates incorporate multiple measurements which have been 485 conducted under different hydrological conditions or sampling over a longer time scale to 486 consider the temporal variability in MPs and plastic flows ^{66, 78, 133, 134}. 487

For MPs flux calculation, a common method is to build a model and combine it with field 488 489 data. Based on this, Moore et al. ¹³⁵ estimated that two rivers in Los Angeles, the U.S.A, can transfer 2 billion pieces of MPs into Californian coastal waters in three days. Zhao et al. ⁶⁴ 490 491 adopted the mean MP concentration from field data to calculate the annual plastic flux, and 492 the Yangtze River was estimated to have transported 16-20 trillion MPs through the top layer 493 of water (approximately 30 cm depth), a total weight of 537.6-905.9 tonnes, into the East 494 China Sea annually. The river discharge from the Nakdong River, South Korea, was calculated 495 by dividing the river into two vertical portions at a downstream site: surface (from the surface

to 0.2 m) and subsurface (from 0.2 m to the bottom) 78 . The estimated total annual load in the 496 Nakdong River reflecting the abundance of MPs in both surface and subsurface waters at the 497 estuary across four seasons was 5.4 trillion particles, or 53.3 tonnes, in 2017. Mai et al. ⁶⁶ used 498 499 Manta trawls (330 µm) to sample the MPs in the surface water of the Pearl River Delta and calculated the riverine MP inputs by multiplying the concentrations of MPs and river discharge. 500 501 The annual transport number of MPs in the Pearl River is 390 billion, which weighs 66 tonnes, 502 and can be converted into an average plastic debris mass of 2900 tonnes year⁻¹. By comparing 503 the MP concentrations in the surface waters of 22 global rivers, the MP concentration in the Pearl River was at the lower middle level. Max et al.⁸⁵ modelled MPs loads in rivers. The 504 model had three input factors: the density of the population connected to sewage systems, per 505 506 capita input of MPs, and sewage treatment efficiency. Approximately 14400 tonnes of MPs 507 from point sources were calculated to enter the North Sea, Baltic Sea, Black Sea, 508 Mediterranean Sea and European River basins and then flow into the Atlantic Ocean in 2000. 509 In addition, these numbers differed by sea. The MP load amount to the Mediterranean Sea was 510 5600 tonnes, the load to the Black Sea was 4100 tonnes, the load to the European part of the 511 Atlantic Ocean was 2700 tonnes, the load to the North Sea was 1100 tonnes, and the load to 512 the Baltic Sea was 900 tonnes.

513 MP fluxes may be inaccurately estimated if the MPs data used are not comprehensive 514 enough. Zhao et al.⁶⁴ has found an overestimation of more than 50% in the Yangtze River 515 Estuary and East China Sea may occur if only use the data in July. Soeun et al. ⁷⁸ reported the 516 influence of small size MPs (< 300 μ m), water layer transportation and seasonal variation to 517 the estimation of riverine MPs load. Small sampling volume also may lead to the error 518 estimation of riverine MPs flux which has been demonstrated in the study of Park et al.⁹⁹.

519 5. Perspective and remaining knowledge gaps

520 The non-uniform sampling locations and methods of riverine MPs may lead to the underestimation or overestimation of the riverine MPs flux calculation, which has been 521 522 demonstrated in 5.4. Considering that the mesh size is negatively correlated with the number 523 of filtered MPs, a unified approach to minimizing the disparities must be identified. As a 524 widely used method for sampling MPs in surface water, the net sampling with mesh size varies 525 from 100-300 µm has great data comparability (Figure 2). However, this only from the data 526 comparability of the dimension of analysis, the selection of specific sampling methods should 527 be targeted according to different rivers. To study the variation characteristics of riverine MPs 528 and plastic debris loads, physical hydrological data along with monitored MP data are essential. 529 Real-time data during sampling, including river flow, salinity, velocity, turbidity, sediment 530 concentration and temperature, can be analysed with MP concentrations to research the 531 correlations among data to gain further understanding.

532 A long term monitoring strategy of riverine MP should consider to establish the MP 533 particle size distribution curves for the monitored river under different representative flow 534 regimes. And the monitoring results are recommended to report a power law based distribution 535 curve since plastic particles tend to break down over time to ever smaller pieces. Kooi et al.¹³⁶ 536 also has suggested a universal equation for this purpose. A scientific monitoring strategy 537 should also consider the seasonality which needs to set the sampling intervals according to the 538 river flow regime. As indicated above, the river flow may greatly affect the trend of particle 539 numbers in the river.

540 One can use the sampling equipment which are already available, however, monitoring 541 results should be reported with necessary auxiliary information including the flow regime. The 542 sampling methods described in detail in chapter 4. The monitoring results can then be 543 comparable by extrapolating the monitoring results based on MP particle size distribution 544 curve under the specific flow regime. The flow regime is primarily controlled by the climatic

545 conditions and may also be subject to considerable modification by natural impoundments, 546 dams, or water storage. Flow characteristics may also be changed by water uses, such as withdrawal for irrigation. The discharge of a river (e.g., in m^3/s) is the most important 547 548 measurement that indicates the river's flow condition. When possible, people should report 549 the hydrograph based on measurements of daily river discharges for the whole monitoring 550 period, this is extremely important in determining the flow regimes of the microplastic 551 sampling dates. A comprehensive monitoring strategy should cover both base flow regimes and high flow regimes. 552

553 MP abundance distribution in different particle size ranges can be derived based on the 554 above steps, the riverine MPs flux can then be estimated by using the mass curves 555 corresponding to different MP particle sizes ¹⁰⁵, rather than using a single reported value which 556 may greatly sacrifice the accuracy of estimation.

Further research is required to evaluate the effect of sampling methodology on observed 557 558 MPs concentrations and compositions, as different morphologies may dominate within different size classes of MPs¹³⁷, and different sampling methodologies may be more effective 559 at capturing different particle types ^{79, 138}. In order to make the monitoring results consistent 560 561 and comparable, it is important to establish a monitoring strategy for riverine MPs flux considering spatial and temporal variations, one has also to acknowledge that the selection of 562 563 sampling equipment in different locations depend on the availability and tradition, it is 564 therefore not realistic to require people to use samplers of the same size. The following 565 considerations are therefore recommended:

Determine how the estuarine processes affects the riverine MPs flux estimation, for
 example, the riverine MP flux in downstream sections may be unidirectional flows, but the
 influence mechanism of tidal current action is not clear enough;

- The sampling methods have differences, it is important to calculate the proportion of the
 total MP load captured by each method, and how representative are different sampling
 campaigns in terms of the full flux across a given cross-section;
- 572 3) Normalize the riverine MP flux measurement in different section of the same catchment
- 573 or different catchment for data comparability. Usually, MP sampler cannot cover the whole
- 574 cross-section of a river, due to the velocity difference, the microplastic flux measured at
- 575 different location along the cross section will be different. It is therefore suggested to
- 576 develop a microplastic cross-sectional profile for the monitored location. For example, the
- 577 profile can be developed by measurements at each quartile along the vertical and horizontal
- 578 directions of the cross-section of a river.

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- 583 Declaration of Competing Interest
- 584 The authors declare that they have no known competing financial interests or personal 585 relationships that could have appeared to influence the work reported in this paper.

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592 Supporting Information Available

593 This information is available free of charge via the Internet at http://pubs.acs.org.

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